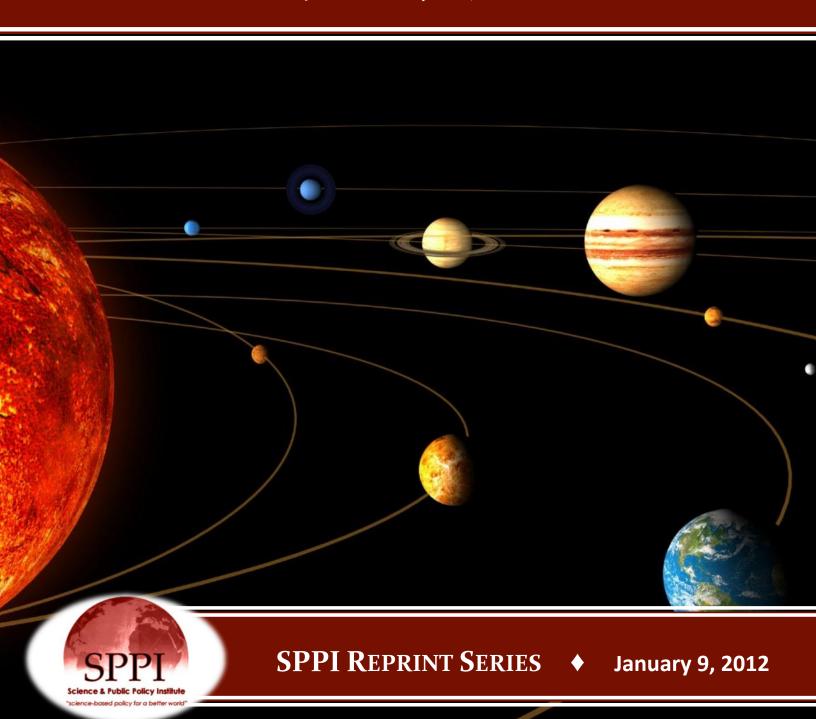
TESTING AN ASTRONOMICALLY BASED DECADAL-SCALE EMPIRICAL HARMONIC CLIMATE MODEL VS. THE IPCC (2007) GENERAL CIRCULATION CLIMATE MODELS

by Nicola Scafetta, PhD



Testing an astronomically based decadal-scale empirical harmonic climate model versus the IPCC (2007) general circulation climate models

Nicola Scafetta, PhD*

Index

Abstract	1
Introduction	2-16
Paper	17-31
Supplement	32-70

^{*}ACRIM (Active Cavity Radiometer Solar Irradiance Monitor Lab)

^{*}Duke University, Durham, NC 27708, USA

Abstract

We compare the performance of a recently proposed empirical climate model based on astronomical harmonics against all CMIP3 available general circulation climate models (GCM) used by the IPCC (2007) to interpret the 20th century global surface temperature. The proposed astronomical empirical climate model assumes that the climate is resonating with, or synchronized to a set of natural harmonics that, in previous works (Scafetta, 2010b, 2011b), have been associated to the solar system planetary motion, which is mostly determined by Jupiter and Saturn. We show that the GCMs fail to reproduce the major decadal and multidecadal oscillations found in the global surface temperature record from 1850 to 2011. On the contrary, the proposed harmonic model (which herein uses cycles with 9.1, 10–10.5, 20–21, 60–62 year periods) is found to well reconstruct the observed climate oscillations from 1850 to 2011, and it is shown to be able to forecast the climate oscillations from 1950 to 2011 using the data covering the period 1850–1950, and vice versa. The 9.1-year cycle is shown to be likely related to a decadal Soli/Lunar tidal oscillation, while the 10–10.5, 20–21 and 60–62 year cycles are synchronous to solar and heliospheric planetary oscillations. We show that the IPCC GCM's claim that all warming observed from 1970 to 2000 has been anthropogenically induced is erroneous because of the GCM failure in reconstructing the quasi 20-year and 60-year climatic cycles. Finally, we show how the presence of these large natural cycles can be used to correct the IPCC projected anthropogenic warming trend for the 21st century. By combining this corrected trend with the natural cycles, we show that the temperature may not significantly increase during the next 30 years mostly because of the negative phase of the 60-year cycle. If multisecular natural cycles (which according to some authors have significantly contributed to the observed 1700–2010 warming and may contribute to an additional natural cooling by 2100) are ignored, the same IPCC projected anthropogenic emissions would imply a global warming by about 0.3–1.2 °C by 2100, contrary to the IPCC 1.0–3.6 °C projected warming. The results of this paper reinforce previous claims that the relevant physical mechanisms that explain the detected climatic cycles are still missing in the current GCMs and that climate variations at the multidecadal scales are astronomically induced and, in first approximation, can be forecast.

Introduction

About our climate, is the *science* really *settled*, as nobody really thinks but too many have said, and already implemented in computer climate models, the so-called general circulation models (GCMs)? Can we really trust the GCM projections for the 21st century?

These projections, summarized by the IPCC in 2007, predict a significant warming of the planet unless drastic decisions about greenhouse gases emissions are taken, and perhaps it is already too late to fix the problem, people have been also told.

However, the scientific method requires that a physical model fulfill two simple conditions: it has to reconstruct and predict (or forecast) physical observations. Thus, it is perfectly legitimate in science to check whether the computer GCMs adopted by the IPCC fulfill the required scientific tests, that is whether these models reconstruct sufficiently well the 20th century global surface temperature and, consequently, whether these models can be truly trusted in their 21st century projections. If the answer is negative, it is perfectly legitimate to look for the missing mechanisms and/or for alternative methodologies.

One of the greatest difficulties in climate science, as I see it, is in the fact that we cannot test the reliability of a climate theory or computer model by controlled lab experiments, nor can we study other planets' climate for comparison. How easy it would be to quantify the anthropogenic effect on climate if we could simply observe the climate on another planet identical to the Earth in everything but humans! But we do not have this luxury.

Unfortunately, we can only test a climate theory or computer model against the available data, and when these data refer to a complex system, it is well known that an even apparently minor discrepancy between a model outcome and the data may reveal major physical problems.

In some of my previous papers, for example,

N. Scafetta (2011). "<u>A shared frequency set between the historical mid-latitude aurora records and the global surface temperature</u>" Journal of Atmospheric and Solar-Terrestrial Physics 74, 145-163. DOI: 10.1016/j.jastp.2011.10.013

N. Scafetta (2010). "Empirical evidence for a celestial origin of the climate oscillations and its implications". Journal of Atmospheric and Solar-Terrestrial Physics 72, 951–970 (2010), doi:10.1016/j.jastp.2010.04.015 C. Loehle & N. Scafetta (2011). "Climate Change Attribution Using Empirical Decomposition of Climatic Data." The Open Atmospheric Science Journal, 5, 74-86

A. Mazzarella & N. Scafetta (2011). "Evidences for a quasi 60-year North Atlantic Oscillation since 1700 and its meaning for global climate change," Theor. Appl. Climatol., DOI 10.1007/s00704-011-0499-4

my collaborators and I have argued that the global instrumental surface temperature records, which are available since 1850 with some confidence, suggest that the climate system is resonating and/or synchronized to numerous astronomical oscillations found in the solar activity, in the heliospheric oscillations due to planetary movements and in the lunar cycles.

The most prominent cycles that can be detected in the global surface temperature records have periods of about 9.1 year, 10-11 years, about 20 year and about 60 years. The 9.1 year cycle appears to be linked to a Soli/Lunar tidal cycles, as I also show in the paper, while the other three cycles appear to be solar/planetary cycles ultimately related to the orbits of Jupiter and Saturn. Other cycles, at all time scales, are present but ignored in the present paper.

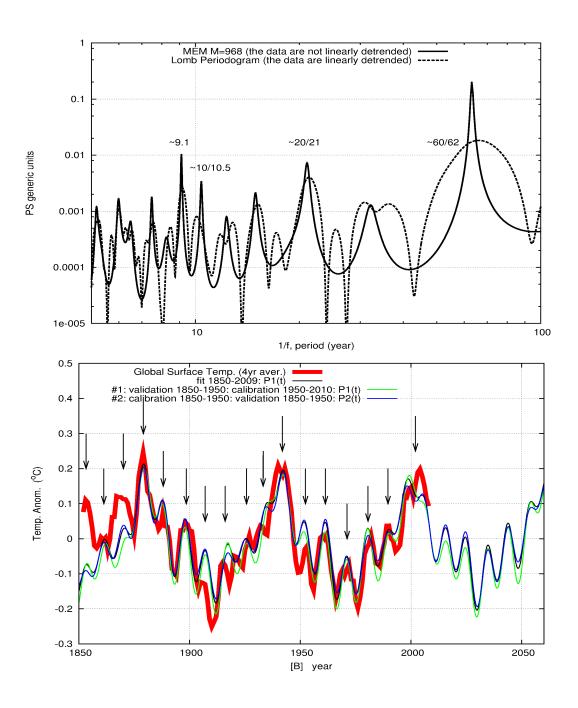


Figure 1

The above four major periodicities can be easily detected in the temperature records with alternative power spectrum analysis methodologies, as the figure below shows:

Similar decadal and multidecadal cycles have been observed in numerous climatic proxy models for centuries and millennia, as documented in the references of my papers, although the proxy models need to be studied with great care because of the large divergence from the temperature they may present.

The bottom figure highlights the existence of a 60-year cycle in the temperature (red) which becomes clearly visible once the warming trend is detrended from the data and the fast fluctuations are filtered out. The black curves are obtained with harmonic models at the decadal and multidecadal scale calibrated on two non-overlapping periods: 1850-1950 and 1950-2010, so that they can validate each other.

Although the chain of the actual physical mechanisms generating these cycles is still obscure, (I have argued in my previous papers that the available climatic data would suggest an astronomical modulation of the cloud cover that would induce small oscillations in the albedo which, consequently, would cause oscillations in the surface temperature also by modulating ocean oscillations), the detected cycles can surely be considered from a purely geometrical point of view as a description of the dynamical evolution of the climate system.

Evidently, the harmonic components of the climate dynamics can be empirically modeled without any detailed knowledge of the underlying physics in the same way as the ocean tides are currently reconstructed and predicted by means of simple harmonic constituents, as Lord Kelvin realized in the 19th century. Readers should realize that Kelvin's tidal harmonic model is likely the only geophysical model that has been proven to have good predicting capabilities and has been implemented in tidal-predicting machines: for details see http://en.wikipedia.org/wiki/Theory_of_tides#Harmonic_analysis

In my paper I implement the same Kelvin's philosophical approach in two ways:

- 1) by checking whether the GCMs adopted by the IPCC geometrically reproduce the detected global surface temperature cycles;
- 2) and by checking whether a harmonic model may be proposed to forecast climate changes. A comparison between the two methodologies is also added in the paper.

I studied all available climate model simulations for the 20th century collected by the Program for Climate Model Diagnosis and Intercomparison (PCMDI) mostly during the years 2005 and 2006, and this archived data constitutes phase 3 of the Coupled Model Intercomparison Project (CMIP3). That can be downloaded from http://climexp.knmi.nl/selectfield_co2.cgi?

The paper contains a large supplement file with pictures of all GCM runs and their comparison with the global surface temperature for example given by the Climatic Research

Unit (HadCRUT3). I strongly invite people to give a look at the numerous figures in the supplement file to have a feeling about the real performance of these models in reconstructing the observed climate, which in my opinion is quite poor at all time scales.

In the figure below I just present the HadCRUT3 record against, for example, the average simulation of the GISS ModelE for the global surface temperature from 1880 to 2003 by using all forcings, which can be downloaded from http://data.giss.nasa.gov/modelE/transient/Rc_it.1.11.html

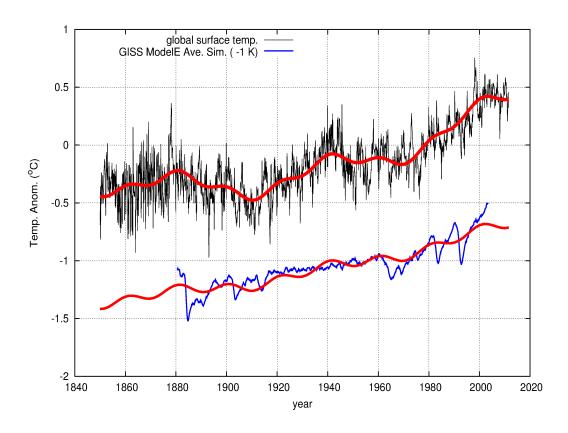


Figure 2

The comparison clearly emphasizes the strong discrepancy between the model simulation and the temperature data. Qualitatively similar discrepancies are found and are typical for all GCMs adopted by the IPCC.

In fact, despite that the model reproduced a certain warming trend, which appears to agree with the observations, the model simulation clearly fails in reproducing the cyclical dynamics of the climate that presents an evident quasi 60-year cycle with peaks around 1880, 1940 and 2000. This pattern is further stressed by the synchronized 20-year temperature cycle.

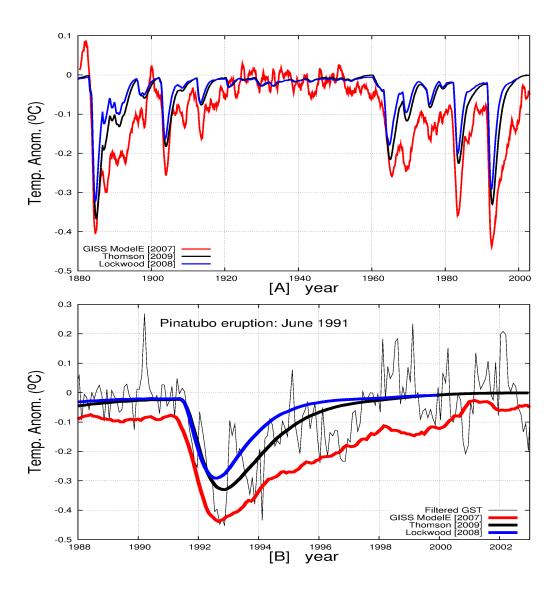


Figure 3

The GISS ModelE model also presents huge volcano spikes that are quite difficult to observe in the temperature record. Indeed, in the supplement file I plot the GISS ModelE signature of the volcano forcing alone against the same signature obtained with two proposed empirical models that extract the volcano signature directly from the temperature data themselves.

The figure clearly shows that the GISS ModelE computer model greatly overestimates the volcano cooling signature. The same is true for the other GCMs, as shown in the supplement file of the paper. This issue is quite important, as I will explain later. In fact, there exists an attempt to reconstruct climate variations by stressing the climatic effect of the volcano aerosol, but the lack of strong volcano spikes in the temperature record suggests that the volcano effect is already overestimated.

In any case, the paper focuses on whether the GCMs adopted by the IPCC in 2007 reproduce the cyclical modulations observed in the temperature records. With a simple regression model based on the four cycles (about 9.1, 10, 20 and 60 year period) plus an upward trend, which can be geometrically captured by a quadratic fit of the temperature, in the paper I have proved that all GCMs adopted by the IPCC fail to geometrically reproduce the detected temperature cycles at both decadal and multidecadal scale.

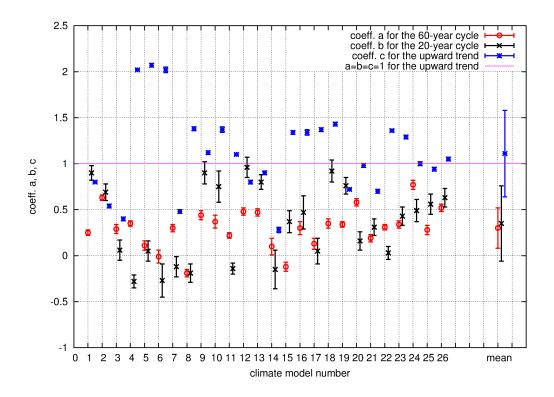


Figure 4

For example, the above figure depicts the regression model coefficients "a" (for the 60-year cycle) and "b" (for the 20 year cycle) as estimated for all IPCC GCMs runs which are simply numbered in the abscissa of the figure. Values of "a" and "b" close to 1 would indicate that the model simulation well reproduces the correspondent temperature cycle. As it is evident in the figure (and in the tables reported in the paper), all models fail the test quite macroscopically.

The conclusion is evident, simple and straightforward: all GCMs adopted by the IPCC **fail** in correctly reproducing the decadal and multidecadal dynamical modulation observed in the global surface temperature record, thus they do not reproduce the observed dynamics of the climate. Evidently, the "**science is settled**" claim is false. Indeed, the models are missing important physical mechanisms driving climate changes, which may also be still quite mysterious and which I believe to ultimately be astronomical induced, as better explained in my other papers.

But now, what can we do with this physical information?

It is important to realize that the "science is settled" claim is a necessary prerequisite for efficiently engineering any physical system with an analytical computer model, as the GCMs want to do for the climate system. If the science is not settled, however, such an engineering task is not efficient and theoretically impossible. For example, an engineer can not build a functional electric devise (a phone or a radio or a TV or a computer), or a bridge or an airplane if some of the necessary physical mechanisms were unknown. Engineering does not really work with a partial science, usually. In medicine, for example, nobody claims to cure people by using some kind of physiological GCM! And GCM computer modelers are essentially climate computer engineers more than climate scientists.

In theoretical science, however, people can attempt to overcome the above problem by using a different kind of models, the empirical/phenomenological ones, which have their own limits, but also numerous advantages. There is just the need to appropriately extract and use the information contained in the data themselves to model the observed dynamics.

Well, in the paper I used the geometrical information deduced from the temperature data to do two things:

- 1) I propose a correction of the proposed net anthropogenic warming effect on the climate
- 2) I implement the above net anthropogenic warming effect in the harmonic model to produce an approximate forecast for the 21st century global surface temperature by assuming the same IPCC emission projections.

To solve the first point we need to adopt a subtle reasoning. In fact, it is not possible to directly solve the natural versus the anthropogenic component of the upward warming trend observed in the climate since 1850 (about 0.8 °C) by using the harmonic model calibrated on the same data because with 161 years of data at most a 60-year cycle can be well detected, but not longer cycles.

Indeed, what numerous papers have shown, including some of mine, for example http://www.sciencedirect.com/science/article/pii/S1364682609002089, is that this 1850-2010 upward warming trend can be part of a multi-secular/millenarian natural cycle, which was also responsible for the Roman warming period, the Dark Ages, the Medieval Warm Period and the Little Ica Age.

The following figure from Hulum et al. (2011), http://www.sciencedirect.com/science/article/pii/S0921818111001457,

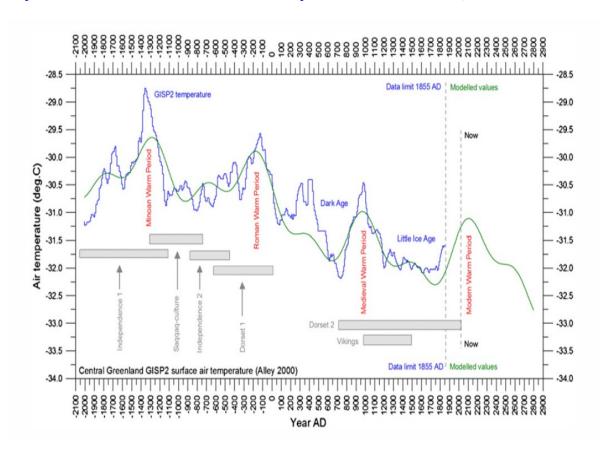


Figure 5

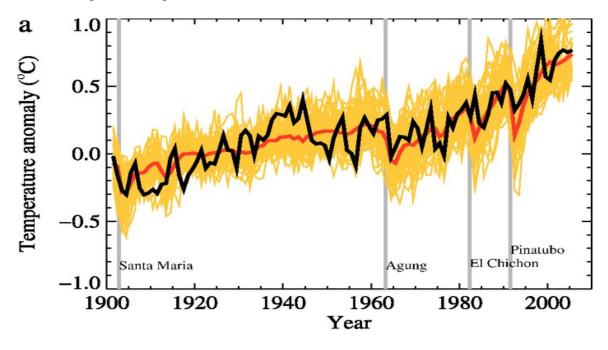
gives an idea of how these multi-secular/millenarian natural cycles may appear by attempting a reconstruction of a pluri-millennial record proxy model for the temperature in central Greenland.

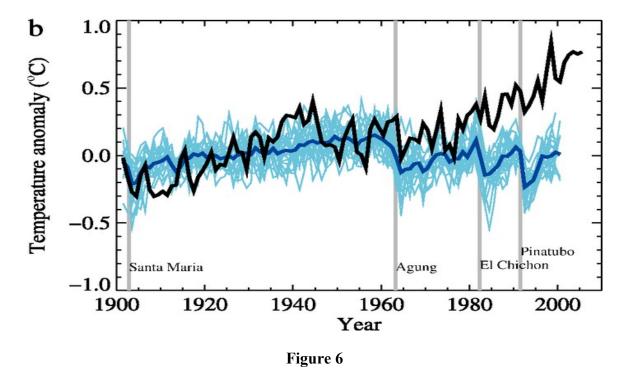
However, an accurate modeling of the multi-secular/millenarian natural cycles is not currently possible. The frequencies, amplitudes and phases are not known with great precision because the proxy models of the temperature look quite different from each other. Essentially, for our study, we want only to use the real temperature data and these data start in 1850, which evidently is a too short record for extracting multi-secular/millenarian natural cycles.

To proceed I have adopted a strategy based on the 60-year cycle, which has been estimate to have amplitude of about 0.3 °C, as the first figure above shows.

To understand the reasoning a good start is the IPCC's figures 9.5a and 9.5b which are particularly popular among the anthropogenic global warming (AGW) advocates: http://www.ipcc.ch/publications_and_data/ar4/wg1/en/figure-9-5.html

These two figures are reproduced below:





The above figure b shows that without anthropogenic forcing, according to the IPCC, the climate had to cool from 1970 to 2000 by about 0.0-0.2 °C because of volcano activity. Only the addition of anthropogenic forcings (see figure a) could have produced the 0.5 °C warming observed from 1970 to 2000. Thus, from 1970 to 2000 anthropogenic forcings are claimed to have produced a warming of about 0.5-0.7 °C in 30 years. This warming is then

extended in the IPCC GCMs' projections for the 21st century with an anthropogenic warming trend of about 2.3 °C/century, as evident in the IPCC's figure SPM5 shown below http://www.ipcc.ch/publications and data/ar4/wg1/en/figure-spm-5.html

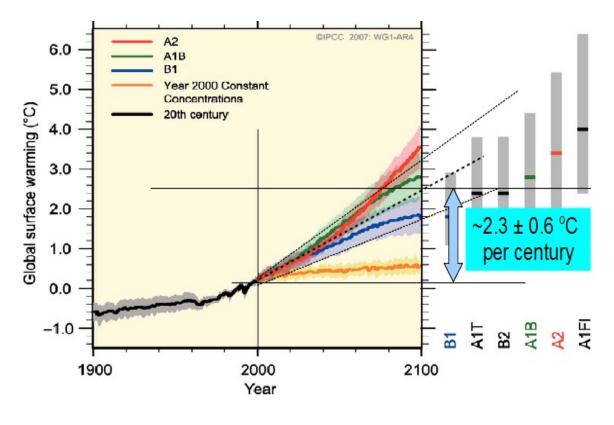


Figure 7

But our trust on this IPCC's estimate of the anthropogenic warming effect is directly challenged by the failure of these GCMs in reproducing the 60-year natural modulation which is responsible for at least about 0.3 °C of warming from 1970 to 2000. Consequently, when taking into account this natural variability, the net anthropogenic warming effect should not be above 0.2-0.4 °C from 1970 to 2000, instead of the IPCC claimed 0.5-0.7 °C.

This implies that the net anthropogenic warming effect must be reduced to a maximum within a range of 0.5-1.3 °C/century since 1970 to about 2050 by taking into account the same IPCC emission projections, as argued in the paper. In the paper this result is reached by taking also into account several possibilities including the fact that the volcano cooling is evidently overestimated in the GCMs, as we have seen above, and that part of the leftover warming from 1970 to 2000 could have still be due to other factors such as urban heat island and land use change.

At this point it is possible to attempt a full forecast of the climate since 2000 that is made of the four detected decadal and multidecadal cycles plus the corrected anthropogenic warming effect trending. The results are depicted in the figures below

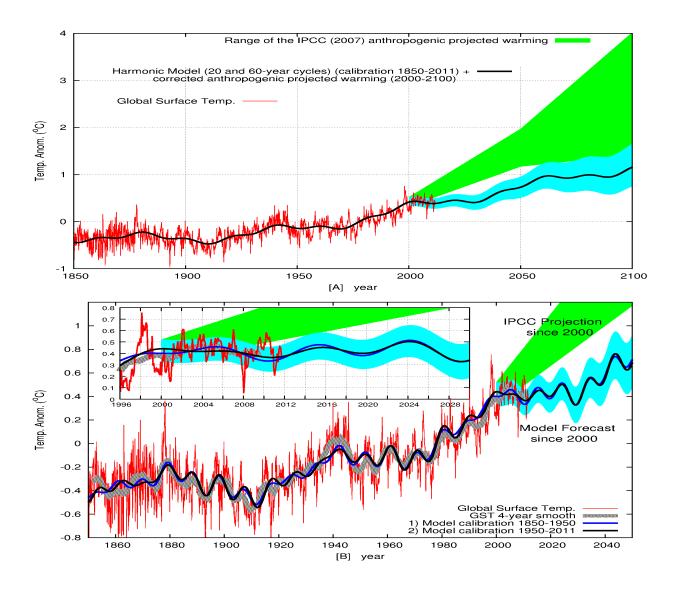


Figure 8

The figure shows a full climate forecast of my proposed empirical model, against the IPCC projections since 2000. It is evident that my proposed model agrees with the data much better than the IPCC projections, as also other tests present in the paper show.

My proposed model shows two curves: one is calibrated during the period 1850-1950 and the other is calibrated during the period 1950-2010. It is evident that the two curves equally well reconstruct the climate variability from 1850 to 2011 at the decadal /multidecadal scales, as the gray temperature smooth curve highlights, with an average error of just 0.05 °C.

The proposed empirical model would suggest that the same IPCC projected anthropogenic emissions imply a global warming by about 0.3–1.2 °C by 2100, in opposition to the IPCC

1.0–3.6 °C projected warming. My proposed estimate also excludes an additional possible cooling that may derive from the multi-secular/millennial cycle.

Some implicit evident consequences of this finding is that, for example, the ocean may rise quite less, let us say a third (about 5 inches/12.5 cm) by 2100, than what has been projected by the IPCC, and that we probably do not need to destroy our economy to attempt to reduce CO2 emissions.

Will my forecast curve work, hopefully, for at least a few decades? Well, my model is not a "oracle crystal ball". As it happens for the ocean tides, numerous other natural cycles may be present in the climate system at all time scales and may produce interesting interference patterns and a complex dynamics. Other nonlinear factors may be present as well, and sudden events such as volcano eruptions can always disrupt the dynamical pattern for a while. So, the model can be surely improved.

Perhaps, whether the model I proposed is just another illusion, we do not know yet for sure. What can be done is to continue and improve our research and possibly add month after month a new temperature dot to the graph to see how the proposed forecast performs, as depicted in the figure below:

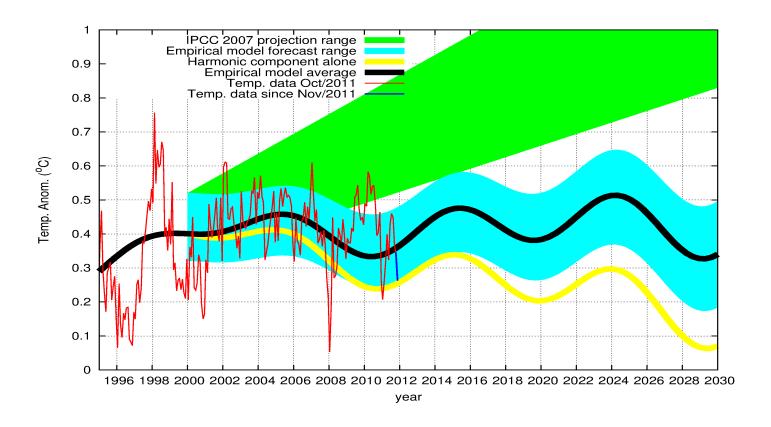


Figure 9

The above figure shows an updated graph from the one published in the paper, where the temperature record in red stops in Oct/2011. The figure adds the Nov/2011 temperature value in blue color. The monthly temperature data are from http://www.cru.uea.ac.uk/cru/data/temperature/hadcrut3gl.txt

The empirical curve forecast (black curve made of the harmonic component plus the proposed corrected anthropogenic warming trend) looks in good agreement with the data up to now. Ok, it is just one month, somebody may say, but indeed the depicted forecasting model started in Jan/2000!

By comparison, the figure shows in yellow the harmonic component alone made of the four cycles, which may be interpreted as a lower boundary for the natural variability, based on the same four cycles.

In conclusion the empirical model proposed in the current paper is surely a simplified model that probably can be improved, but it already appears to greatly outperform all current GCMs adopted by the IPCC, such as the GISS ModelE. All of them fail in reconstructing the decadal and multidecadal cycles observed in the temperature records and have failed to properly forecast the steady global surface temperature observed since 2001.

It is evident that a climate model would be useful for any civil strategic purpose only if it is proved capable of predicting the climate evolution at least at a decadal/multidecadal scale. The traditional GCMs have failed up to now this goal, as shown in the paper.

The attempts of some of current climate modelers to explain and solve the failure of their GCMs in properly forecasting the approximate steady climate of the last 10 years are very unsatisfactory for any practical and theoretical purpose. In fact, some of the proposed solutions are: 1) a presumed underestimation of small volcano eruption cooling effects [Solomon et al., Science (2011)] (while the GCM volcano effect is already evidently overestimated!), or 2) a hypothetical Chinese aerosol emission [Kaufmann et al., PNAS (2011)](which, however, was likely decreasing since 2005!), or 3) a 10-year "red noise" unpredictable fluctuation of the climate system driven by an ocean heat content fluctuation [Meehl et al., NCC (2011)] (that, however, in the model simulations would occur in 2055 and 2075!).

Apparently, these GCMs can "forecast" climate change only "a posteriori", that is, for example, if we want to know what may happen with these GCMs from 2012 to 2020 we need first to wait the 2020 and then adjust the GCM model with ad-hoc physical explanations including even an appeal to an unpredictable "red-noise" fluctuation of the ocean heat content and flux system (occurring in the model in 2055 and 2075!) to attempt to explain the data during *surface temperature hiatus periods* that contradict the projected anthropogenic GHG warming!

Indeed, if this is the situation it is really impossible to forecast climate change for at least a few decades and the practical usefulness of this kind of GCMs is quite limited and

potentially very misleading because the model can project a 10-year warming while then the "red-noise" dynamics of the climate system completely changes the projected pattern!

The fact is that the above ad-hoc explanations appear to be in conflict with dynamics of the climate system as evident since 1850. Indeed, this dynamics suggests a major multiple harmonic influence component on the climate with a likely astronomical origin (sun + moon + planets) although not yet fully understood in its physical mechanisms, that, as shown in the above figures, can apparently explain also the post 2000 climate quite satisfactorily (even by using my model calibrated from 1850 to 1950, that is more than 50 years before the observed temperature hiatus period since 2000!).

Perhaps, a new kind of climate models based, at least in part, on empirical reconstruction of the climate constructed on empirically detected natural cycles may indeed perform better, may have better predicting capabilities and, consequently, may be found to be more beneficial to the society than the current GCMs adopted by the IPCC.

So, is a kind of Copernican Revolution needed in climate change research, as Alan Carlin has also suggested? http://www.carlineconomics.com/archives/1456

I personally believe that there is an urgent necessity of investing more funding in scientific methodologies alternative to the traditional GCM approach and, in general, to invest more in pure climate science research than just in climate GCM engineering research as done until now on the false claim that there is no need in investing in pure science because the "science is already settled".

About the other common AGW slogan according to which the current mainstream AGW climate science cannot be challenged because it has been based on the so-called "scientific consensus," I would strongly suggest the reading of this post by Kevin Rice at the blog Catholibertarian entitled "On the dangerous naivety of uncritical acceptance of the scientific consensus"

http://catholibertarian.com/2011/12/30/on-the-dangerous-naivete-of-uncritical-acceptance-of-the-scientific-consensus/

It is a very educational and open-mind reading, in my opinion.

Nicola Scafetta, Ph.D.

Duke University

Durham, NC

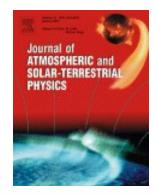
nicola.scafetta@gmail.com

http://www.fel.duke.edu/~scafetta/

Testing an astronomically-based decadal-scale empirical harmonic climate model versus the IPCC (2007) general circulation climate models

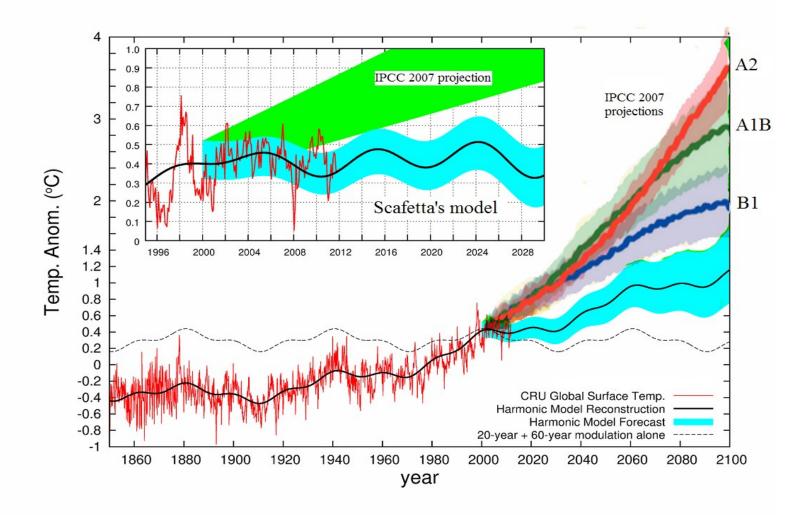
Nicola Scafetta

ACRIM (Active Cavity Radiometer Solar Irradiance Monitor Lab) & Duke University, Durham, NC 27708, USA.



Journal of Atmospheric and Solar-Terrestrial Physics, (2011) doi:10.1016/j.jastp.2011.12.005

http://www.sciencedirect.com/science/article/pii/S1364682611003385



ARTICLE IN PRESS

Journal of Atmospheric and Solar-Terrestrial Physics ■ (■■■) ■■■=■■



Contents lists available at SciVerse ScienceDirect

Journal of Atmospheric and Solar-Terrestrial Physics

journal homepage: www.elsevier.com/locate/jastp



Testing an astronomically based decadal-scale empirical harmonic climate model versus the IPCC (2007) general circulation climate models

Nicola Scafetta*

ACRIM (Active Cavity Radiometer Solar Irradiance Monitor Lab) & Duke University, Durham, NC 27708, USA

ARTICLE INFO

Article history:
Received 1 August 2011
Received in revised form
9 December 2011
Accepted 10 December 2011

Keywords: Solar variability Planetary motion Climate change Climate models

ABSTRACT

We compare the performance of a recently proposed empirical climate model based on astronomical harmonics against all CMIP3 available general circulation climate models (GCM) used by the IPCC (2007) to interpret the 20th century global surface temperature. The proposed astronomical empirical climate model assumes that the climate is resonating with, or synchronized to a set of natural harmonics that, in previous works (Scafetta, 2010b, 2011b), have been associated to the solar system planetary motion, which is mostly determined by Jupiter and Saturn. We show that the GCMs fail to reproduce the major decadal and multidecadal oscillations found in the global surface temperature record from 1850 to 2011. On the contrary, the proposed harmonic model (which herein uses cycles with 9.1, 10-10.5, 20-21, 60-62 year periods) is found to well reconstruct the observed climate oscillations from 1850 to 2011, and it is shown to be able to forecast the climate oscillations from 1950 to 2011 using the data covering the period 1850–1950, and vice versa. The 9.1-year cycle is shown to be likely related to a decadal Soli/Lunar tidal oscillation, while the 10-10.5, 20-21 and 60-62 year cycles are synchronous to solar and heliospheric planetary oscillations. We show that the IPCC GCM's claim that all warming observed from 1970 to 2000 has been anthropogenically induced is erroneous because of the GCM failure in reconstructing the quasi 20-year and 60-year climatic cycles. Finally, we show how the presence of these large natural cycles can be used to correct the IPCC projected anthropogenic warming trend for the 21st century. By combining this corrected trend with the natural cycles, we show that the temperature may not significantly increase during the next 30 years mostly because of the negative phase of the 60-year cycle. If multisecular natural cycles (which according to some authors have significantly contributed to the observed 1700-2010 warming and may contribute to an additional natural cooling by 2100) are ignored, the same IPCC projected anthropogenic emissions would imply a global warming by about 0.3-1.2 °C by 2100, contrary to the IPCC 1.0-3.6 °C projected warming. The results of this paper reinforce previous claims that the relevant physical mechanisms that explain the detected climatic cycles are still missing in the current GCMs and that climate variations at the multidecadal scales are astronomically induced and, in first approximation, can be forecast.

© 2011 Elsevier Ltd. All rights reserved.

1. Introduction

Herein, we test the performance of a recently proposed astronomical-based empirical harmonic climate model (Scafetta, 2010b, in press) against all general circulation climate models (GCMs) adopted by the IPCC (2007) to interpret climate change during the last century. A large supplement file with all GCM simulations herein studied plus additional information is added to this manuscript. A reader is invited to look at the figures depicting the single GCM runs there reported to have a feeling about the performance of these models.

*Tel.: +1 919 660 2643.

E-mail addresses: ns2002@duke.edu, nicola.scafetta@gmail.com

1364-6826/\$ - see front matter @ 2011 Elsevier Ltd. All rights reserved. doi:10.1016/j.jastp.2011.12.005

The astronomical harmonic model assumes that the climate system is resonating with or is synchronized to a set of natural frequencies of the solar system. The synchronicity between solar system oscillations and climate cycles has been extensively discussed and argued in Scafetta (2010a,b, 2011b), and in the numerous references cited in those papers. We used the velocity of the Sun relative to the barycenter of the solar system and a record of historical mid-latitude aurora events. It was observed that there is a good synchrony of frequency and phase between multiple astronomical cycles with periods between 5 and 100 years and equivalent cycles found in the climate system. We refer to those works for details and statistical tests. The major hypothesized mechanism is that the planets, in particular Jupiter and Saturn, induce solar or heliospheric oscillations that induce equivalent oscillations in the electromagnetic properties of the

upper atmosphere. The latter induces similar cycles in the cloud cover and in the terrestrial albedo forcing the climate to oscillate in the same way. The soli/lunar tidal cyclical dynamics also appears to play an important role in climate change at specific frequencies.

This work focuses only on the major decadal and multidecadal oscillations of the climate system, as observed in the global surface temperature data since AD 1850. A more detailed discussion about the interpretation of the secular climate warming trending since AD 1600 can be found in Scafetta and West (2007) and in Scafetta (2009) and in numerous other references there cited. About the millenarian cycle since the Middle Age a discussion is present in Scafetta (2010a) where the relative contribution of solar, volcano and anthropogenic forcing is also addressed, and in the numerous references cited in the above three papers. Also correlation studies between the secular trend of the temperature and the geomagnetic aa-index, the sunspot number and the solar cycle length address the above issue and are quite numerous: for example Hoyt and Schatten (1997), Sonnemann (1998), and Thejll and Lassen (2000). Thus, a reader interested in better understanding the secular climate trending topic is invited to read those papers. In particular, about the 0.8 °C warming trending observed since 1900 numerous empirical studies based on the comparison between the past climate secular and multisecular patterns and equivalent solar activity patterns have concluded that at least 50-70% of the observed 20th century warming could be associated to the increase of solar activity observed since the Maunder minimum of the 17th century: for example see Scafetta and West (2007), Scafetta (2009), Loehle and Scafetta (2011), Soon (2009), Soon et al. (2011), Kirkby (2007), Hoyt and Schatten (1997), Le Mouël et al. (2008), Theill and Lassen (2000), Weihong and Bo (2010), and Eichler et al. (2009). Moreover, Humlum et al. (2011) noted that the natural multisecular/milennial climate cycles observed during the late Holocene climate change clearly suggest that the secular 20th century warming could be mostly due to these longer natural cycles, which are also expected to cool the climate during the 21th century. A similar conclusion has been reached by another study focusing on the multisecular and millennial cycles observed in the temperature in the centraleastern Tibetan Plateau during the past 2485 years (Liu et al., 2011). For the benefit of the reader, in Section 7 in the supplement file the results reported in two of the above papers are very briefly presented to graphically support the above claims.

It is important to note that the above empirical results contrast greatly with the GCM estimates adopted by the IPCC claiming that more than 90% of the warming observed since 1900 has been anthropogenically induced (compare Figures 9.5a and b in the IPCC report which are reproduced in Section 4 in the supplement file). In the above papers it has been often argued that the current GCMs miss important climate mechanisms such as, for example, a modulation of the cloud system via a solar induced modulation of the cosmic ray incoming flux, which would greatly amplify the climate sensitivity to solar changes by modulating the terrestrial albedo (Scafetta, 2011b:; Kirkby, 2007; Svensmark, 1998, 2007; Shaviv, 2008).

In addition to a well-known decadal climate cycle commonly associated to the Schawbe solar cycle by numerous authors (Hoyt and Schatten, 1997), several studies have emphasized that the climate system is characterized by a quasi bi-decadal (from 18 year to 22 year) oscillation and by a quasi 60-year oscillation (Stockton et al., 1983; Currie, 1984; Cook et al., 1997; Agnihotri and Dutta, 2003; Klyashtorin et al., 2009; Sinha et al., 2005; Yadava and Ramesh, 2007; Jevrejeva et al., 2008; Knudsen et al., 2011; Davis and Bohling, 2001; Scafetta, 2010b; Weihong and Bo, 2010; Mazzarella and Scafetta, 2011; Scafetta, in press). For example, quasi 20-year and 60-year large cycles are clearly detected in all global surface temperature instrumental records

of both hemispheres since 1850 as well as in numerous astronomical records. There is a phase synchronization between these terrestrial and astronomical cycles. As argued in Scafetta (2010b), the observed quasi bidecadal climate cycle may also be around a 21-year periodicity because of the presence of the 22-year solar Hale magnetic cycle, and there may also be an additional influence of the 18.6-year soli/lunar nodal cycle. However, for the purpose of the present paper, we can ignore these corrections which may require other cycles at 18.6 and 22 years. In the same way, we ignore other possible slight cycle corrections due to the interference/resonance with other planetary tidal cycles and with the 11-year and 22-year solar cycles, which are left to another study.

About the 60-year cycle it is easy to observe that the global surface temperature experienced major maxima in 1880-1881, 1940-1941 and 2000-2001. These periods occurred during the Jupiter/Saturn great conjunctions when the two planets were quite close to the Sun and the Earth. This events occur every three I/S synodic cycles. Other local temperature maxima occurred during the other J/S conjunctions, which occur every about 20 years: see Figures 10 and 11 in Scafetta (2010b), where this correspondence is shown in details through multiple filtering of the data. Moreover, the tides produced by Jupiter and Saturn in the heliosphere and in the Sun have a period of about $0.5/(1/11.86-1/29.45) \approx 10$ years plus the 11.86-year Jupiter orbital tidal cycles. The two tides beat generating an additional cycle at about 1/(2/19.86-1/11.86) = 61 years (Scafetta, in press). Indeed, a quasi 60-year climatic oscillations have likely an astronomical origin because the same cycles are found in numerous secular and millennial aurora and other solar related records (Charvátová et al., 1988; Komitov, 2009; Ogurtsov et al., 2002; Patterson et al., 2004; Yu et al., 1983; Scafetta, 2010a,b, 2011b: Mazzarella and Scafetta. 2011).

A 60-year cycle is even referenced in ancient Sanskrit texts among the observed monsoon rainfall cycles (Iyengar, 2009), a fact confirmed by modern monsoon studies (Agnihotri and Dutta, 2003). It is also observed in the sea level rise since 1700 (Jevrejeva et al., 2008) and in numerous ocean and terrestrial records for centuries (Klyashtorin et al., 2009). A natural 60-year climatic cycle associated to planetary astronomical cycles may also explain the origin of 60-year cyclical calendars adopted in traditional Chinese, Tamil and Tibetan civilizations (Aslaksen, 1999). Indeed, all major ancient civilizations knew about the 20-year and 60-year astronomical cycles associated to Jupiter and Saturn (Temple, 1998).

In general, power spectrum evaluations have shown that frequency peaks with periods of about 9.1, 10–10.5, 20–22 and 60–63 years are the most significant ones and are common between astronomical and climatic records (Scafetta, 2010b, in press). Evidently, if climate is described by a set of harmonics, it can be in first approximation reconstructed and forecast by using a planetary harmonic constituent analysis methodology similar to the one that was first proposed by Lord Kelvin (Thomson, 1881; Scafetta, in press) to accurately reconstruct and predict tidal dynamics. The harmonic constituent model is just a superposition of several harmonic terms of the type

$$F(t) = A_0 + \sum_{i=1}^{N} A_i \cos(\omega_i t + \phi_i),$$
 (1)

whose frequencies ω_i are deduced from the astronomical theories and the amplitude A_i and phase ϕ_i of each harmonic constituent are empirically determined using regression on the available data, and then the model is used to make forecasts. Several harmonics are required: for example, most locations in the United States use computerized forms of Kelvin's tide-predicting machine with 35–40 harmonic constituents for predicting local tidal amplitudes

(Ehret, 2008), so a reader should not be alarmed if many harmonic constituents may be needed to accurately reconstruct the climate system.

Herein we show that a similar harmonic empirical methodology can, in first approximation, reconstruct and forecast global climate changes at least on a decadal and multidecadal scales, and that this methodology works much better than the current GCMs adopted by the IPCC (2007). In fact, we will show that the IPCC GCMs fail to reproduce the observed climatic oscillations at multiple temporal scales. Thus, the computer climate models adopted by the IPCC (2007) are found to be missing the important physical mechanisms responsible for the major observed climatic oscillations. An important consequence of this finding is that these GCMs have seriously misinterpreted the reality by significantly overestimating the anthropogenic contribution, as also other authors have recently claimed (Douglass et al., 2007; Lindzen and Choi, 2011; Spencer and Braswell, 2011). Consequently, the IPCC projections for the 21st century should not be trusted.

2. The IPCC GCMs do not reproduce the global surface temperature decadal and multidecadal cycles

Fig. 1 depicts the monthly global surface temperature anomaly (from the base period 1961-1990) of the Climatic Research Unit (HadCRUT3) (Brohan et al., 2006) from 1850 to 2011 against an advanced general circulation model average simulation (Hansen et al., 2007), which has been slightly shifted downward for visual convenience. The chosen units are the degree Celsius in agreement with the climate change literature referring to temperature anomalies. The GISS ModelE is one of the major GCMs adopted by the IPCC (2007). Here we study all available climate model simulations for the 20th century collected by Program for Climate Model Diagnosis and Intercomparison (PCMDI) mostly during the years 2005 and 2006, and this archived data constitutes phase 3 of the Coupled Model Intercomparison Project (CMIP3). These GCMs, use the observed radiative forcings (simulations "tas:20c3m") adopted by the IPCC (2007). All GCM simulations are depicted and analyzed in Section 2 of the supplement file added to this paper. These GCM simulations cover a period that may begin during the second half of the 19th century and end during the 21th century. The following calculations are based on the maximum overlapping period between each model simulation and the 1850–2011 temperature period. The CMIP3 GCM simulations analyzed here can be downloaded from Climate Explorer web-site: see the supplement file for details.

A simple visual inspection suggests that the temperature presents a quasi 60-year cyclical modulation oscillating around an upward trend (Scafetta, 2010b, 2011b; Loehle and Scafetta, 2011). In fact, we have the following 30-year trending patterns: 1850–1880, warming: 1880–1910, cooling: 1910–1940, warming: 1940-1970, cooling: 1970-2000, warming: and it is almost steady or presents a slight cooling since 2001 (2001-2011.5 rate = -0.46 ± 0.3 °C/ century). Other global temperature reconstructions, such as the GISSTEM (Hansen et al., 2007) and the GHCN-Mv3 by NOAA, present similar patterns (see Section 1 in the supplement file). Note that GISSTEM/1200 presents a slight warming since 2001 (2001–2011.5 rate = $+0.47 \pm 0.3$ °C/ century), which appears to be due to the GISS poorer temperature sampling during the last decade for the Antarctic and Arctic regions that were artificially filled with a questionable 1200 km smoothing methodology (Tisdale, 2010). However, when a 250 km smooth methodology is applied, as in GISSTEM/250, the record shows a slight cooling during the same period (2001–2011.5 rate = -0.16 ± 0.3 °C/ century). HadCRUT data has much better coverage of the Arctic and Southern Oceans that GISSTEM and, therefore, it is likely more accurate. Note that CRU has recently produced an update of their SST ocean record, HadSST3 (Kennedy et al., 2011), but it stops in 2006 and was not merged yet with the land record. This new corrected record presents an even clearer 60-year modulation than the HadSST2 record because in it the slight cooling from 1940 to 1970 is clearer (Mazzarella and Scafetta, 2011).

Indeed, the 60-year cyclicity with peaks in 1940 and 2000 appears quite more clearly in numerous regional surface temperature reconstructions that show a smaller secular warming trending. For example, in the United States (D'Aleo, 2011), in the Arctic region (Soon, 2009), in several single stations in Europe and other places (Le Mouël et al., 2008) and in China (Soon et al., 2011). In any case, a 60-year cyclical modulation is present for both the Norther and Southern Hemisphere and for both Land and

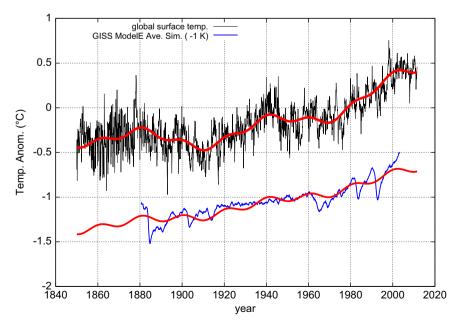


Fig. 1. Global surface temperature (top, http://www.cru.uea.ac.uk/cru/data/temperature/) and GISS ModelE average simulation (bottom). The records are fit with Eq. (5). Note also the large volcano eruption signatures that appear clearly overestimated in the GCM's simulation.

Please cite this article as: Scafetta, N., Testing an astronomically based decadal-scale empirical harmonic climate model versus the IPCC (2007) general.... Journal of Atmospheric and Solar-Terrestrial Physics (2011), doi:10.1016/j.jastp.2011.12.005

Ocean regions (Scafetta, 2010b) even if it may be partially hidden by the upward warming trending. The 60-year modulation appears well correlated to a recently proposed solar activity reconstruction (Loehle and Scafetta, 2011).

The 60-year cyclical modulation of the temperature from 1850 to 2011 is further shown in Fig. 2 where the autocorrelation functions of the global surface temperature and of the GISS ModelE average simulation are compared. The autocorrelation function is defined as

$$r(\tau) = \frac{\sum_{t=1}^{N-\tau} (T_t - \overline{T})(T_{t+\tau} - \overline{T})}{\sqrt{\left[\sum_{t=1}^{N-\tau} (T_t - \overline{T})^2 \sum_{t=\tau}^{N} (T_t - \overline{T})^2\right]}},$$
(2)

where \overline{T} is the average of the N-data long temperature record and τ is the time-lag. The autocorrelation function of the global surface temperature (Fig. 2A) and of the same record detrended of its quadratic trend (Fig. 2B) reveals the presence of a clear cyclical pattern with minima at about 30-year lag and 90-year lag, and maxima at about 0-year lag and 60-year lag. This pattern indicates the presence of a quasi 60-year cyclical modulation in the record. Moreover, because both figures show the same pattern it is demonstrated that the quadratic trend does not artificially creates the 60-year cyclicity. On the contrary, the GISS ModelE average simulation produces a very different autocorrelation pattern lacking any cyclical modulation. Fig. 2C shows the autocorrelation function of the two records detrended also of their

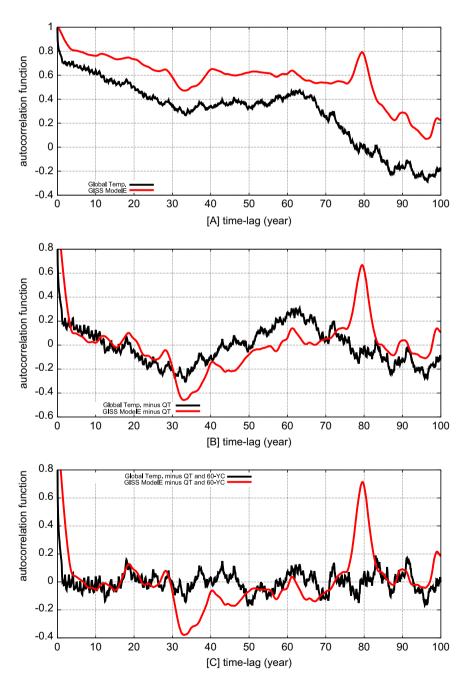


Fig. 2. Autocorrelation function (Eq. (2)) of the global surface temperature and of the GISS ModelE average simulation: [A] original data; [B] data detrended of their quadratic fit; [C] the 60-year modulation is further detrended. Note the 60-year cyclical modulation of the autocorrelation of the temperature with minima at 30-year and 90-year lags and maxima at 0-year and 60-year lags, which is not reproduced by the GCM simulation. Moreover, the computer simulation presents an autocorrelation peak at 80-year lag related to a pattern produced by volcano eruptions, which is absent in the temperature. See Section 5 in the supplement file for further evidences about the GISS ModelE serious overestimation of the volcano signal in the global surface temperature record.

60-year cyclical fit, and the climatic record appears to be characterized by a quasi 20-year smaller cycle, as deduced by the small but visible quasi regular 20-year waves, at least up to a time-lag of 70 years after which other faster oscillations with a decadal scale dominate the pattern. On the contrary, the autocorrelation function of the GCM misses both the decadal and bidecadal oscillations and again shows a strong 80-year lag peak, absent in the temperature. The latter peak is due to the quasi 80-year lag between the two computer large volcano eruption signatures of Krakatoa (1883) and Agung (1963-1964), and to the quasi 80-year lag between the volcano signatures of Santa Maria (1902) and El Chichón (1982). Because this 80-year lag autocorrelation peak is not evident in the autocorrelation function of the global temperature we can conjecture that the GISS ModelE is significantly overestimating the volcano signature, in addition to not reproducing the natural decadal and multidecadal temperature cycles: this claim is further supported in Section 5 of the supplement file.

A similar qualitative conclusion applies also to all other GCMs used by the IPCC, as shown in Section 2 of the supplement file. The single GCM runs as well as their average reconstructions appear quite different from each other: some of them are quite flat until 1970, others are simply monotonically increasing. Volcano signals often appear overestimated. Finally, although these GCM simulations present some kind of red-noise variability supposed to simulate the multi-annual, decadal and multidecadal natural variability, a simple visual comparison among the simulations and the temperature record gives a clear impression that the simulated variability has nothing to do with the observed temperature dynamics. In conclusion, a simple visual analysis of the records suggests that the temperature is characterized 10-year. 20-year and 60-year oscillations that are simply not reproduced by the GCMs. This is also implicitly indicated by the very smooth and monotonically increasing pattern of their average reconstruction depicted in the IPCC figure SPM.5 (see Section 4 in the supplement file).

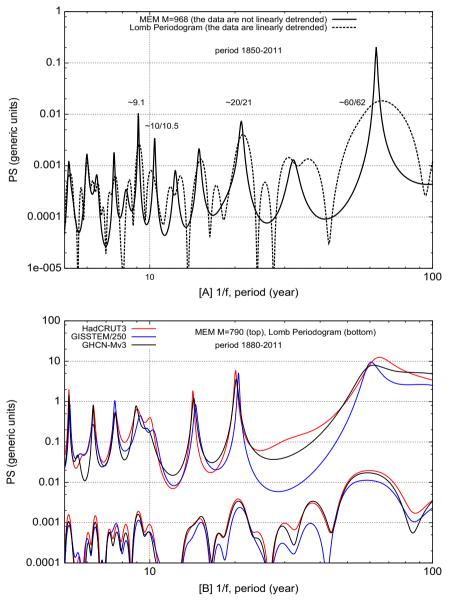


Fig. 3. [A] Maximum Entropy Method (MEM) with M=N/2 (solid) poles and the Lomb Periodogram (dash) of the HadCRUT3 global surface temperature monthly sampled from 1850 to 2011 (see Section 3 of the supplement file for details and explanations). The two techniques produce the same peaks, but MEM produces much sharper peaks. The major four peaks are highlighted in the figure. [B] As above for the HadCRUT, GISSTEM/250 and GHCN-Mv3 global surface temperature records during the period 1880–2011: see Section 1 in the supplement file. Note that the spectra are quite similar, but for GISSTEM the cycles are somehow slightly smoother and smallers than for the other two sequences, as the bottom curves show.

Fig. 3A and B shows two power spectra estimates of the temperature records based on the Maximum Entropy Method (MEM) and the Lomb periodogram (Press et al., 2007). Four major peaks are found at periods of about 9.1, 10-10.5, 20-21 and 60-62 years: other common peaks are found but not discussed here. Both techniques produce the same spectra. To verify whether the detected major cycles are physically relevant and not produced by some unspecified noise or by the specific sequences, mathematical algorithms and physical assumptions used to produce the HadCRUT record, we have compared the same double power spectrum analysis applied to the three available global surface temperature records (HadCRUT3, GIS-STEM/250 and GHCN-Mv3) during their common overlapping time period (1880-2011): see also Section 1 in the supplement file. As shown in the figures the temperature sequences present almost identical power spectra with major common peaks at about 9.1, 10-10.5, 20 and 60 years. Note that in Scafetta (2010b), the relevant frequency peaks of the temperature were determined by comparing the power spectra of HadCRUT temperature records referring to different regions of the Earth such as those referring to the Northern and Southern hemispheres, and to the Land and the Ocean. So, independent major global surface temperature records present the same major periodicities: a fact that further argues for the physical global character of the detected spectral peaks.

Note that a methodology based on a spectral comparison of independent records is likely more physically appropriate than using purely statistical methodologies based on Monte Carlo randomization of the data, that may likely interfere with weak dynamical cycles. Note also that a major advantage of MEM is that it produces much sharper peaks that allow a more detailed analysis of the *low-frequency band* of the spectrum. Section 5 in the supplement file contains a detailed explanation about the number of poles needed to let MEM to resolve the very-low frequency range of the spectrum: see also Courtillot et al. (1977).

Because the temperature record presents major frequency peaks at about 20-year and 60-year periodicities plus an apparently accelerating upward trend, it is legitimate to extract these multidecadal patterns by fitting the temperature record (monthly sampled) from 1850 to 2011 with the 20 and 60-year cycles plus a quadratic polynomial trend. Thus, we use a function f(t)+p(t) where the harmonic component is given by

$$f(t) = C_1 \cos \left[\frac{2\pi (t - T_1)}{60} \right] + C_2 \cos \left[\frac{2\pi (t - T_2)}{20} \right], \tag{3}$$

and the upward quadratic trending is given by

$$p(t) = P_2 * (t - 1850)^2 + P_1 * (t - 1850) + P_0.$$
(4)

The regression values for the harmonic component are $C_1=0.10\pm0.01\,^{\circ}\text{C}$ and $C_2=0.040\pm0.005\,^{\circ}\text{C}$, and the two dates are $T_1=2000.8\pm0.5\,\text{AD}$ and $T_2=2000.8\pm0.5\,\text{AD}$. For the quadratic component we find $P_0=-0.30\pm0.2\,^{\circ}\text{C}$, $P_1=-0.0035\pm0.0005\,^{\circ}\text{C}$ /year and $P_2=0.000049\pm0.000002\,^{\circ}\text{C}$ /year². Note that the two cosine phases are free parameters and the regression model gives the same phases for both harmonics, which suggests that they are related. Indeed, this common phase date approximately coincides with the closest (to the sun) conjunction between Jupiter and Saturn, which occurred (relative to the Sun) on June/23/2000 (\approx 2000.5), as better shown in Scafetta (2010b).

It is important to stress that the above quadratic function p(t) is just a convenient geometrical representation of the observed warming accelerating trend during the last 160 years, not outside the fitting interval. Another possible choice, which uses two linear approximations during the periods 1850–1950 and 1950–2011, has also be proposed (Loehle and Scafetta, 2011). However, our

quadratic fitting trending cannot be used for forecasting purpose, and it is not a component of the astronomical harmonic model. Section 4 will address the forecast problem in details.

It is possible to test how well the IPCC GCM simulations reproduce the 20 and 60-year temperature cycles plus the upward trend from 1850 to 2011 by fitting their simulations with the following equation:

$$m(t) = a*0.10 \cos \left[\frac{2\pi(t - 2000.8)}{60} \right] + b*0.040 \cos \left[\frac{2\pi(t - 2000.8)}{20} \right] + c*p(t) + d,$$
 (5)

where a, b, c and d are regression coefficients. Values of a, b and c statistically compatible with the number 1 indicate that the model well reproduces the observed temperature 20 and 60-year cycles, and the observed upward temperature trend from 1850 to 2011. On the contrary, values of a, b and c statistically incompatible with 1 indicate that the model does not reproduce the observed temperature patterns.

The regression values for all GCM simulations are reported in Table 1. Fig. 4 shows the values of the regression coefficients a, b and c for the 26 climate model ensemble-mean records and all fail to well reconstruct both the 20 and the 60-year oscillations found in the climate record. In fact, the values of the regression coefficients a and b are always well below the optimum value of 1, and for some model these values are even negative. The average among the 26 models is $a = 0.30 \pm 0.22$ and $b = 0.35 \pm 0.42$, which are statistically different from 1. This result would not change if all available single GCM runs are analyzed separately, as extensively shown in Section 2 of the supplement file.

About the capability of the GCMs of reproducing the upward temperature trend from 1850 to 2011, which is estimated by the regression coefficient c, we find a wide range of results. The average is $c=1.11\pm0.50$, which is centered close to the optimum value 1. This result explains why the multi-model global surface average simulation depicted in the IPCC figures 9.5 and SPM.5 apparently reproduces the 0.8 °C warming observed since 1900. However, the results about the regression coefficient c vary greatly from model to model: a fact that indicates that these GCMs usually also fail to properly reproduce the observed upward warming trend from 1850 to 2011.

Table 1 and the tables in Section 2 in the supplement file also report the estimated reduced χ^2 values between the measured GCM coefficients a_m , b_m and c_m (index "m" for model) and the values of the same coefficients a_T , b_T and c_T (index "T" for temperature) estimated for the temperature. The reduced χ^2 (chi square) values for three degree of freedom (that is three independent variables) are calculated as

$$\chi^{2} = \frac{1}{3} \left[\frac{(a_{m} - a_{T})^{2}}{\Delta a_{m}^{2} + \Delta a_{T}^{2}} + \frac{(b_{m} - b_{T})^{2}}{\Delta b_{m}^{2} + \Delta b_{T}^{2}} + \frac{(c_{m} - c_{T})^{2}}{\Delta c_{m}^{2} + \Delta c_{T}^{2}} \right], \tag{6}$$

where the Δ values indicate the measured regression errors. We found $\chi^2 \gg 1$ for all models: a fact that proves that all GCMs fail to simultaneously reproduce the 20-year, 60-year and the upward trend observed in the temperature with a probability higher than 99.9%. This χ^2 measure based on the multidecadal patterns is quite important because climate changes on a multidecadal scale are usually properly referred to as *climate changes*, and a climate model should at least get these temperature variations right to have any practical economical medium-range planning utility such as street construction planning, agricultural and industrial location planning, prioritization of scientific energy production research versus large scale applications of current very expensive green energy technologies, etc.

Table 1

Values of the regression parameters of Eq. (5) obtained by fitting the 25 IPCC (2007) climate GCM ensemble-mean estimates. #1 refers to the ensemble average of the GISS ModelE depicted in Fig. 1a; #2-#26 refers to the 25 IPCC GCMs. Pictures and analysis concerning all 95 records including each single GCM run are shown in Section 2 in the supplement file that accompanies this paper. The optimum value of these regression parameters should be a = b = c = 1 as presented in the first raw that refers to the regression coefficients of the same model used to fit the temperature record. The last column refers to a reduced χ^2 test based on three coefficients a, b and c: see Eq. (6). This determines the statistical compatibility of the regression coefficient measured for the GCM models and those observed in the temperature. It is always measured a reduced $\chi^2 \gg 1$ for three degrees of freedom, which indicates that the statistical compatibility of the GCMs with the observed 60-year, 20-year temperature cycles plus the secular trending is less than 0.1%. These GCM regression values are depicted in Fig. 4: the regression coefficients for each available GCM simulation are reported in the supplement file. The χ^2 test in the first line refers to the compatibility of the proposed model in Eq. (3) relative to the ideal case of a = b = c = 1 that gives a reduced $\chi^2 = 0.21$ which imply that the statistical compatibility of Eq. (3) with the temperature cycles plus the secular trending is about 90%. The fit has been implemented using the nonlinear least-squares (NLLS) Marquardt-Levenberg algorithm.

#	Model	a (60-year)	b (20-year)	c (trend)	d (bias)	χ^2 (abc)
	Temp	1.03 ± 0.05	0.99 ± 0.12	1.01 ± 0.02	0.00 ± 0.01	0.21
1	GISS ModelE	0.25 ± 0.03	0.90 ± 0.08	0.80 ± 0.01	0.08 ± 0.01	89
2	BCC CM1	0.63 ± 0.03	0.69 ± 0.09	0.54 ± 0.02	0.08 ± 0.01	109
3	BCCR BCM2.0	0.29 ± 0.05	0.06 ± 0.11	0.40 ± 0.02	0.08 ± 0.01	202
4	CGCM3.1 (T47)	0.35 ± 0.03	-0.28 ± 0.07	2.02 ± 0.01	0.40 ± 0.01	753
5	CGCM3.1 (T63)	0.11 ± 0.05	0.05 ± 0.11	2.07 ± 0.02	0.40 ± 0.01	536
6	CNRM CM3	-0.01 ± 0.07	-0.27 ± 0.18	2.02 ± 0.03	0.39 ± 0.01	322
7	CSIRO MK3.0	0.30 ± 0.04	-0.12 ± 0.11	0.48 ± 0.02	0.08 ± 0.01	176
8	CSIRO MK3.5	-0.19 ± 0.04	-0.19 ± 0.10	1.38 ± 0.02	0.25 ± 0.01	197
9	GFDL CM2.0	0.44 ± 0.05	0.90 ± 0.12	1.12 ± 0.02	0.21 ± 0.01	28
10	GFDL CM2.1	0.37 ± 0.07	0.75 ± 0.17	1.37 ± 0.03	0.26 ± 0.01	53
11	GISS AOM	0.22 ± 0.03	-0.14 ± 0.06	1.10 ± 0.01	0.22 ± 0.01	93
12	GISS EH	0.48 ± 0.04	0.96 ± 0.11	0.80 ± 0.02	0.14 ± 0.01	43
13	GISS ER	0.47 ± 0.04	0.80 ± 0.08	0.90 ± 0.02	0.11 ± 0.01	31
14	FGOALS g1.0	0.10 ± 0.09	-0.15 ± 0.21	0.28 ± 0.03	0.06 ± 0.01	171
15	INVG ECHAM4	-0.12 ± 0.05	0.37 ± 0.12	1.34 ± 0.02	0.24 ± 0.01	138
16	INM CM3.0	0.30 ± 0.07	0.47 ± 0.18	1.34 ± 0.03	0.24 ± 0.01	54
17	IPSL CM4	0.13 ± 0.06	0.05 ± 0.14	1.37 ± 0.02	0.26 ± 0.01	107
18	MIROC3.2 Hires	0.35 ± 0.05	0.92 ± 0.12	1.43 ± 0.02	0.19 ± 0.01	104
19	MIROC3.2 Medres	0.34 ± 0.03	0.76 ± 0.09	0.72 ± 0.01	0.14 ± 0.01	104
20	ECHO G	0.58 ± 0.04	0.16 ± 0.10	0.98 ± 0.02	0.18 ± 0.01	26
21	ECHAM5/MPI-OM	0.19 ± 0.04	0.31 ± 0.09	0.70 ± 0.02	-0.02 ± 0.01	104
22	MRI CGCM 2.3.2	0.31 ± 0.03	0.03 ± 0.07	1.36 ± 0.01	0.27 ± 0.01	149
23	CCSM3.0	0.34 ± 0.04	0.43 ± 0.10	1.29 ± 0.02	0.24 ± 0.01	76
24	PCM	0.77 ± 0.05	0.49 ± 0.12	1.00 ± 0.02	0.16 ± 0.01	7
25	UKMO HADCM3	0.28 ± 0.05	0.56 ± 0.11	0.94 ± 0.02	0.18 ± 0.01	42
26	UKMO HADGEM1	0.52 ± 0.04	0.63 ± 0.10	1.05 ± 0.02	0.20 ± 0.01	24
	Average	0.30 ± 0.22	0.35 ± 0.41	1.11 ± 0.47	0.19 ± 0.11	143.8

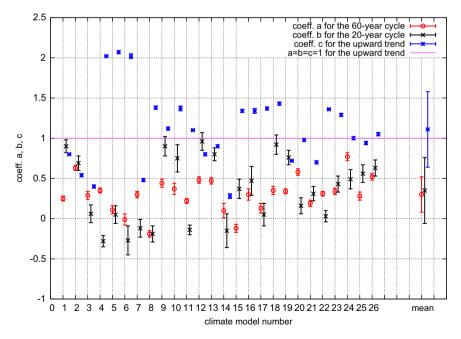


Fig. 4. Values of the regression coefficients *a, b* and *c* relative to the amplitude of the 60 and 20-year cycles, and the upward trend obtained by regression fit of the 26 GCM simulations of the 20th century used by the IPCC. See Table 1 and Section 2 in the supplement file for details. The result shows that all GCMs significantly fail in reproducing the 20-year and 60-year cycle amplitudes observed in the temperature record by an average factor of 3.

Please cite this article as: Scafetta, N., Testing an astronomically based decadal-scale empirical harmonic climate model versus the IPCC (2007) general.... Journal of Atmospheric and Solar-Terrestrial Physics (2011), doi:10.1016/j.jastp.2011.12.005

It is also possible to include in the discussion the two detected decadal cycles as

$$g(t) = C_3 \cos \left[\frac{2\pi(t - T_3)}{10.44} \right] + C_4 \cos \left[\frac{2\pi(t - T_4)}{9.07} \right]. \tag{7}$$

A detailed discussion about the choice of the two above periods and their physical meaning is better addressed in Section 4. Fitting the temperature for the period 1850-2011 gives $C_3 = 0.03 \pm 0.01$ °C, $T_3 = 2002.7 \pm 0.5$ AD, $C_4 = 0.05 \pm 0.01$ °C, $T_4 = 1997.7 \pm 0.3$ AD. It is possible to test how well the IPCC GCMs reconstruct these two decadal cycles by fitting their simulations with the following equation:

$$n(t) = m(t) + s*0.03 \cos \left[\frac{2\pi(t - 2002.7)}{10.44} \right] + l*0.05 \cos \left[\frac{2\pi(t - 1997.7)}{9.07} \right],$$
(8)

where s and l are the regression coefficients. Values of s and l statistically compatible with the number 1 indicate that the model well reproduces the two observed decadal temperature cycles, respectively. On the contrary, values of s and l statistically incompatible with 1 indicate that the model does not reproduce the observed temperature cycles. The results referring the average model run, as defined above, are reported in Table 2, where it is evident that the GCMs fail to reproduce these two decadal cycles as well. The average values among the 26 models is s = 0.06 \pm 0.40 and l = 0.34 \pm 0.37, which are statistically different from 1. In many cases the regression coefficients are even negative. The

Table 2

Values of the regression parameters s and l of Eq. (8) obtained by fitting the 26 IPCC (2007) climate GCM ensemble-mean estimates. The fit has been implemented using the nonlinear least-squares (NLLS) Marquardt-Levenberg algorithm. Note that the two regression coefficients are quite different from the optimum values s=l=1, as found for the temperature. The column referring to the reduced χ^2 test is based on all five regression coefficients (a, b, c, s and l) by extending Eq. (6). Again it is always observed a $\chi^2 \gg 1$, which indicates incompatibility between the GCM and the temperature patterns. The last column indicates the RMS residual values between the 4-year average smooth curves of each GCM simulation and the 4-year average smooth curve of the temperature: the value associated to the first raw (temperature) RMS=0.051 °C) refers to the RMS of the astronomical harmonic model that suggests that the latter is statistically 2–5 times more accurate than the GCM simulations in reconstructing the temperature record.

#	Model	s (10.44-year)	l (9.1-year)	χ² (abcsl)	RMS (°C)
0	Temperature	1.06 ± 0.16	0.99 ± 0.10	0.15	0.051
1	GISS ModelE	$\textbf{0.30} \pm \textbf{0.11}$	0.40 ± 0.07	61	0.107
2	BCC CM1	0.53 ± 0.11	0.49 ± 0.07	70	0.105
3	BCCR BCM2.0	-0.11 ± 0.15	0.06 ± 0.09	137	0.158
4	CGCM3.1 (T47)	-0.47 ± 0.09	0.06 ± 0.06	479	0.212
5	CGCM3.1 (T63)	0.39 ± 0.15	-0.11 ± 0.09	337	0.220
6	CNRM CM3	0.22 ± 0.24	-0.07 ± 0.14	202	0.229
7	CSIRO MK3.0	-0.54 ± 0.14	-0.01 ± 0.09	128	0.169
8	CSIRO MK3.5	-0.53 ± 0.13	0.44 ± 0.08	134	0.156
9	GFDL CM2.0	-0.26 ± 0.16	0.62 ± 0.10	25	0.113
10	GFDL CM2.1	0.13 ± 0.23	0.98 ± 0.14	34	0.170
11	GISS AOM	0.19 ± 0.09	0.10 ± 0.05	73	0.101
12	GISS EH	$\textbf{0.27} \pm \textbf{0.14}$	0.66 ± 0.09	30	0.106
13	GISS ER	$\textbf{0.29} \pm \textbf{0.11}$	0.48 ± 0.07	25	0.094
14	FGOALS g1.0	-0.69 ± 0.29	0.23 ± 0.17	111	0.252
15	INVG ECHAM4	-0.35 ± 0.16	-0.23 ± 0.10	105	0.132
16	INM CM3.0	-0.15 ± 0.24	1.01 ± 0.14	36	0.150
17	IPSL CM4	0.49 ± 0.19	0.48 ± 0.11	68	0.137
18	MIROC3.2 Hires	0.17 ± 0.16	0.43 ± 0.09	69	0.122
19	MIROC3.2 Medres	$\textbf{0.24} \pm \textbf{0.11}$	0.47 ± 0.07	69	0.106
20	ECHO G	0.52 ± 0.13	0.54 ± 0.08	20	0.097
21	ECHAM5/MPI-OM	0.15 ± 0.12	-0.09 ± 0.07	82	0.126
22	MRI CGCM 2.3.2	$\textbf{0.04} \pm \textbf{0.10}$	0.25 ± 0.06	103	0.114
23	CCSM3.0	0.12 ± 0.13	0.91 ± 0.08	50	0.110
24	PCM	1.01 ± 0.16	0.70 ± 0.09	5	0.093
25	UKMO HADCM3	0.07 ± 0.15	-0.34 ± 0.09	49	0.123
26	UKMO HADGEM1	-0.46 ± 0.14	$\textbf{0.32} \pm \textbf{0.08}$	30	0.107
	Average	$\textbf{0.06} \pm \textbf{0.40}$	$\textbf{0.34} \pm \textbf{0.37}$	97.39	0.139

table also includes the reduced χ^2 (chi square) values for five degree of freedom by extending Eq. (6) to include the other two decadal cycles. Again, we found $\chi^2 \gg 1$ for all models.

Finally, we can estimate how well the astronomical model made of the sum of the four harmonics plus the quadratic trend (that is f(t)+g(t)+p(t)) reconstructs the 1850–2011 temperature record relative to the GCM simulations. For this purpose we evaluate the root mean square (RMS) residual values between the 4-year average smooth curves of each GCM average simulation and the 4-year average smooth of the temperature curve, and we do the same between the astronomical model and the 4-year average smooth temperature curve. We use a 4-year average smooth because the model is not supposed to reconstruct the fast sub-decadal fluctuations. The RMS residual values are reported in Table 2. The RMS residual value relative to the harmonic model is 0.051 °C, while for the GCMs we get RMS residual values from 2 to 5 times larger. This result further indicates that the geometrical model is significantly more accurate than the GCMs in reconstructing the global surface temperature from 1850 to 2011.

The above finding reinforces the conclusion of Scafetta (2010b) that the IPCC (2007) GCMs do not reproduce the observed major decadal and multidecadal dynamical patterns observed in the global surface temperature record. This conclusion does not change if the single GCM runs are studied.

3. Reconstruction of the global surface temperature oscillations: 1880–2011

A regression model may always produce results in a reasonable agreement within the same time interval used for its calibration. Thus, showing that an empirical model can reconstruct the same data used for determining its free regression parameters would be not surprising, in general. However, if the same model is shown to be capable of forecasting the patterns of the data outside the temporal interval used for its statistical calibration, then the model likely has a physical meaning. In fact, in the later case the regression model would be using constructors that are not simply independent generic mathematical functions, but are functions that capture the dynamics of the system under study. Only a mathematical model that is shown to be able to both reconstruct and forecast (or predict) the observations is physically relevant according the scientific method.

The climate reconstruction efficiency of an empirical climate model based on a set of astronomical cycles with the periods herein analyzed has been tested and verified in Scafetta (2010b, in press) and Loehle and Scafetta (2011). Herein, we simply summarize some results for the benefit of the reader and for introducing the following section.

In Figures 10 and 11 in Scafetta (2010b) it is shown that the 20-year and 60-year oscillations of the speed of the Sun relative to the barycenter of the solar system are in a very good phase synchronization with the correspondent 20 and 60-year climate oscillations. Moreover, detailed spectra analysis has revealed that the climate system shares numerous other frequencies with the astronomical record.

In Figures 3 and 5 in Loehle and Scafetta (2011) it is shown that an harmonic model based on 20-year and 60-year cycles and free phases calibrated on the global surface temperature data for the period 1850–1950 is able to properly reconstruct the 20-year and 60-year modulation of the temperature observed since 1950. This includes a small peak around 1960, the cooling from 1940 to 1970, the warming from 1970 to 2000 and a slight stable/cooling trending since 2000. It was also found a quasi linear residual with a warming trending of about 0.66 ± 0.16 °C/century that was interpreted as due to a net anthropogenic warming trending.

In Scafetta (2011b), it was found that the historical midlatitude aurora record, mostly from central and southern Europe, presents the same major decadal and multidecadal oscillations of the astronomical records and of the global surface temperature herein studied. It has been shown that a harmonic model with aurora/astronomical cycles with periods of 9.1, 10.5, 20, 30 and 60 years calibrated during the period 1850-1950 is able to carefully reconstruct the decadal and multidecadal oscillations of the temperature record since 1950. Moreover, the same harmonic model calibrated during the period 1950-2010 is able to carefully reconstruct the decadal and multidecadal oscillations of the temperature record from 1850 to 1950. The argument about the 1850–1950-fit versus 1950–2010-fit is crucial for showing the forecasting capability of the proposed harmonic model. This property is what distinguishes a mere curve fitting exercise from a valid empirical dynamical model of a physical system. This is a major requirement of the scientific method. A preliminary physical model based on a forcing of the cloud system has been proposed to explain the synchrony between the climate system and the astronomical oscillations (Scafetta, 2011b).

The above results have supported the thesis that climate is forced by astronomical oscillations and can be partially reconstructed and forecasted by using the same cycles, but for an efficient forecast there is the need of additional information. This is done in the next section.

4. Corrected anthropogenic projected warming trending and forecast of the global surface temperature: period 2000–2100

Even assuming that the detected decadal and multidecadal cycles will continue in the future, to properly forecast climate variation for the next decades, additional information is necessary: (1) the amplitudes and the phases of possible multisecular and millennial cycles; (2) the net anthropogenic contribution to the climate warming according to realistic emission scenarios.

The first issue is left to another paper because it requires a detailed study of the paleoclimatic temperature proxy reconstructions which are relatively different from each other. These cycles are those responsible for the cooling periods during the Maunder and Dalton solar minima as well as for the Medieval Warm Period and the Little Ice Age. So, we leave out these cycles here. Considering that we may be at the very top of these longer cycles, ignoring their contribution may be reasonable only if our forecast is limited to the first decades of the 21st century. However, a rough preliminary estimate would suggest that these longer cycles may contribute globally to an additional cooling of about 0.1 °C by 2100 because the millenarian cycle presents an approximate min-max amplitude of about 0.5-0.7 °C (Ljungqvist, 2010) and the top of these longer cycles would occur somewhere during the 21st century (Humlum et al., 2011; Liu et al., 2011). Secular and millennial longer natural cycles could have contributed about 0.2-0.3 °C warming from 1850 to 2010 (Scafetta and West, 2007; Eichler et al., 2009: Scafetta, 2009, 2010a).

The second issue is herein explicitly addressed by using an appropriate argument that adopts the same GHG emission scenarios utilized by the IPCC, but correct their climatic effect. In fact, the combination of the 20-year and 60-year cycles, as evaluated in Eq. (3), should have contributed for about 0.3 °C of the 0.5 °C warming observed from 1970 to 2000. During this period the IPCC (2007) have claimed, by using the GCMs studied herein, that the natural forcing (solar plus volcano) would have caused a cooling up to 0.1–0.2 °C (see Figure 9.5 in the IPCC report, which is herein reproduced with added comments in Figure S3A in Section 4 in the supplement file). As it is evident in the IPCC Figure 9.5b (also shown in the supplement file), the IPCC GCM results imply that from 1970 to

2000 the net anthropogenic forcing contributed a net warming of the observed 0.5 °C plus, at most, another 0.2 °C, which had to offset the alleged natural volcano cooling of up to -0.2 °C. A 0.7 °C anthropogenic warming trend in this 30-year period corresponds to an average anthropogenic warming rate of about 2.3 °C/century since 1970. This value is a realistic estimate of the average GCM performance because the average GCM projected anthropogenic net warming rate is 2.3 ± 0.6 °C/century from 2000 to 2050 according to several GHG emission scenarios (see Figure SPM.5 in the IPCC report, which is herein reproduced with added comments in Figure S4B in the supplement file).

On the contrary, if about 0.3 °C of the warming observed from 1970 to 2000 has been naturally induced by the 60-year natural modulation during its warming phase, at least 43–50% of the alleged 0.6–0.7 °C anthropogenic warming has been naturally induced, and the 2.3 °C/century net anthropogenic trending should be reduced at least to 1.3 °C/century.

However, the GCM alleged 0.1–0.2 °C cooling from 1970 to 2000 induced by volcano activity may be a gross overestimation of the reality. In fact, as revealed in Fig. 2, the GCM climate simulation presents a strong volcano signature peak at 80-year time lag that is totally absent in the temperature record, even after filtering. This would imply that the volcano signature in the global surface temperature record should be quite smaller and shorter than what the GCMs estimate, as empirical studies have shown (Lockwood, 2008; Thompson et al., 2009). Section 5 of the supplement file shows that the GISS ModelE appears to greatly overestimate the long-time signature associated to volcano activity against the same signature as estimated by empirical studies.

Moreover, the observed 0.5 °C warming from 1970 to 2000, which the IPCC models associate to anthropogenic GHG plus aerosol emissions and to other anthropogenic effects, may also be partially due to poorly corrected urban heat island (UHI) and land use changes (LUC) effects, as argued in detailed statistical studies (McKitrick and Michaels, 2007; McKitrick, 2010). As extensively discussed in those papers, it may be reasonable that the ~ 0.5 °C warming reported since 1950–1970 in the available temperature records has been overestimated up to 0.1-0.2 °C because of poorly corrected UHI and LUC effects. Indeed, the land warming since 1980 has been almost twice the ocean warming, which may be not fully explained by the different heat capacity between land and ocean. Moreover, during the last decades the agencies that provide the global surface temperature records have changed several times the methodologies adopted to attempt to correct UHI and LUC spurious warming effects and, over time, have produced quite different records (D'Aleo, 2011). Curiously, the earlier reconstructions show a smaller global warming and a more evident 60-year cyclical modulation from 1940 to 2000 than the most recent ones.

Finally, there may be an additional natural warming due to multisecular and millennial cycles as explained in Introduction. In fact, the solar activity increased during the last four centuries (Scafetta, 2009), and the observed global surface warming during the 20th century is very likely also part of a natural and persistent recovery from the Little Ice Age of AD 1300–1900 (Scafetta and West, 2007; Scafetta, 2009; Loehle and Scafetta, 2011; Soon, 2009; Soon et al., 2011; Kirkby, 2007; Hoyt and Schatten, 1997; Le Mouël et al., 2008; Thejll and Lassen, 2000; Weihong and Bo, 2010; Eichler et al., 2009; Humlum et al., 2011; Liu et al., 2011): see also Section 7 in the supplement file.

Thus, the above estimated 1.30 °C/century anthropogenic warming trending is likely an upper limit estimate. As a lower limit we can reasonably assume the 0.66 \pm 0.16 °C/century, as estimated in Loehle and Scafetta (2011), which would be compatible with the claim that only 0.2 °C warming (instead of 0.7 °C) of the observed 0.5 °C warming since 1970 could be anthropogenically induced.

This result would be consistent with the fact that according empirical studies (Lockwood, 2008; Thompson et al., 2009) the cooling longrange effects of the volcano eruptions almost vanished in 2000 (see Section 5 in the supplement file) and that the secular natural trend could still be increasing. So, from 2000 to 2050 we claim that the same IPCC (2007) anthropogenic emission projections could only induce a warming trend approximately described by the curve

$$q(t) = (0.009 \pm 0.004)(t - 2000).$$
 (9)

There are also two major quasi decadal oscillations with periods of about 9.1 year and 10-10.5 year: see Fig. 3. The 9.1-year cycle may be due to a Soli/Lunar tidal cycle (Scafetta, 2010b, in press). In fact, the lunar apsidal line rotation period is 8.85 years while the Soli/Lunar nodal cycle period is 18.6 years. Note that there are two nodes and the configuration Sun-Moon-Earth and Sun-Earth-Moon are equivalent for the tides: thus, the resulting tidal cycles should have a period of about 18.6/2=9.3 year. The two cycles at 8.85-year and 9.3-year should beat, and produce a fast cycle with an average period of 2/(1/8.85+1/9.93)=9.07 year that could be modulated by a slow cycle with period of 2/(1/8.85-1/9.93)= 182.9 year. There may also be an additional influence of the half Saros eclipse cycle that is about 9 years and 5.5 days. In conclusion, the quasi 9.1-year cycle appears to be related to a Soli/Lunar tidal cycle dynamics. The 10-10.5-year cycle has been interpreted as related to an average cycle between the 0.5/(1/11.862-1/29.457)= 9.93 year Jupiter/Saturn half-synodic tidal cycle and the 11-year solar cycle (we would have a beat cycle with period of 2/(1/9.93+1)11)=10.44 year). Moreover, a quasi 9.91-year and 10.52-year cycles have been found in the natural gravitational resonances of the solar system (Bucha et al., 1985; Grandpiere, 1996; Scafetta, 2011b).

It is possible to include these two cycles in the harmonic model using the additional harmonic function Eq. (7) and our final model based on 4-frequency harmonics plus two independent trending functions is made as

$$h(t) = f(t) + g(t) + \begin{cases} p(t) & \text{if } 1850 < t < 2000, \\ p(2000) + q(t) & \text{if } 2000 < t < 2100, \end{cases}$$
 (10)

To test the forecasting capability of the g(t) harmonics, the f(t)+g(t)+p(t) model is calibrated in two complementary periods. Note that g(t) is sufficiently orthogonal to f(t)+p(t), so we keep f(t)+p(t) unchanged for not adding too many free regression parameters. Fitting the period 1850-1950 gives $C_3=0.03\pm0.01\,^{\circ}C$, $T_3=2003\pm0.5$ AD, $C_4=0.05\pm0.01\,^{\circ}C$, $T_4=1997.5\pm0.3$ AD. Fitting the period 1950-2011 gives $C_3=0.04\pm0.01\,^{\circ}C$, $T_3=2002.1\pm0.5$ AD, $C_4=0.05\pm0.01\,^{\circ}C$, $T_4=1998.1\pm0.3$ AD. Fitting the period 1850-2011 gives $C_3=0.03\pm0.01\,^{\circ}C$, $T_3=2002.7\pm0.5$ AD, $C_4=0.05\pm0.01\,^{\circ}C$, $T_4=1997.7\pm0.3$ AD. If the decadal period 10.44 year is substituted with a 10 year period for 1850-2011, we get $C_3=0.02\pm0.01\,^{\circ}C$, $T_3=2000.4\pm0.5$ AD, $C_4=0.04\pm0.01\,^{\circ}C$, $T_4=1997.7\pm0.3$ AD.

We observe that all correspondent amplitudes and phases coincide within the error of measure, which implies that the model has forecasting capability. Moreover, the phase related to the 9.1-year cycle presents a maximum around 1997–1998. We observe that this period is in good phase with the Soli/Lunar nodal dates at the equinoxes, when the Soli/Lunar spring tidal maxima are located in proximity of the equator, and the extremes in the tidal variance occurs (Sidorenkov, 2005). In fact, each year there are usually two solar eclipses and two lunar eclipses, but the month changes every year and the cycle repeats every about 9 years with the moon occupying the opposite node. Thus, eclipses occur, within a two week interval, close to the equinoxes (around March 20/21 and September 22/23) every almost 9 years. Section 6 in the supplement file reports the dates of the solar and lunar eclipses occurred from 1988 to 2010 and compares these

dates with the detected 9-year temperature cycle. Two lunar eclipses occurred on 24/March/1997 and 16/September/1997, the latter eclipse also occurred at the lunar perigee (that is, when the Moon is in its closest position to the Earth) so that the line of the lunar apsides too was oriented along the Earth–Sun direction (so that the two cycles could interfere constructively). Two solar eclipses took place almost 9-years later at almost the same dates, 22/September/2006 (at the lunar apogee) and 19/March/2007 (at the lunar perigee). This date matching suggests that the 9.1-year cycle is likely related to a Soli/Lunar tidal cycle. Indeed, this cycle is quite visible in the ocean oscillations (Scafetta, 2010b) and ocean indexes such as the Atlantic Multidecadal Oscillation (AMO) and the Pacific Decadal Oscillation (PDO).

The timing of the 10–10.5-year cycle maximum (2000–2003), corresponds relatively well with the total solar irradiance maximum in 2002 (Scafetta and Willson, 2009) and the Jupiter/Saturn conjunction around 2000.5 (so that the two cycles could interfere constructively). This suggests that this decadal cycle has a solar/astronomical origin.

The above information is combined in Fig. 5A and B that depict: the monthly sampled global surface temperature since 1850; a 4-year moving average estimates of the same; the proposed model given in Eq. (10) with two and four cycles, respectively. Finally, for comparison, we plot the IPCC projected warming using the average GCM projection estimates, which is given by a linear trending warming of 2.3 ± 0.6 °C/century from 2000 to 2050 while since 2050 the projections spread a little bit more according to alternative emission scenarios (see figure S4B in Section 4 in the supplement file). The two figures are complementary by highlighting both a low resolution forecast that extends to 2100, which can be more directly compared with the IPCC projections, and a higher resolution forecast for the next decades that may be more important for an immediate economical planning, as explained above.

Fig. 5 clearly shows the good performance of the proposed model (Eq. (10)) in reconstructing the decadal and multidecadal oscillations of the global surface temperature since 1850. The model has forecasting capability also at the decadal scale because the two curves calibrated using the independent periods 1850–1950 and 1950–2011 are synchronous to each other also at the decadal scale and are synchronous with the temperature modulation revealed by the 4-year smooth curve: the statistical divergence between the harmonic model reconstruction and the data have a standard deviation of σ = 0.15 °C, which is due to the large and fast ENSO related oscillations, while the divergence with the grey 4-year smooth curve of the temperature has a standard deviation of σ = 0.05 °C, as Table 2 reports.

Fig. 5 shows that the IPCC warming projection since 2000 (at a rate of 2.3+0.6 °C/century plus a vertical error of ± 0.1 °C) does not agree with the observed temperature pattern since about 2005-2006. On the contrary, the empirical model we propose, Eq. (10), appears to reasonably forecast the observed trending of the global surface temperature since 2000, which appears to have been almost steady: the error bars are calculated by taking into account both the statistical error of the model ($\sim +0.1$ °C) (because, at the moment, the harmonic model includes only the decadal and multidecadal scales and, evidently, it is not supposed to reconstruct the fast ENSO related oscillations) plus the projected anthropogenic net warming with a linear rate within the interval 0.5-1.3 °C/century, as discussed above. According our model, by 2050 the climate may warm by about 0.1-0.5 °C, which is significant less than the average 1.2 ± 0.4 °C projected by the IPCC. If multisecular natural cycles (which according to several authors have significantly contributed to the observed 1700-2000 warming and very likely will contribute to a cooling since the 21st century) are ignored, the temperature may warm

N. Scafetta / Journal of Atmospheric and Solar-Terrestrial Physics ■ (■■■) ■■■■■

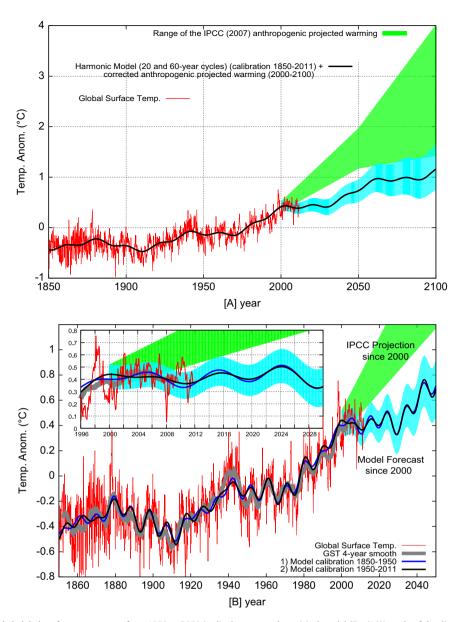


Fig. 5. [A] The monthly sampled global surface temperature from 1950 to 2050 (red); the proposed empirical model (Eq. (10)) made of the discussed 2 cycles (20 and 60 year) plus the quadratic trend until 2000 that is substituted with the corrected anthropogenic net projected warming as explained in the text (black); the IPCC (2007) projections (green). [B] The monthly sampled global surface temperature from 1950 to 2050 (red); a 4-year moving average estimates of the same (smooth wide gray curve); the proposed empirical model (Eq. (10)) made of the discussed 4 cycles (9.07, 10.44, 20 and 60 year) plus the quadratic trend until 2000 that is substituted with the anthropogenic net estimated contribution given by a linear trend with a rate within the interval 0.5-1.3 °C/century as discussed in the text (black and blue small curves); finally, by comparison the IPCC projected warming using the average GCM projection with a trend of 2.3 ± 0.6 °C/century from 2000 to 2050. Note that the two harmonic model curves use the two decadal harmonics at 9.07-year and 10.44-year periods calibrated on the temperature data during two complementary time periods, 1850–1950 and 1950–2011 respectively. As evident in the figure, the decadal oscillations reconstructed by the two alternative models are very well synchronized between them and with the oscillations revealed in the grey 4-year smooth temperature grey curve. This validation result suggests that the astronomical harmonic model has forecast capability. The insert figure is reproduced in a full page figure in the supplement file. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

by about 0.3–1.2 $^{\circ}$ C by 2100 contrary to the 1.0–3.6 $^{\circ}$ C warming projected by the IPCC (2007) according to its various emission scenarios.

The divergence of the temperature data from the IPCC projections and their persistent convergence with the astronomical harmonic model can be calculated by evaluating a time continuous discrepancy $\chi^2(t)$ (*chi-squared*) function defined as

$$\chi^{2}(t) = \frac{\left(Tem(t) - Mod(t)\right)^{2}}{\left(\Delta Mod(t)\right)^{2}},\tag{11}$$

where Tem(t) is the 4-year smooth average temperature curve depicted in the figure, which highlights the decadal oscillation,

Mod(t) is used first for indicating the IPCC GCM average projection curve and second for indicating the harmonic model average forecast curve as depicted in the figure, and $\Delta Mod(t)$ is used to indicate the time dependent uncertainty first of the IPCC projection and second of the harmonic model, respectively, which are depicted in the two shadow regions in Fig. 5. In the above equation the implicit error associated to the 4-year smooth average temperature curve is considered negligible (it has an order of magnitude of 0.01 °C) compared to the uncertainty of the models $\Delta Mod(t)$, which has an order of magnitude of 0.1 °C and above, so we can ignore it in the denominator of Eq. (11). Values of $\chi^2(t) < 1$ indicate a sufficient agreement between the data and the model at the particular time t, while values of $\chi^2(t) > 1$

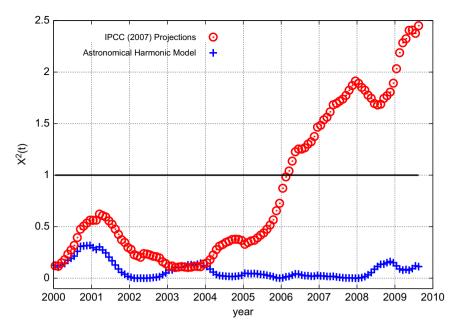


Fig. 6. The curves are produced with Eq. (11) and give a time dependent estimate of how well the astronomical harmonic model (crosses) and the IPCC projections (circles) forecast the temperature data (4-year smooth average temperature gray curve in Fig. 5) since 2000. The IPCC projections significantly diverge from the temperature data since 2004–2006. The astronomical harmonic model is shown to forecast the data quite well and it is quite stable in time. The variable χ^2 needs to be less than 1 for statistical compatibility.

indicate *disagreement*. Fig. 6 depicts Eq. (11) and clearly shows that the astronomical harmonic model forecast is quite accurate as the time progress since 2000. Indeed, the performance of our geometrical model is always superior than the IPCC projections. The IPCC (2007) projections significantly diverge from the data since 2004–2006.

5. Discussion and conclusion

The scientific method requires that a physical model fulfils two conditions: it has to reconstruct and predict (or forecast) physical observations. Herein, we have found that the GCMs used by the IPCC (2007) seriously fail to properly reconstruct even the large multidecadal oscillations found in the global surface temperature which have a climatic meaning. Consequently, the IPCC projections for the 21st century cannot be trusted. On the contrary, the astronomical empirical harmonic model proposed in Scafetta (2010b, 2011b) has been shown to be capable of reconstructing and, more importantly, forecasting the decadal and multidecadal oscillations found in the global surface temperature with a sufficiently good accuracy. Figs. 5 and 6 show that in 1950 it could have been possible to accurately forecast the decadal and multidecadal oscillations observed in the climate since 1950, which includes a steady/cooling trend from 2000 to 2011. Four major cycles have been detected and used herein with period of 9.1 year (which appears to be linked to a Soli/Lunar tidal cycle), and of 10-10.5, 20-21 and 60-61 year (which appears to be in phase with the gravitational cycles of Jupiter and Saturn that can also modulate the solar cycles at the equivalent time-scales). However, other astronomical cycles may be involved in the

This result argues in favor of a celestial origin of the climate oscillations and whose mechanisms were not included in the climate models adopted by the IPCC (2007). The harmonic interpretation of climate change also appears more reasonable than recent attempts of reproducing with GCMs some limited climate pattern such as the observed slight cooling from 1998 to 2008 by claiming that it is a red-noise-like internal fluctuation of

the climatic system (Meehl et al., 2011) or by carefully playing with the very large uncertainty in the climate sensitivity to $\rm CO_2$ changes and in the aerosol forcing (Kaufmann et al., 2011). In fact, a quasi 60-year cycle in the climate system has been observed for centuries and millennia in several independent records, as explained in Introduction.

By not properly reconstructing the 20-year and 60-year natural cycles we found that the IPCC GCMs have seriously overestimated also the magnitude of the anthropogenic contribution to the recent global warming. Indeed, other independent studies have found serious incompatibilities between the IPCC climate models and the actual observations and reached the same conclusion. For example, Douglass et al. (2007) showed that there is a large discrepancy between observed tropospheric temperature trends and the IPCC climate model predictions from January 1979 to December 2004: GCM ensemble mean simulations show that the increased CO₂ concentration should have produced an increase in the tropical warming trend with altitude, but balloon and satellite observations do not show any increase (Singer, 2011). Spencer and Braswell (2011) have showed that there is a large discrepancy between the satellite observations and the behavior of the IPCC climate models on how the Earth loses energy as the surface temperature changes. Both studies imply that the modeled climate sensitivity to CO2 is largely overestimated by the IPCC models. Our findings would be consistent with the above results too and would imply a climate sensitivity to CO₂ doubling much lower than the IPCC's proposal of 1.5-4.5 °C. Lindzen and Choi (2011) has argued for a climate sensitivity to a CO₂ doubling of 0.5–1.3 °C by using variations in Earth's radiant energy balance as measured by satellites. We claim that the reason of the discrepancy between the model outcomes and the data is due to the fact that the current GCMs are missing major astronomical forcings related to the harmonies of the solar system and the physical/climatic mechanisms related to them (Scafetta, 2011b).

Probably several solar and terrestrial mechanisms are involved in the process (Scafetta, 2009, 2010b, 2011b). It is reasonable that with their gravitational and magnetic fields, the planets can directly or indirectly modulate the solar activity, the heliosphere,

the solar wind and, ultimately, the terrestrial magnetosphere and ionosphere. In fact, planetary tides, as well as solar motion induced by planetary gravity may increase solar nuclear fusion rate (Grandpiere, 1996; Wolff and Patrone, 2010). Moreover, Charvátová et al. (1988), Komitov (2009), Mazzarella and Scafetta (2011) and Scafetta (in press) showed that the historical multisecular aurora record and some cosmogenic beryllium records presents a large quasi 60-year cycle which would suggest that the astronomical cycles regulated by Jupiter and Saturn are the primary indirect cause of the oscillations in the terrestrial ionosphere. Ogurtsov et al. (2002) have found that several multisecular solar reconstructions do present a quasi 60-year cycle together with longer cycles, Loehle and Scafetta (2011) have argued that a quasi 60-year cycle may be present in the total solar irradiance (TSI) since 1850, although the exact reconstruction of TSI is not currently possible. Indeed, TSI direct satellite measurements since 1978 have produced alternative composites such as the ACRIM (Willson and Mordvinov, 2003), which may present a pattern that would be compatible with a 60-year cycle. In fact, the ACRIM TSI satellite composite presents an increase from 1980 to 2002 and a decrease afterward. On the contrary, the PMOD TSI composite adopted by the IPCC Fröhlich (2006) does not present any patter resembling a 60-year modulations but a slightly decrease since 1980. However, the way how the PMOD science team has adjusted the TSI satellite records to obtain its composite may be erroneous (Scafetta and Willson, 2009; Scafetta, 2011a).

Indeed, Scafetta (2011b) found that several mid-latitude aurora cycles (quasi 9.1, 10-10.5, 20-21 and 60-62 year cycles) correspond to the climate cycles herein detected. We believe that the oscillations found in the historical mid-latitude aurora record are quite important because reveal the existence of equivalent oscillations in the electric properties of the atmosphere, which can regulate the cloud system (Svensmark, 1998, 2007; Carslaw et al., 2002; Tinsley, 2008; Kirkby, 2007; Enghoff et al., 2011; Kirkby et al., 2011). In addition, the variations in solar activity also modulate the incoming cosmic ray flux that may lead to a cloud modulation. The letter too would modulate the terrestrial albedo with the same frequencies found in the solar system. As shown in Scafetta (2011b) just a 1–2% modulation of the albedo would be sufficient to reproduce the climatic signal at the surface, which is an amplitude compatible with the observations. Oscillations in the albedo would cause correspondent oscillations in the climate mostly through warming/cooling cycles induced in the ocean surface. For example, a 60-year modulation has been observed in the frequency of major hurricanes on the Atlantic ocean that has been associated to a 60-year cycle in the strength of the Atlantic Thermohaline Circulation (THC), which would also imply a similar oscillation in the Great Ocean Conveyor Belt (Gray and Klotzbach, 2011). Moreover, herein we have found further evidences that the 9.1-year cycle is linked to the Soli/Lunar tidal dynamics. Ultimately, the climate amplifies the effect of harmonic forcing through several internal feedback mechanisms, which ultimately tend to synchronize all climate oscillations with the solarlunar-planetary astronomical oscillations through collective synchronization mechanisms (Pikovsky et al., 2001; Strogatz, 2009; Scafetta, 2010b).

For the above reasons, it is very unlikely that the observed climatic oscillations are due only to an internal variability of the climate system that evolves independently of astronomical forcings, as proposed by some authors (Latif et al., 2006; Meehl et al., 2011). Indeed, the GCMs do not really reconstruct the actual observed oscillations at all temporal scales, nor they have ever been able to properly forecast them. It is evident that simply showing that a model is able to produce some kind of red-noise-like variability (as shown in the numerous GCM simulations

depicted in the figures in the supplement file) is not enough to claim that the model has really modeled the observed dynamics of the climate

For the imminent future, the global climate may remain approximately steady until 2030-2040, as it has been observed from the 1940s to the 1970s because the 60-year climate cycle has entered into its cooling phase around 2000-2003, and this cooling will oppose the adverse effects of a realistic anthropogenic global warming, as shown in Fig. 5. By using the same IPCC projected anthropogenic emissions our partial empirical harmonic model forecast a global warming by about 0.3-1.2 °C by 2100, contrary to the IPCC 1.0-3.6 °C projected warming. The climate may also further cool if additional natural secular and millennial cycles enter into their cooling phases. In fact, the current warm period may be part of a quasi millennial natural cycle, which is currently at its top as it was during the roman and medieval times, as can be deduced from climate records (Schulz and Paul, 2002; Ljungqvist, 2010) and solar records covering the last millennia (Bard et al., 2000; Ogurtsov et al., 2002). Preliminary attempts to address this issue have been made by numerous authors as discussed in Introduction such as, for example, by Humlum et al. (2011), while a more detailed discussion is left to another paper.

Appendix A. Supplementary data

A large supplementary file with additional data associated with this article can be found in the online version at doi:10.1016/j.jastp.2011.12.005.

References

- Agnihotri, R., Dutta, K., 2003. Centennial scale variations in monsoonal rainfall (Indian, east equatorial and Chinese monsoons): manifestations of solar variability. Current Science 85, 459–463.
- Aslaksen, H., 1999. The Mathematics of the Chinese Calendar. National University of Singapore. http://www.math.nus.edu.sg/aslaksen/calendar/chinese.shtml.
- Bard, E., Raisbeck, G., Yiou, F., Jouzel, J., 2000. Solar irradiance during the last 1200 years based on cosmogenic nuclides. Tellus 52B, 985–992.
- Brohan, P., Kennedy, J.J., Harris, I., Tett, S.F.B., Jones, P.D., 2006. Uncertainty estimates in regional and global observed temperature changes: a new dataset from 1850. Journal of Geophysical Research 111, D12106.
- Bucha, V., Jakubcová, I., Pick, M., 1985. Resonance frequencies in the Sun's motion. Studia Geophysica et Geodetica 29, 107–111.
- Carslaw, K.S., Harrison, R.G., Kirkby, J., 2002. Cosmic rays, clouds, and climate. Science 298 1732–1737
- Charvátová, I., Střeštík, J., Křivský, L., 1988. The periodicity of aurorae in the years 1001–1900. Studia Geophysica et Geodaetica 32, 70–77.
- Cook, E.R., Meko, D.M., Stockton, C.W., 1997. A new assessment of possible solar and lunar forcing of the bidecadal drought rhythm in the western United States. Journal of Climate 10, 1343–1356.
- Currie, R.G., 1984. Evidence for 18.6 year lunar nodal drought in western North America during the past millennium. Journal of Geophysical Research 89, 1295–1308.
- Courtillot, V., Le Mouël, J.L., Mayaud, P.N., 1977. Maximum entropy spectral analysis of the geomagnetic activity index aa over a 107-year interval. Journal of Geophysical Research 82 (19), 2641–2649.
- D'Aleo, J., 2011. A critical look at the surface temperature records. In: Easterbrook, D. (Ed.), Evidence-Based Climate Science, Elsevier, Amsterdam, pp. 73–91. (Chapter 3).
- Davis, J.C., Bohling, G., 2001. The search for patterns in ice-core temperature curves. In: Gerhard, L.C., William, E.H., Bernold, M.H. (Eds.), Geological Perspectives of Global Climate Change, pp. 213–230.
- Douglass, D.H., Christy, J.R., Pearson, B.D., Singer, S.F., 2007. A comparison of tropical temperature trends with model predictions. International Journal of Climatology 28, 1693–1701. doi:10.1002/joc.1651.
- Ehret, T., 2008. Old brass brains—mechanical prediction of tides. ACSM Bulletin, 41–44.
- Eichler, A., Olivier, S., Henderson, K., Laube, A., Beer, J., Papina, T., Gäggeler, H.W., Schwikowski, M., 2009. Temperature response in the Altai region lags solar forcing. Geophysical Research Letters 36, L01808. doi:10.1029/2008GL035930.
- Enghoff, M.B., Pedersen, J.O.P., Uggerhøj, U.I., Paling, S.M., Svensmark, H., 2011. Aerosol nucleation induced by a high energy particle beam. Geophysical Research Letters 38, L09805.

- Fröhlich, C., 2006. Solar irradiance variability since 1978: revision of the PMOD composite during solar cycle 21. Space Science Reviews 125, 53–65.
- Grandpiere, A., 1996. On the origin of the solar cycle periodicity. Astrophysics and Space Science 243, 393–400.
- Gray, W.M., Klotzbach, P.J., 2011. Have increases in CO₂ contributed to the recent large upswing in Atlantic basin major hurricanes since 1995?. In: Easterbrook, D. (Ed.), Evidence-Based Climate Science, Elsevier, Amsterdam, pp. 223–249. (Chapter 9).
- Hansen, J., et al., 2007. Climate simulations for 1880–2003 with GISS modelE. Climate Dynamics 29, 661–696.
- Hoyt, D.V., Schatten, K.H., 1997. The Role of the Sun in the Climate Change. Oxford Univ. Press, New York.
- Humlum, O., Solheim, J.-K., Stordahl, K., 2011. Identifying natural contributions to late Holocene climate change. Global and Planetary Change 79, 145–156.
- IPCC, 2007. In: Solomon, S., et al. (Eds.). Climate Change 2007: The Physical Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change. Cambridge University Press, Cambridge.
- Iyengar, R.N., 2009. Monsoon rainfall cycles as depicted in ancient Sanskrit texts. Current Science 97, 444–447.
- Jevrejeva, S., Moore, J.C., Grinsted, A., Woodworth, P.L., 2008. Recent global sea level acceleration started over 200 years ago? Geophysical Research Letters 35, L08715.
- Kaufmann, R.K., Kauppi, H., Mann, M.L., Stock, J.H., 2011. Reconciling anthropogenic climate change with observed temperature 1998–2008. PNAS 108, 11790–11793. doi:10.1073/pnas.1102467108.
- Kennedy, J.J., Rayner, N.A., Smith, R.O., Saunby, M., Parker, D.E., 2011. Reassessing biases and other uncertainties in sea-surface temperature observations since 1850: biases and homogenisation. Journal of Geophysical Research 116, D14103–D14104.
- Kirkby, J., 2007. Cosmic rays and climate. Surveys in Geophysics 28, 333–375.
- Kirkby, J., et al., 2011. Role of sulphuric acid, ammonia and galactic cosmic rays in atmospheric aerosol nucleation. Nature 476, 429–433.
- Klyashtorin, L.B., Borisov, V., Lyubushin, A., 2009. Cyclic changes of climate and major commercial stocks of the Barents Sea. Marine Biology Research 5, 4–17. Komitov, B., 2009. The Sun-climate relationship II: the cosmogenic beryllium and
- the middle latitude aurora. Bulgarian Astronomical Journal 12, 75–90. Knudsen, M.F., Seidenkrantz, M.-S., Jacobsen, B.H., Kuijpers, A., 2011. Tracking the
- Knudsen, M.F., Seidenkrantz, M.-S., Jacobsen, B.H., Kuijpers, A., 2011. Tracking the Atlantic multidecadal oscillation through the last 8,000 years. Nature Communications 2, 178.
- Latif, M., Collins, M., Pohlmann, H., Keenlyside, N., 2006. A review of predictability studies of atlantic sector climate on decadal time scales. Journal of Climate 19, 5971–5987.
- Le Mouël, J.-L., Courtillot, V., Blanter, E., Shnirman, M., 2008. Evidence for a solar signature in 20th-century temperature data from the USA and Europe. Geoscience 340, 421–430.
- Ljungqvist, F.C., 2010. A new reconstruction of temperature variability in the extra-tropical Northern Hemisphere during the last two millennia. Geografiska Annaler Series A 92, 339–351.
- Lindzen, R.S., Choi, Y.-S., 2011. On the observational determination of climate sensitivity and its implications. Asia-Pacific Journal of Atmospheric Sciences 47 (4), 377–390.
- Liu, Y., Cai, Q.F., Song, H.M., ZhiSheng, A.N., Linderholm, H.W., 2011. Amplitudes, rates, periodicities and causes of temperature variations in the past 2485 years and future trends over the central-eastern Tibetan Plateau. Chinese Science Bulletin 56, 2986–2994.
- Loehle, C., Scafetta, N., 2011. Climate change attribution using empirical decomposition of climatic data. The Open Atmospheric Science Journal 5, 74–86.
- Lockwood, M., 2008. Analysis of the contributions to global mean air surface temperature rise. Proceedings of the Royal Society A 464, 1–17.
- Mazzarella, A., Scafetta, N., 2011. Evidences for a quasi 60-year North Atlantic Oscillation since 1700 and its meaning for global climate change. Theoretical and Applied Climatology. doi:10.1007/s00704-011-0499-4.
- McKitrick, R., Michaels, P., 2007. Quantifying the influence of anthropogenic surface processes and inhomogeneities on gridded global climate data. Journal of Geophysical Research 112, D24S09.
- McKitrick, R., 2010. Atmospheric circulations do not explain the temperatureindustrialization correlation. Statistics, Politics and Policy 1, 1.
- Meehl, G.A., Arblaster, J.M., Fasullo, J.T., Hu, A., Trenberth, K.E., 2011. Model-based evidence of deep-ocean heat uptake during surface-temperature hiatus periods. Nature Climate Change 1, 360–364.
- Ogurtsov, M.G., Nagovitsyn, Y.A., Kocharov, G.E., Jungner, H., 2002. Long-period cycles of the Sun's activity recorded in direct solar data and proxies. Solar Physics 211, 371–394.
- Patterson, R.T., Prokoph, A., Chang, A., 2004. Late Holocene sedimentary response to solar and cosmic ray activity influenced climate variability in the NE Pacific. Sedimentary Geology 172, 67–84.
- Pikovsky, A., Rosemblum, M., Kurths, J., 2001. Synchronization: A Universal Concept in Nonlinear Sciences. Cambridge University Press.
- Press, W.H., Teukolsky, S.A., Vetterling, W.T., Flannery, B.P., 2007. Numerical Recipes, 3rd ed. Cambridge University Press.
- Scafetta, N., West, B.J., 2007. Phenomenological reconstructions of the solar signature in the Northern Hemisphere surface temperature records since 1600. Journal of Geophysical Research 112, D24S03.

- Scafetta, N., 2009. Empirical analysis of the solar contribution to global mean air surface temperature change. Journal of Atmospheric and Solar-Terrestrial Physics 71, 1916–1923.
- Scafetta, N., Willson, R.C., 2009. ACRIM-gap and TSI trend issue resolved using a surface magnetic flux TSI proxy model. Geophysical Research Letters 36, 105701
- Scafetta, N., 2010a. Climate change and its causes: a discussion about some key issues. La Chimica e l'Industria 1, 70–75. (The English translation is published by Science and Public Policy Institute. http://scienceandpublicpolicy.org/originals/climate_change_causes.html).
- Scafetta, N., 2010b. Empirical evidence for a celestial origin of the climate oscillations and its implications. Journal of Atmospheric and Solar-Terrestrial Physics 72, 951–970.
- Scafetta, N., 2011a. Total solar irradiance satellite composites and their phenomenological effect on climate. In: Easterbrook, D. (Ed.), Evidence-Based Climate Science, Elsevier, Amsterdam, pp. 289–316. (Chapter 12).
- Scafetta, N., 2011b A shared frequency set between the historical mid-latitude aurora records and the global surface temperature. Journal of Atmospheric and Solar-Terrestrial Physics 74, 145–163. doi:10.1016/j.jastp.2011.10.013.
- Schulz, M., Paul, A., 2002. Holocene climate variability on centennial-to-millennial time scales. 1. Climate records from the North-Atlantic Realm. In: Wefer, G., Berger, W., Behre, K.-E., Jansen, E. (Eds.), Climate Development and History of the North Atlantic Realm., Springer-Verlag, Berlin, Heidelberg, pp. 41–54.
- Shaviv, N.J., 2008. Using the Oceans as a calorimeter to quantify the solar radiative forcing. Journal of Geophysical Research 113, A11101. doi:10.1029/ 2007JA012989.
- Singer, S.F., 2011. Lack of consistency between modeled and observed temperature trends. Energy & Environment 22 (4), 375–406.
- Sinha, A., et al., 2005. Variability of southwest indian summer monsoon precipitation during the Bølling-Ållerød. Geology 33, 813–816.
- Sidorenkov, N., 2005. Long-term changes in the variance of the earth orientation parameters and of the excitation functions. In: Proceedings of the "Journées Systèmes de Référence Spatio-Temporels 2005" Warsaw, 19–21 September 2005.
- Soon, W., 2009. Solar Arctic-mediated climate variation on multidecadal to centennial timescales: empirical evidence, mechanistic explanation, and testable consequences. Physical Geography 30, 144–184.
- Soon, W., Dutta, K., Legates, D.R., Velasco, V., Zhang, W., 2011. Variation in surface air temperature of China during the 20th century. Journal of Atmospheric and Solar-Terrestrial Physics 73 (16), 2331–2344.
- Spencer, R.W., Braswell, W.D., 2011. On the misdiagnosis of surface temperature feedbacks from variations in earth's radiant energy balance. Remote Sensing 3, 1603–1613.
- Sonnemann, G., 1998. Comment on "Does the correlation between solar cycle length and Northern Hemisphere and temperatures rule out any significant global warming from greenhouse gases?" By Peter Laut and Jasper Grundmann. Journal of Atmospheric and Solar Terrestrial Physics 60, 1625–1630.
- Stockton, C.W., Mitchell, J.M., Meko, D.M., 1983. A reappraisal of the 22-year drought cycle. In: McCormac, B.M. (Ed.), Solar-Terrestrial Influences on Weather and Climate., Colorado Associated University Press, pp. 507–515.
- Strogatz, S.H., 2009. Exploring complex networks. Nature 410, 268–276. Svensmark, H., 1998. Influence of cosmic rays on Earth's climate. Physical Review
- Letters 81 (22), 5027–5030.
- Svensmark, H., 2007. Cosmoclimatology: a new theory emerges. Astronomy & Geophysics 48, 1.18–1.24.
- Temple, R.K.G., 1998. The Sirius Mystery. Destiny Books. In "Appendix III: Why Sixty Years?" http://www.bibliotecapleyades.net/universo/siriusmystery/siriusmystery.htm.
- Thejll, P., Lassen, K., 2000. Solar forcing of the Northern hemisphere land air temperature: new data. Journal of Atmospheric and Solar-Terrestrial Physics 62, 1207–1213.
- Thompson, D.W.J., Wallace, J.M., Jones, P.D., Kennedy, J.J., 2009. Identifying signatures of natural climate variability in time series of global-mean surface temperature: methodology and insights. Journal of Climate 22, 6120–6141.
- Thomson, W., 1881. The tide gauge, tidal harmonic analyzer, and tide predictor. Proceedings of the Institution of Civil Engineers 65, 3–24. (Lord Kelvin).
- Tinsley, B.A., 2008. The global atmospheric electric circuit and its effects on cloud microphysics. Reports on Progress in Physics 71, 066801.
- Tisdale, B., 2010. GISS Deletes Arctic and Southern Ocean Sea Surface Temperature Data. http://wattsupwiththat.com/2010/05/31/giss-deletes-arctic-and-southern-ocean-sea-surface-temperature-data/.
- Weihong, Q., Bo, L., 2010. Periodic oscillations in millennial global-mean temperature and their causes. Chinese Science Bulletin 35, 4052–4057.
- Willson, R.C., Mordvinov, A.V., 2003. Secular total solar irradiance trend during solar cycles 21–23. Geophysical Research Letters 30, 1199–1282.
- Wolff, C.L., Patrone, P.N., 2010. A new way that planets can affect the sun. Solar Physics 266, 227–246.
- Yadava, M.G., Ramesh, R., 2007. Significant longer-term periodicities in the proxy record of the Indian monsoon rainfall. New Astronomy 12, 544–555.
- Yu, Z., Chang, S., Kumazawa, M., Furumoto, M., Yamamoto, A., 1983. Presence of periodicity in meteorite falls. Memoirs of National Institute of Polar Research 30, 362–366. (Special issue).

J. of Atmospheric and Solar-Terrestrial Physics, 2011.

Supplement file for

"Testing an astronomically-based decadal-scale empirical harmonic climate model vs. the IPCC (2007) general circulation climate models"

Nicola Scafetta

Section 1: page 2

Power spectrum comparison: HadCRUT3, GISSTEM/250, GHCN-Mv3

Section 2: page 3-28

Testing the IPCC climate models against the the 20 and 60-year global surface temperature cycles

Section 3: page 29-31

Testing the Maximum Entropy Method

Section 4: page 32-33

IPCC 2007 mean anthropogenic net warming trend from 1970 to 2050

Section 5: page 34

Overestimation of the GISS ModelE reconstruction of the volcano signature and comparison with the empirical climate of the volcano signature

Section 6: page 35-36

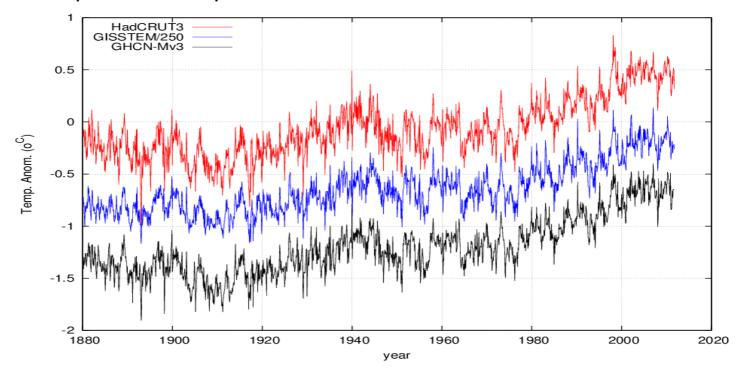
The 9-year cycle of Lunar and Solar eclipses at the equinoxes in 1997 and in 2006, respectively

Section 7: page 37

Preliminary attempts to interpret the warming since 1850 as partially due to multisecular and millennial cycles

Section 1: page 2.

Power spectrum comparison: HadCRUT3, GISSTEM/250, GHCN-Mv3



Data from: http://climexp.knmi.nl/selectfield_obs.cgi?someone@somewhere

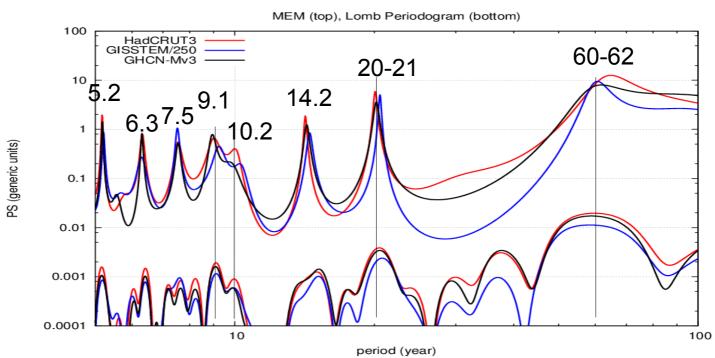


Figure S1. [A] The figure shows a comparison between the three available global surface temperature signals: HadCRUT3, GISSTEM with 250km smooth and NOAA GHCN-Mv3 since 1880. The power spectra records look similar. The detected frequency peaks match those found for the speed of the Sun relative to the solar system barycenter: look at Table 2 in Scafetta (2011b). [B] The power spectra are evaluated with the MEM with 790 poles (top) (see Section 3 for explanation) and with the Lomb periodogram (bottom). The power spectra look similar and present similar main peaks. These include the four peaks discussed in the text, as shown in the figure. The 20 and 60-year cycles are the major one, the decadal cycle is also large because made of two cycles. (The linear upward trending is detrended before the PS analysis)

Section 2: page 3-28

Testing the IPCC climate models

Here we analyze all available model output simulations relative to the global average surface temperature (tas) prepared for IPCC Fourth Assessment climate of the 20th Century experiment (20C3M), which use all known (natural plus anthropogenic) climatic forcings. The simulations obtained with 25 GCM models are collected by the Program for Climate Model Diagnosis and Intercomparison (PCMDI), the JSC/CLIVAR Working Group on Coupled Modelling (WGCM) and their Coupled Model Intercomparison Project (CMIP) and Climate Simulation Panel for organizing the model data analysis activity, and the IPCC WG1 TSU for technical support. All simulations can be downloaded from Climate Explorer at:

http://climexp.knmi.nl/selectfield_co2.cgi?

Documentations about the models can be found at:

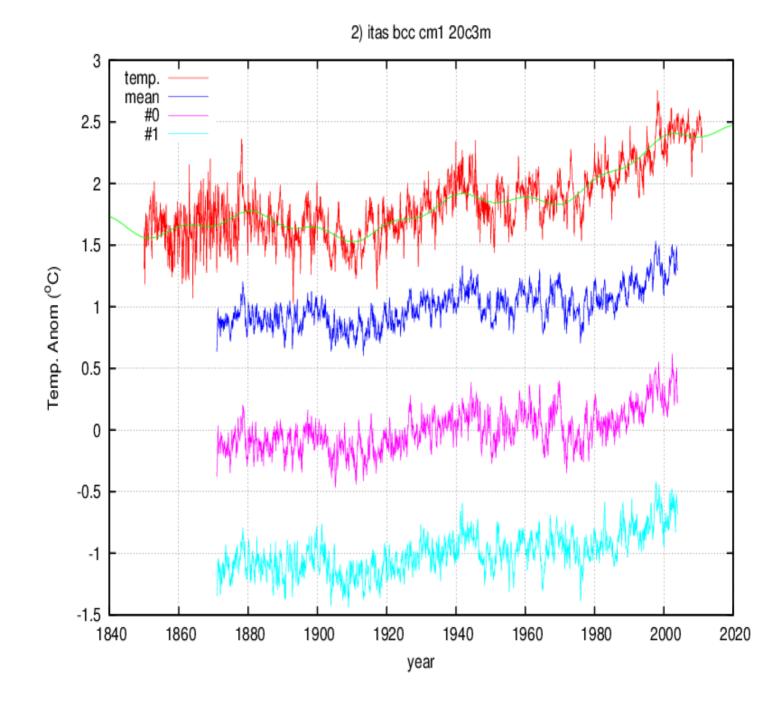
http://www-pcmdi.llnl.gov/ipcc/model_documentation/ipcc_model_documentation.php

We fit the computer simulations with Eq. 5 in the main paper to find the relative amplitude factor "a", "b" and "c" of the 60 and 20-year cyclical modulations of the global surface temperature and of the upward trend, respectively, as reproduced by the computer simulation. A value of the regression factor close to 1 indicates that the model simulation well reproduces the correspondent pattern modulation of the temperature. The result of the analysis relative to 26 different computer model simulation is depicted in the tables and the regression coefficients for the mean model run are reported in Table 1 and in Figure 4 in the main paper.

Each figure depicts several curves vertically displaced for visual convenience: in red the global surface temperature (the green curve is Eq. 3 + Eq. 4 in the paper); in blue the mean of the individual runs of a given GCM (in the case only one run is available it would coincide with the mean); the curves below the blue curve correspond to the individual runs numbered as in the original files as #0, #1, #2 etc.

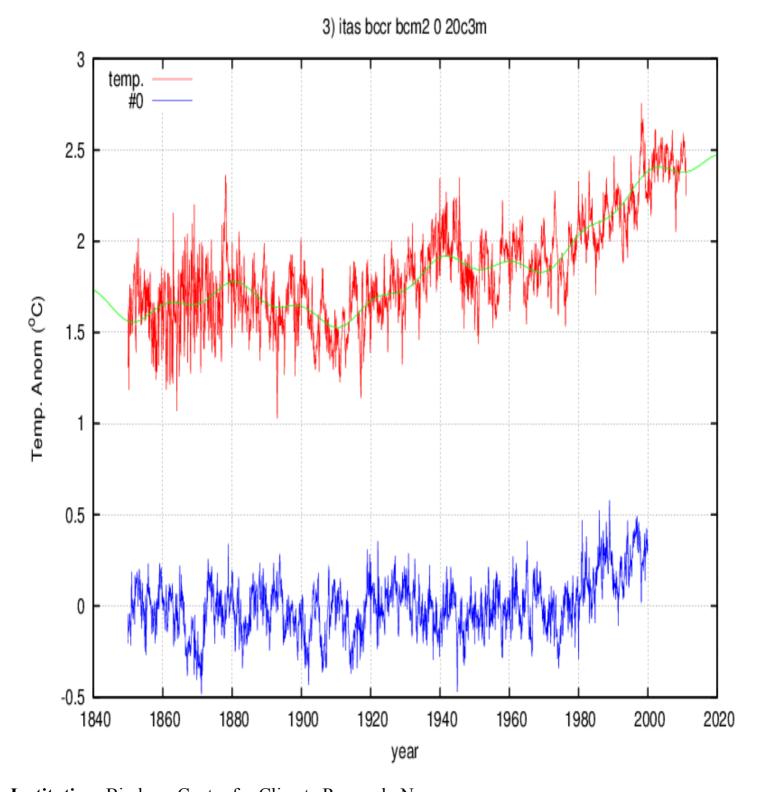
The tables below each figure report the regression coefficients "a", "b", "c" and "d" with the corresponding error. The last column of each table report the reduced χ^2 test, values close to 1 would indicate that the model well agrees with the 60-year cycle, 20-year cycle and upward trend observed in the temperature.

Note that the χ^2 values are always much larger than 1 and that the average values for the regression coefficients are "a = 0.30 +/- 0.22" and "b = 0.035 +/- 0.41", which indicates that the models do not reproduce the 60 and 20-year temperature cyclical modulation. In many cases a simple visual comparison suggests significant discrepancies between the global surface temperature patterns and the model output.



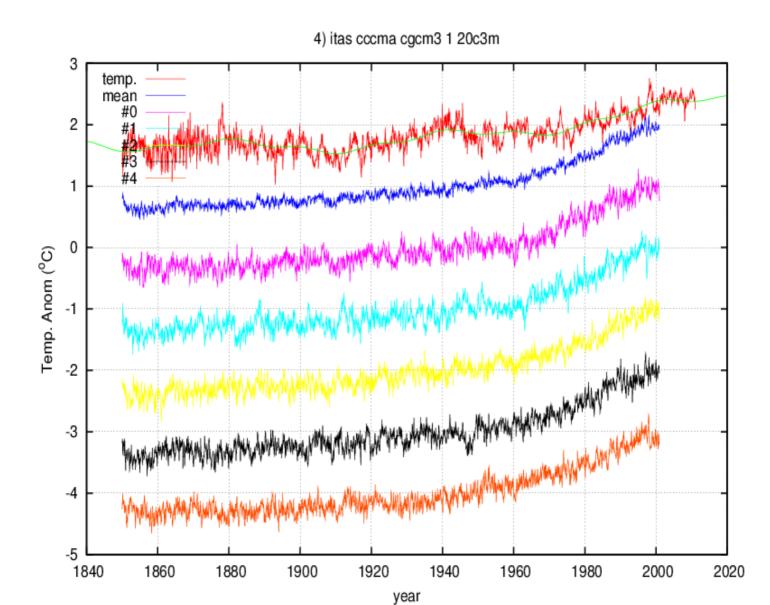
Institution: Beijing Climate Center, China

model	n.	а	err	b	err	С	err	d	err	X^2
BCC CM1	mean	0.63	0.03	0.69	0.09	0.54	0.02	0.08	0.004	109
BCC CM1	0	0.66	0.04	0.68	0.11	0.52	0.02	0.08	0.004	112
BCC CM1	1	0.59	0.04	0.70	0.10	0.55	0.02	0.09	0.004	105



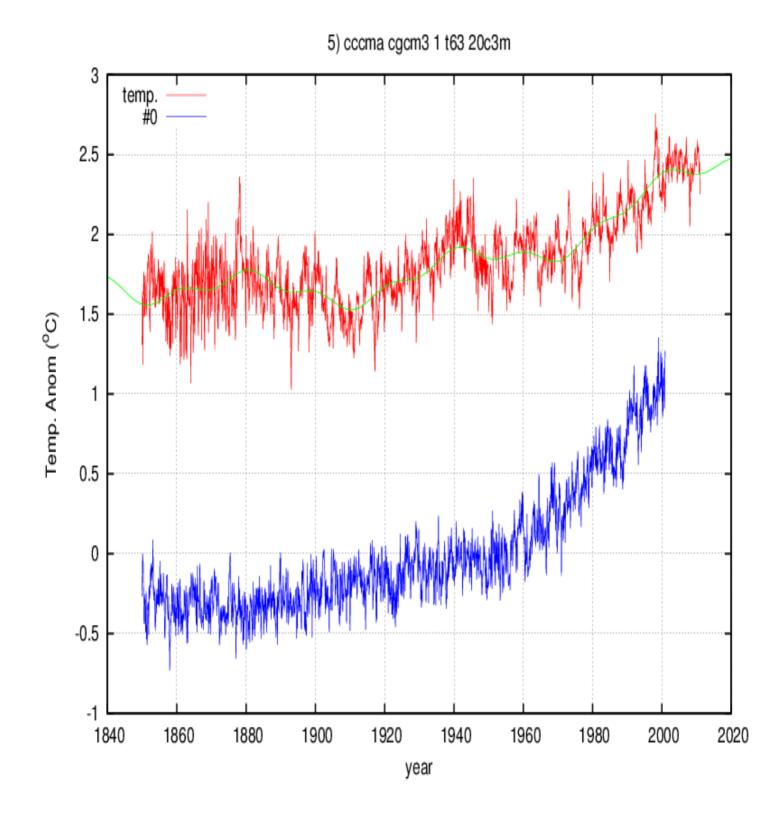
Institution: Bjerknes Center for Climate Research, Norway Note that the simulation is practically flat until 1970. The simulated decadal oscillations appear artificial and unrelated to the actual observation.

model	n.	а	err	b	err	С	err	d	err	X^2
BCCR BCM2.0	0	0.29	0.05	0.06	0.11	0.40	0.02	0.08	0.005	202



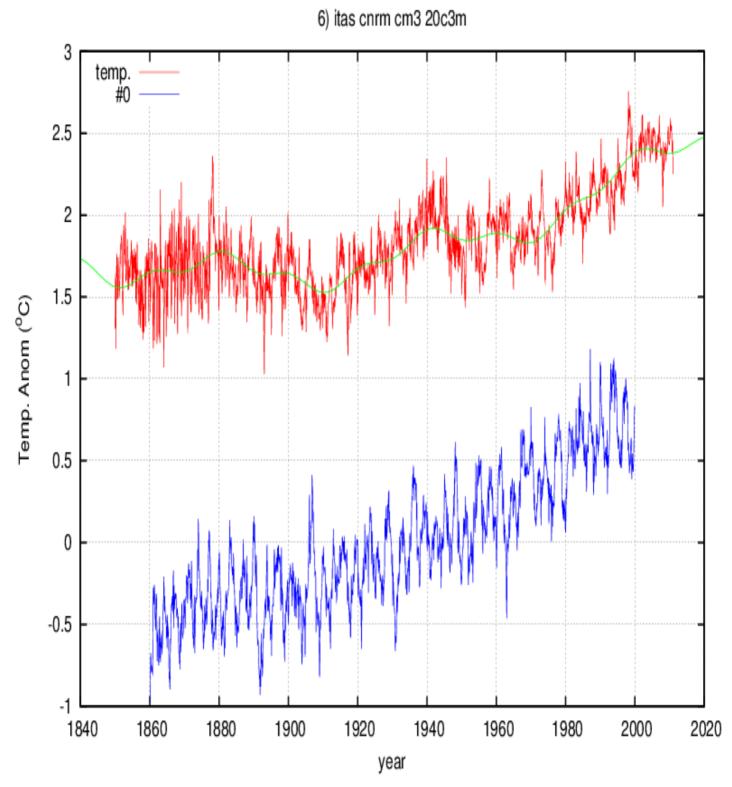
Institution: Canadian Centre for Climate Modelling & Analysis, Canada Note that the simulations increase quite monotonically without any multidecadal dynamics.

model	n.	а	err	b	err	С	err	d	err	X^2
CGCM3.1 (T47)	mean	0.35	0.03	-0.28	0.07	2.02	0.01	0.40	0.003	753
CGCM3.1 (T47)	0	0.33	0.05	0.16	0.12	2.00	0.02	0.40	0.005	449
CGCM3.1 (T47)	1	0.47	0.05	-0.85	0.12	2.00	0.02	0.40	0.005	468
CGCM3.1 (T47)	2	0.54	0.05	-0.22	0.12	2.00	0.02	0.40	0.005	441
CGCM3.1 (T47)	3	0.33	0.05	-0.05	0.12	2.00	0.02	0.40	0.005	454
CGCM3.1 (T47)	4	0.06	0.05	-0.45	0.12	1.97	0.02	0.39	0.005	471



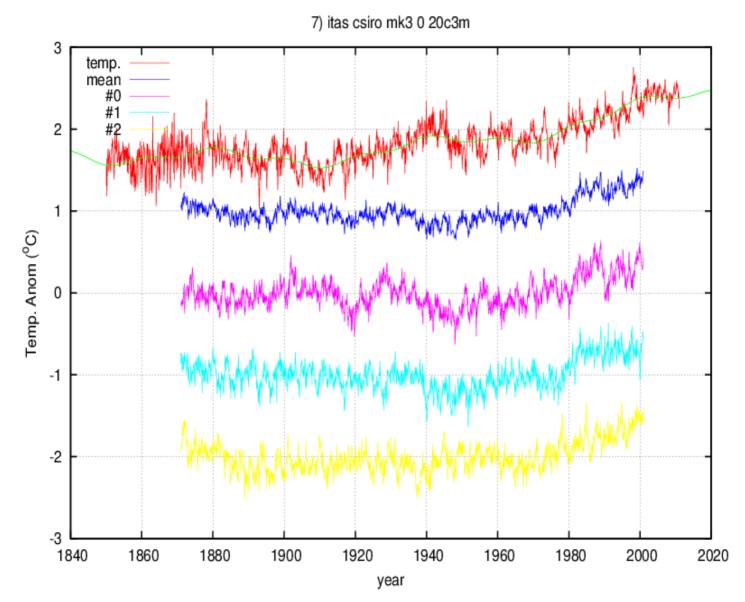
Institution: Canadian Centre for Climate Modelling & Analysis, Canada Note that the simulations increase quite monotonically without any multidecadal dynamics.

model	n.	а	err	b	err	С	err	d	err	X^2
CGCM3.1 (T63)	0	0.11	0.05	0.05	0.11	2.07	0.02	0.40	0.005	536



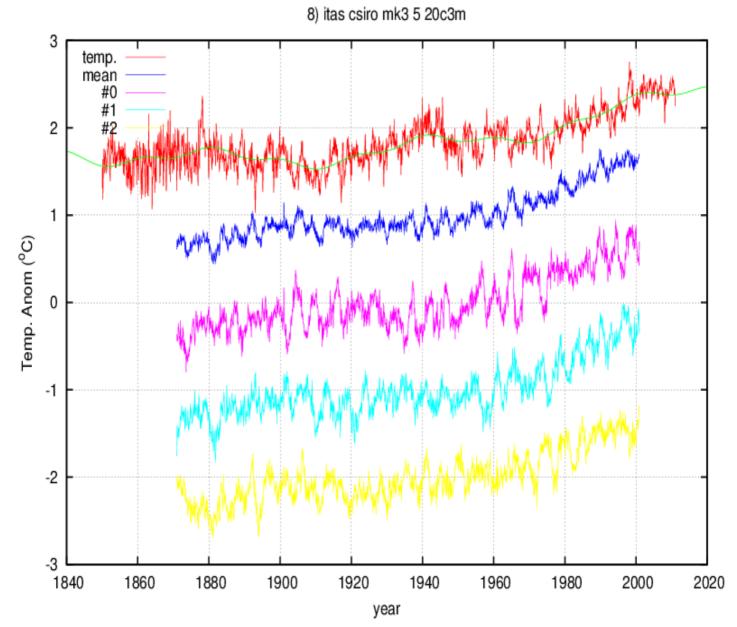
Institution: Météo-France / Centre National de Recherches Météorologiques, France Note that the simulations increase quite monotonically without any multidecadal dynamics. The large 3-5 year oscillations appear quite artificial and unrlated to the real ENSO oscillations.

model	n.	а	err	b	err	С	err	d	err	X^2
CNRM CM3	0	-0.01	0.07	-0.27	0.18	2.02	0.03	0.39	0.008	322



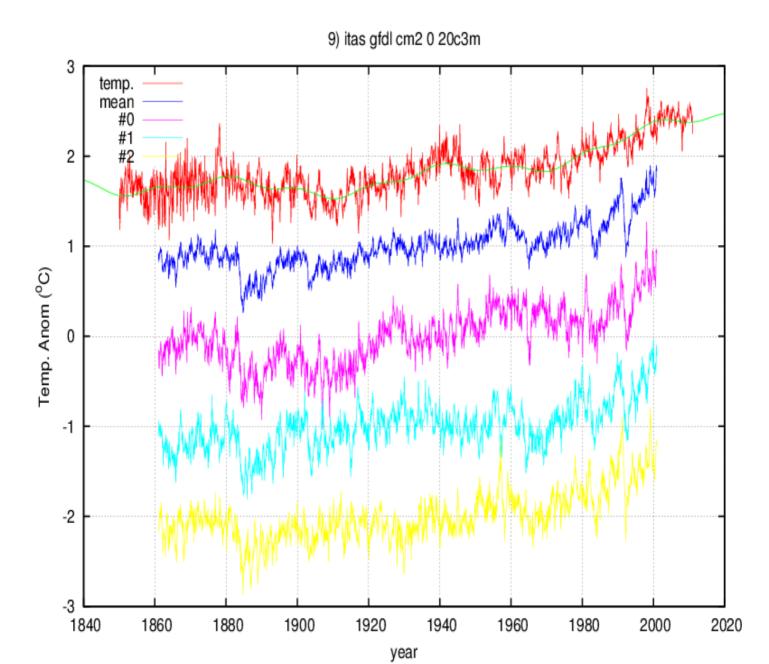
Institution: CSIRO Atmospheric Research, Australia Note that the simulations increase quite monotonically without any multidecadal dynamics. The simulations present large multi-decadal oscillations unrelated to the real observations

model	n.	а	err	b	err	С	err	d	err	X^2
CSIRO MK3.0	mean	0.30	0.04	-0.12	0.11	0.48	0.02	0.08	0.004	176
CSIRO MK3.0	0	0.06	0.06	-0.68	0.15	0.46	0.02	0.08	0.006	203
CSIRO MK3.0	1	0.27	0.06	-0.02	0.15	0.42	0.02	0.07	0.006	186
CSIRO MK3.0	2	0.58	0.05	0.33	0.14	0.57	0.02	0.10	0.005	98



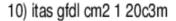
Institution: CSIRO Atmospheric Research, Australia Note that the simulations increase quite monotonically without any multidecadal dynamics. The large 3-5 year oscillations appear quite artificial and unrlated to the real ENSO oscillations.

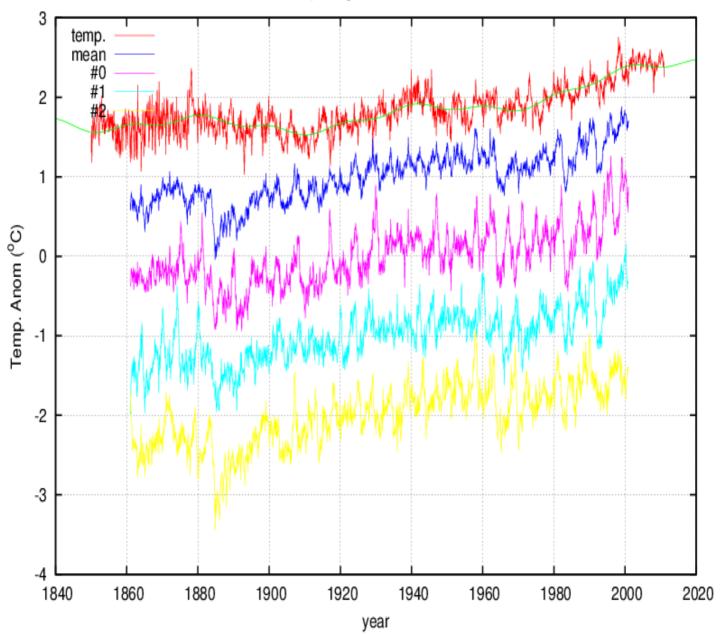
model	n.	а	err	b	err	С	err	d	err	X^2
CSIRO MK3.5	mean	-0.19	0.04	-0.19	0.10	1.38	0.02	0.25	0.004	197
CSIRO MK3.5	0	-0.51	0.06	0.47	0.16	1.40	0.02	0.26	0.006	195
CSIRO MK3.5	1	0.12	0.06	-0.37	0.16	1.42	0.02	0.25	0.006	131
CSIRO MK3.5	2	-0.18	0.06	-0.69	0.14	1.30	0.02	0.23	0.006	143



Institution: US Dept. of Commerce / NOAA / Geophysical Fluid Dynamics Laboratory, USA Note that the simulations present a multidecadal dynamics not related to the observation. There are very large volcano cooling spikes and signatures not observed in the temperature data.

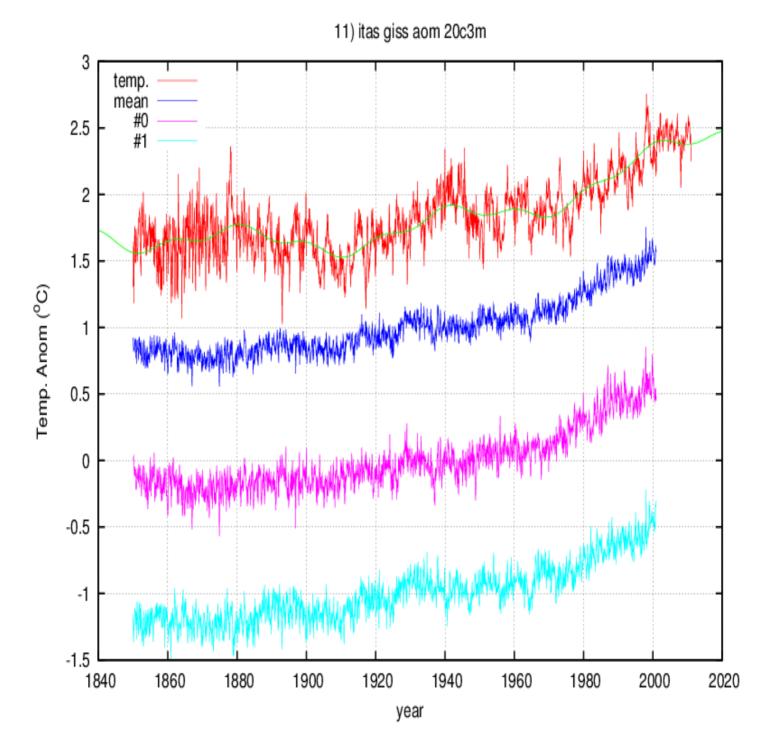
model	n.	а	err	b	err	С	err	d	err	X^2
GFDL CM2.0	mean	0.44	0.05	0.90	0.12	1.12	0.02	0.21	0.005	28
GFDL CM2.0	0	0.70	0.07	0.38	0.18	1.30	0.03	0.24	0.007	29
GFDL CM2.0	1	0.38	0.07	1.53	0.18	0.91	0.03	0.17	0.007	24
GFDL CM2.0	2	0.24	0.06	0.79	0.16	1.16	0.02	0.22	0.006	44





Institution: US Dept. of Commerce / NOAA / Geophysical Fluid Dynamics Laboratory, USA Note that the simulations present a large 3-5 year oscillations and multidecadal dynamics not related to the observation. There are very large volcano cooling spikes and signatures not observed in the temperature data.

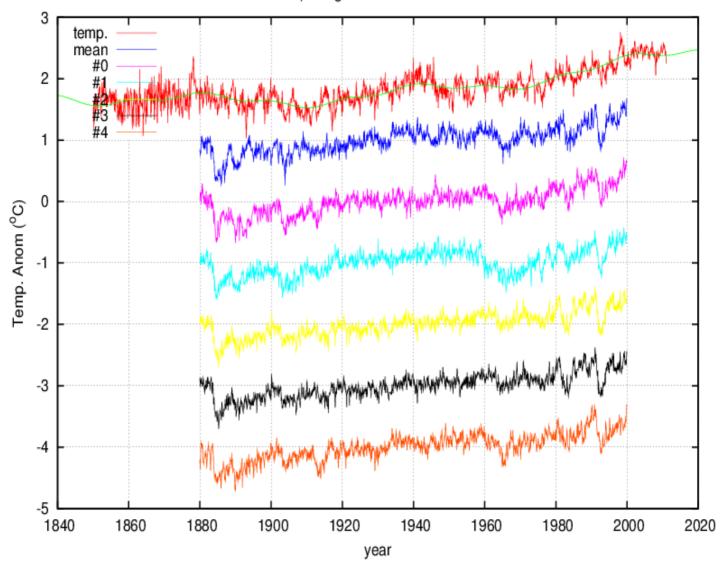
00001 (04 11										
model	n.	а	err	b	err	С	err	d	err	X^2
GFDL CM2.1	mean	0.37	0.07	0.75	0.17	1.37	0.03	0.26	0.007	53
GFDL CM2.1	0	0.77	0.09	1.19	0.22	1.38	0.03	0.26	0.009	37
GFDL CM2.1	1	0.43	0.09	0.52	0.21	1.29	0.03	0.24	0.009	33
GFDL CM2.1	2	-0.10	0.10	0.53	0.25	1.45	0.04	0.28	0.010	67



Institution: NASA / Goddard Institute for Space Studies, USA Note that the simulations increase quite monotonically without any multidecadal dynamics.

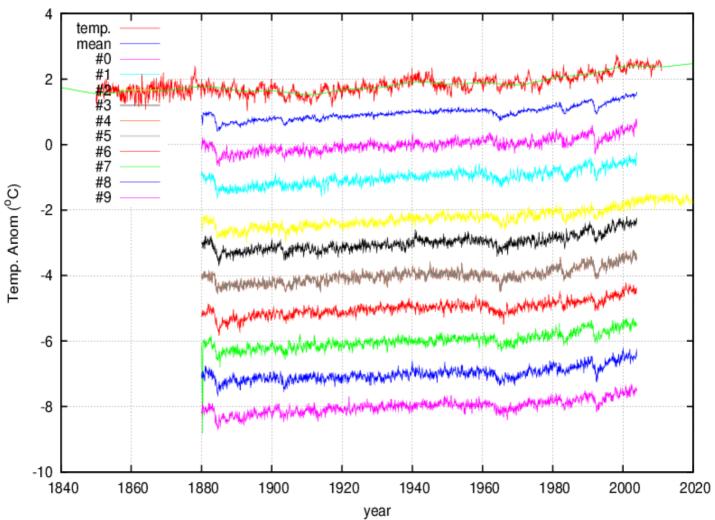
model	n.	а	err	b	err	С	err	d	err	X^2
GISS AOM	mean	0.22	0.03	-0.14	0.06	1.10	0.01	0.22	0.003	93
GISS AOM	0	0.14	0.03	-0.10	0.08	1.15	0.01	0.23	0.003	110
GISS AOM	1	0.30	0.03	-0.18	0.09	1.05	0.01	0.21	0.004	74

12) itas giss model e h 20c3m



Institution: NASA / Goddard Institute for Space Studies, USA Note that the simulations increase monotonically with a dynamics not related to the observation. There are very large volcano cooling spikes and signatures not observed in the temperature data.

model	n.	а	err	b	err	С	err	d	err	X^2
GISS EH	mean	0.48	0.04	0.96	0.11	0.80	0.02	0.14	0.004	43
GISS EH	0	0.52	0.05	1.19	0.13	0.84	0.02	0.14	0.005	30
GISS EH	1	1.02	0.06	0.99	0.15	0.57	0.02	0.10	0.006	81
GISS EH	2	0.16	0.05	0.96	0.12	0.84	0.02	0.14	0.005	63
GISS EH	3	0.24	0.06	1.01	0.14	0.90	0.02	0.15	0.005	39
GISS EH	4	0.44	0.06	0.65	0.14	0.83	0.02	0.14	0.005	34

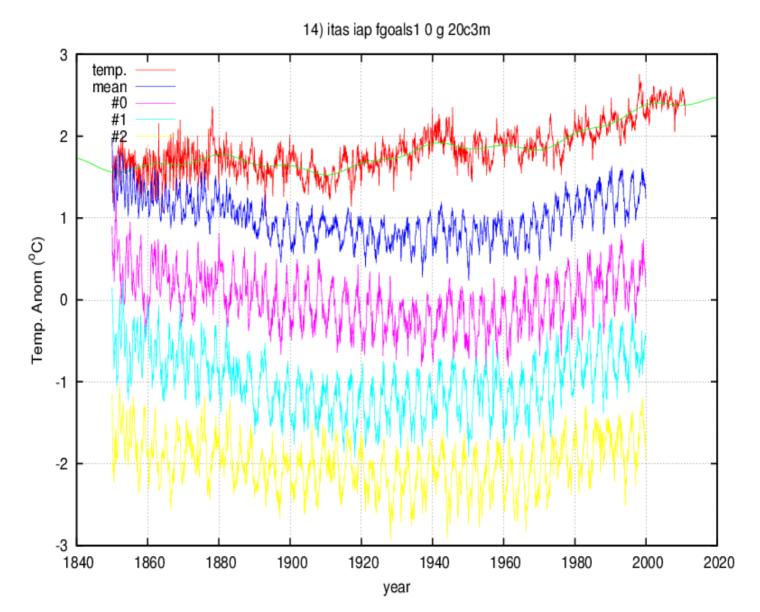


Institution: NASA / Goddard Institute for Space Studies, USA

Note that the simulations increase monotonically with a dynamics not related to the observation.

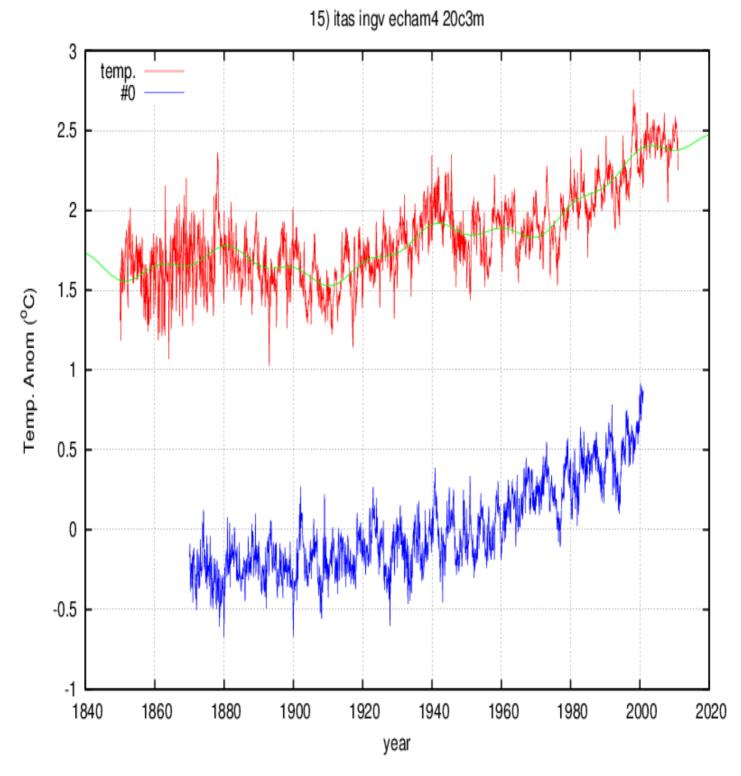
There are very large volcano cooling spikes and signatures not observed in the temperature data.

There are ve	ery large	volcano	cooling s	spikes an	d signati	ires not c	bserved	in the te	mperatur	e data.
model	n.	а	err	b	err	С	err	d	err	X^2
GISS ER	mean	0.47	0.04	0.80	0.08	0.90	0.02	0.11	0.004	31
GISS ER	0	0.23	0.05	1.22	0.12	0.84	0.02	0.13	0.004	57
GISS ER	1	0.54	0.05	0.69	0.12	0.95	0.02	0.15	0.004	19
GISS ER	2	0.40	0.05	0.21	0.12	0.52	0.01	-0.18	0.004	222
GISS ER	3	0.73	0.05	0.87	0.11	0.99	0.02	0.15	0.004	6
GISS ER	4	0.76	0.05	0.78	0.12	0.88	0.02	0.13	0.004	12
GISS ER	5	0.37	0.05	0.81	0.13	0.89	0.02	0.14	0.005	35
GISS ER	6	0.30	0.06	0.16	0.14	0.99	0.02	0.15	0.005	36
GISS ER	7	0.57	0.05	0.97	0.11	0.80	0.02	0.12	0.004	32
GISS ER	8	0.36	0.05	0.83	0.12	0.82	0.02	0.12	0.004	45



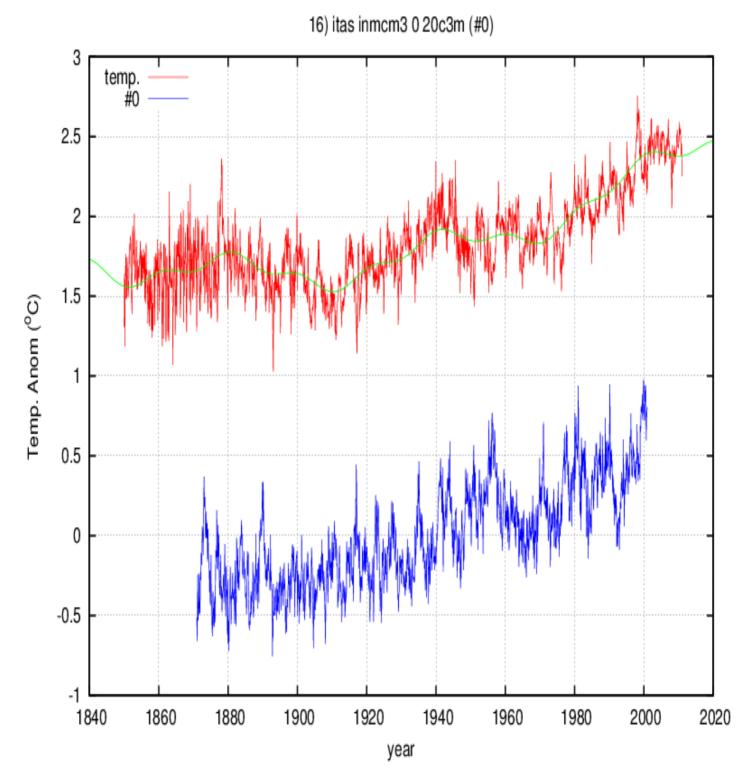
Institution: LASG / Institute of Atmospheric Physics, China The simulations do not appear to have any similarity with the data at all time scales.

model	n.	а	err	b	err	С	err	d	err	X^2
FGOALS g1.0	mea n	0.10	0.09	-0.15	0.21	0.28	0.03	0.06	0.00	171
FGOALS g1.0	0	-0.07	0.11	-0.51	0.27	0.14	0.04	0.03	0.01	162
FGOALS g1.0	1	0.29	0.12	-0.02	0.30	0.40	0.05	0.08	0.01	57
FGOALS g1.0	2	0.08	0.10	0.09	0.26	0.29	0.04	0.06	0.011	114



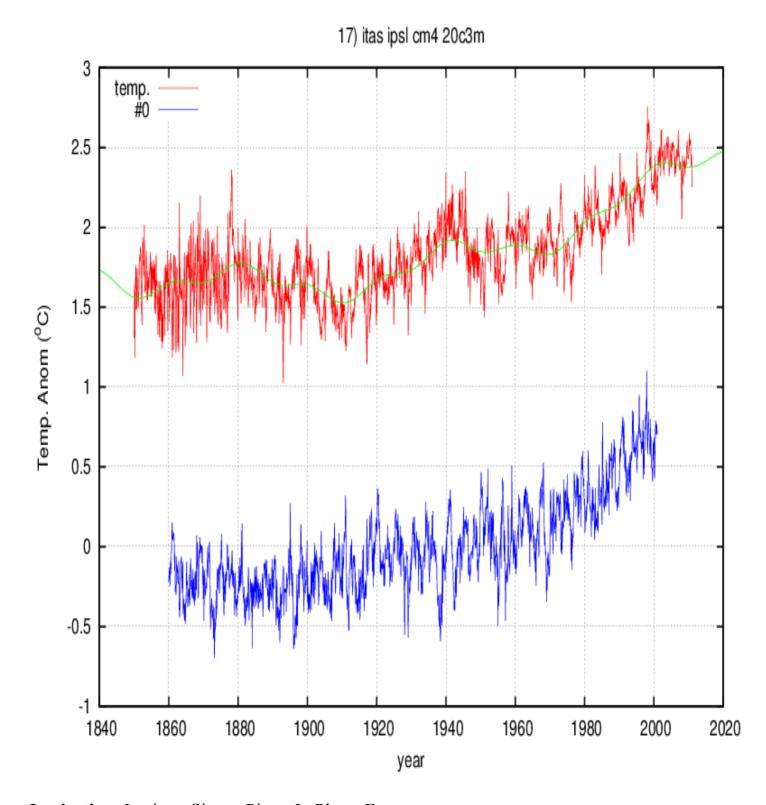
Institution: Instituto Nazionale di Geofisica e Vulcanologia, Italy Note that the simulation increases quite monotonically without any multidecadal dynamics.

model	n.	а	err	b	err	С	err	d	err	X^2
INVG ECHAM4	0	-0.12	0.05	0.37	0.12	1.34	0.02	0.24	0.005	138



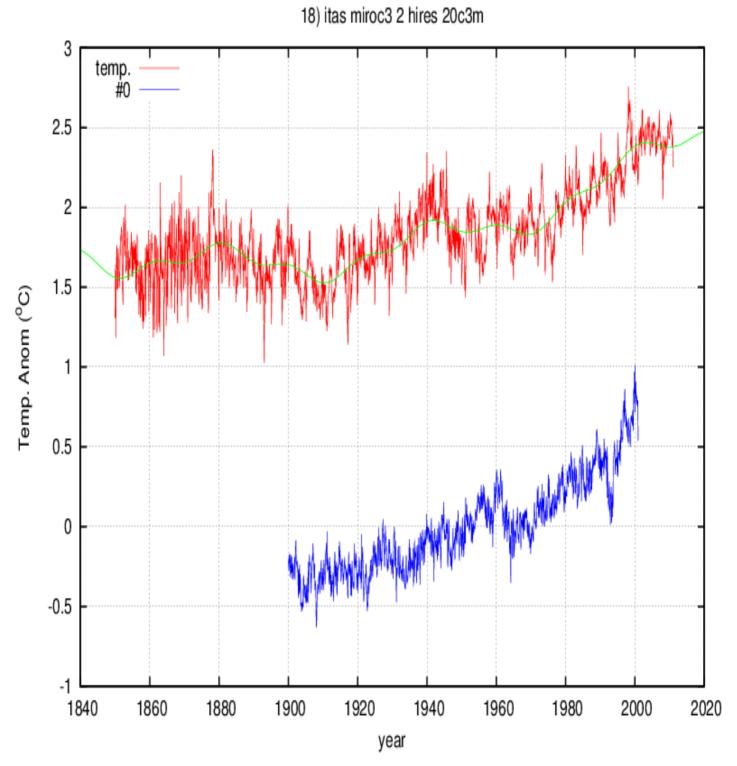
Institution: Institute for Numerical Mathematics, Russia Note that the simulation increases quite monotonically with a decadal and multidecadal dynamics quite unrelated to the observations.

model	n.	а	err	b	err	С	err	d	err	X^2
INM CM3.0	0	0.30	0.07	0.47	0.18	1.34	0.03	0.24	0.007	54



Institution: Institute Simon-Pierre LaPlace, France
Note that the simulation increases quite monotonically with a fluctuating dynamics quite unrelated to the observations.

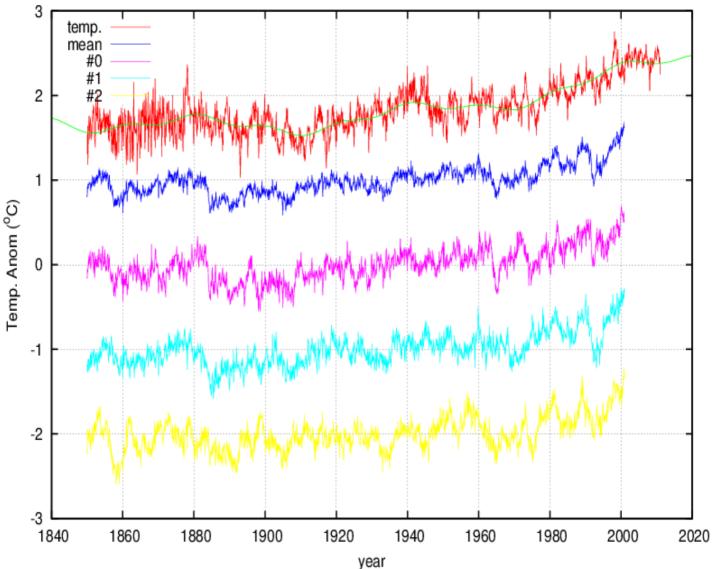
model	n.	а	err	b	err	С	err	d	err	X^2
IPSL CM4	0	0.13	0.06	0.05	0.14	1.37	0.02	0.26	0.006	107



Institution: Center for Climate System Research (The University of Tokyo), Japan Note that the simulation increases quite monotonically with a fluctuating dynamics quite unrelated to the observations and large volcano cooling spikes not observed in the temperature.

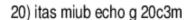
model	n.	а	err	b	err	С	err	d	err	X^2
MIROC3. 2 Hires	0	0.35	0.05	0.92	0.12	1.43	0.02	0.19	0.004	104

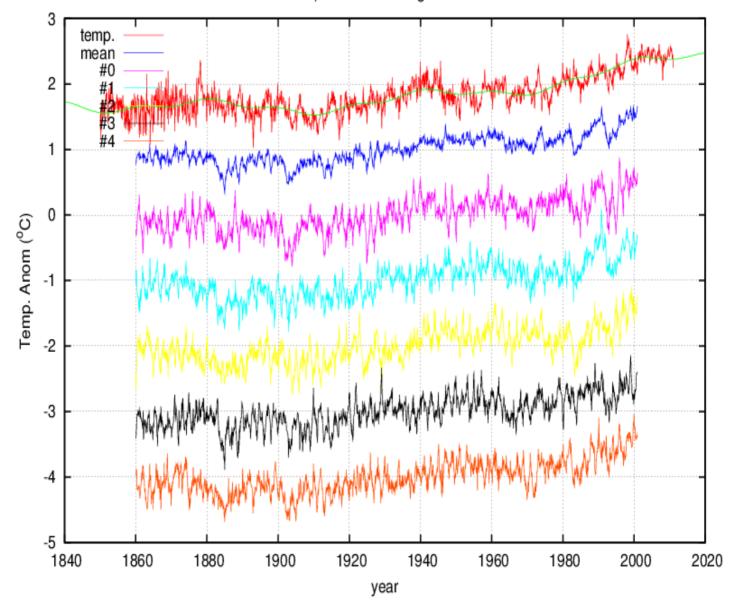




Institution: Center for Climate System Research (The University of Tokyo), Japan Note that the simulations present a decadal and multidecadal dynamics quite unrelated to the observations and some large volcano cooling spikes not observed in the temperature.

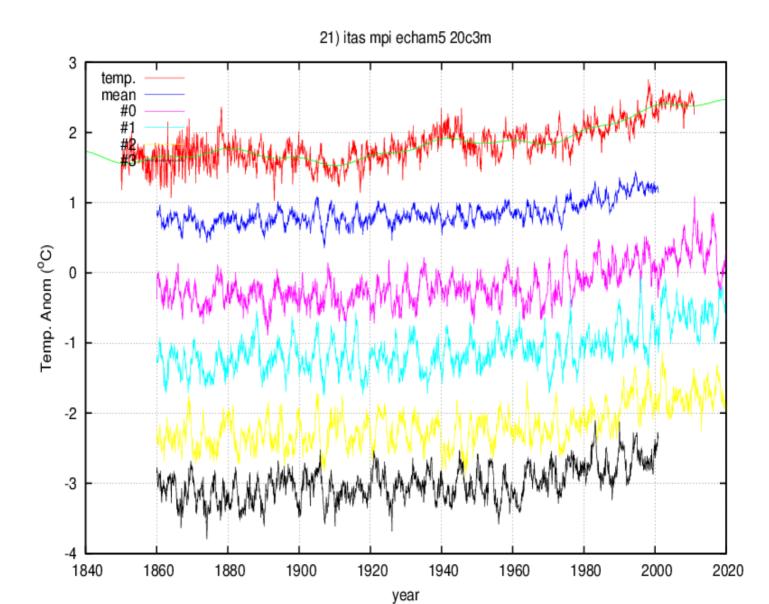
model	n.	а	err	b	err	С	err	d	err	X^2
MIROC3. 2 Medres	mean	0.34	0.03	0.76	0.09	0.72	0.01	0.14	0.004	104
MIROC3. 2 Medres	0	0.44	0.05	0.49	0.11	0.77	0.02	0.15	0.005	50
MIROC3. 2 Medres	1	0.30	0.05	1.40	0.11	0.75	0.02	0.15	0.005	69
MIROC3. 2 Medres	2	0.29	0.05	0.40	0.13	0.65	0.02	0.13	0.006	94





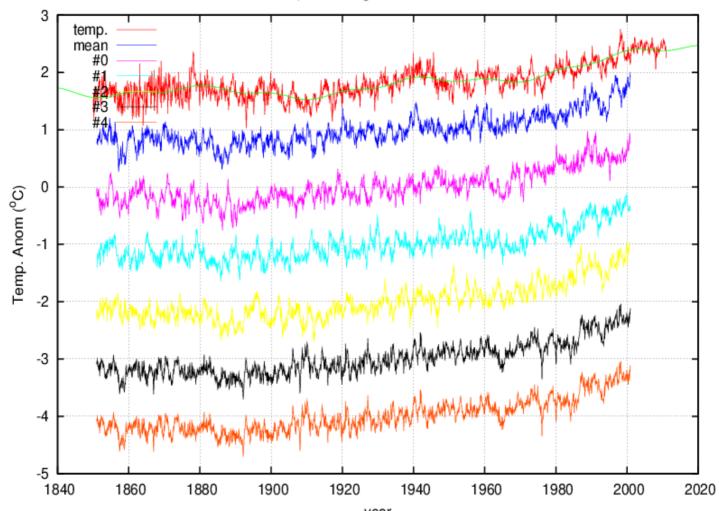
Institution: Meteorological Institute of the University of Bonn, Meteorological Research Institute of KMA, and Model and Data group, Germany / Korea Note that the simulations present 2-3 year large oscillations, a decadal and multidecadal dynamics and some large volcano spikes unrelated to the observations

model	n.	а	err	b	err	С	err	d	err	X^2
ECHO G	mean	0.58	0.04	0.16	0.10	0.98	0.02	0.18	0.004	26
ECHO G	0	0.66	0.07	0.87	0.16	0.94	0.03	0.18	0.006	8
ECHO G	1	0.68	0.06	-0.63	0.16	1.07	0.03	0.20	0.006	29
ECHO G	2	0.42	0.07	0.57	0.17	0.96	0.03	0.18	0.007	19
ECHO G	3	0.51	0.06	0.21	0.15	0.89	0.03	0.17	0.006	24
ECHO G	4	0.64	0.06	-0.23	0.15	1.06	0.03	0.20	0.006	22



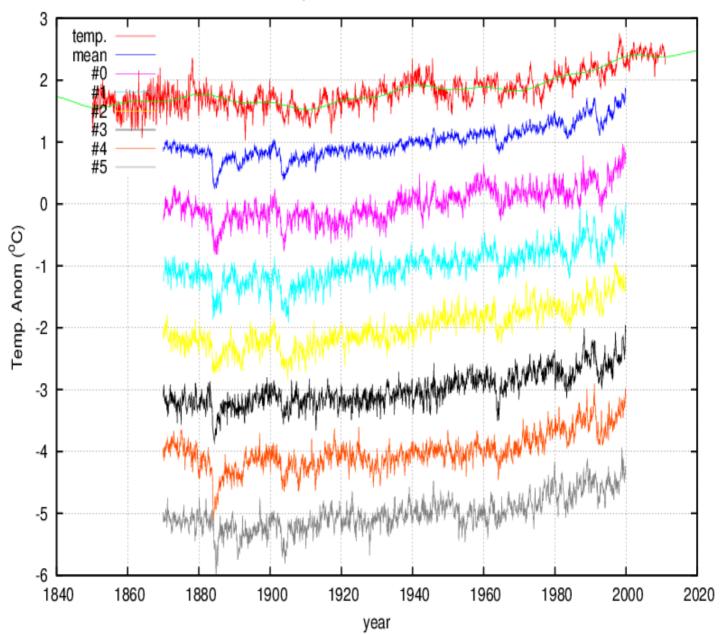
Institution: Max Planck Institute for Meteorology, Germany Note that the simulations are almost flat until 1970. There are large 3-5 year oscillations that appear quite different from the ENSO oscillations.

model	n.	а	err	b	err	С	err	d	err	X^2
ECHAM5/ MPI-OM	mean	0.19	0.04	0.31	0.09	0.70	0.02	-0.02	0.004	104
ECHAM5/ MPI-OM	0	0.69	0.06	0.32	0.15	0.43	0.01	-0.12	0.005	260
ECHAM5/ MPI-OM	1	0.32	0.07	0.09	0.16	0.43	0.01	-0.12	0.005	279
ECHAM5/ MPI-OM	2	0.32	0.07	0.46	0.17	0.71	0.02	-0.01	0.005	62
ECHAM5/ MPI-OM	3	-0.09	0.07	0.30	0.17	0.78	0.03	0.15	0.007	74



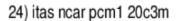
year
Institution: Meteorological Research Institute, Japan
Note that the simulations increase quite monotonically without any m

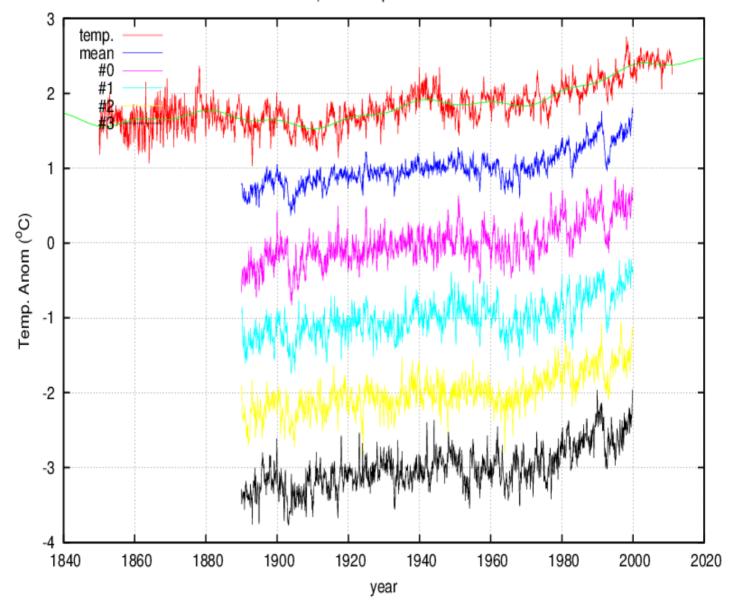
Note that t	ne simul	ations in	crease qu	iite mond	otonically	without	any mul	tidecada	i dynami	CS.
model	n.	а	err	b	err	С	err	d	err	X^2
MRI CGCM 2.3.2	mean	0.31	0.03	0.03	0.07	1.36	0.01	0.27	0.004	149
MRI CGCM 2.3.2	0	0.05	0.05	0.23	0.13	1.37	0.02	0.27	0.005	125
MRI CGCM 2.3.2	1	0.44	0.05	-0.32	0.13	1.21	0.02	0.24	0.005	58
MRI CGCM 2.3.2	2	0.46	0.05	0.34	0.13	1.54	0.02	0.31	0.005	143
MRI CGCM 2.3.2	3	0.31	0.05	-0.43	0.12	0.14	0.02	0.28	0.005	373
MRI CGCM 2.3.2	4	0.29	0.05	0.30	0.12	1.33	0.02	0.26	0.005	85



Institution: National Center for Atmospheric Research, USA (NCAR) Note that the simulations increase monotonically with a dynamics not related to the observation. There are very large volcano cooling spikes and signatures not observed in the temperature data.

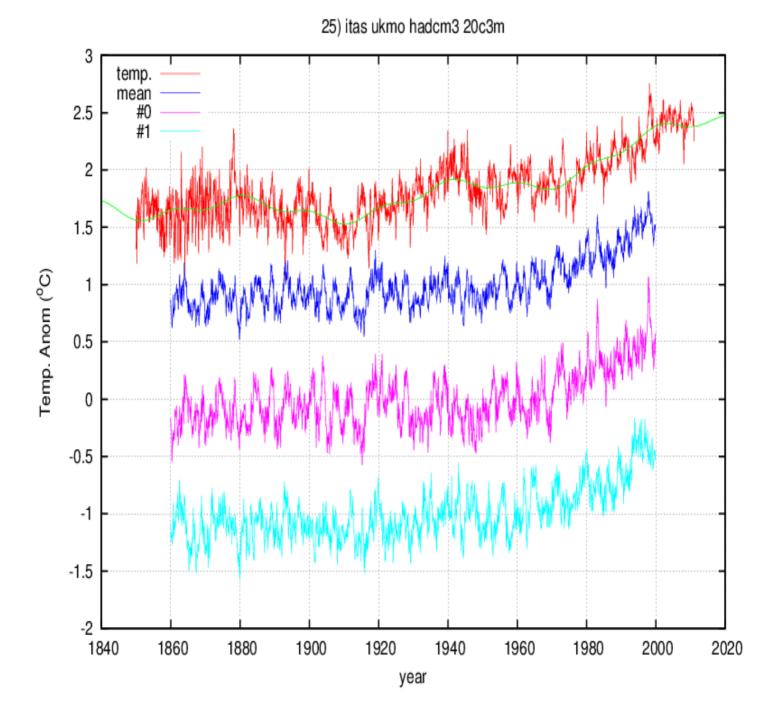
model	n.	а	err	b	err	С	err	d	err	X^2
CCSM3.0	mean	0.34	0.04	0.43	0.10	1.29	0.02	0.24	0.004	76
CCSM3.0	0	0.45	0.06	0.52	0.16	1.14	0.03	0.21	0.006	25
CCSM3.0	1	0.56	0.06	0.63	0.16	1.28	0.02	0.23	0.006	44
CCSM3.0	2	0.40	0.06	0.14	0.15	1.59	0.02	0.29	0.006	149
CCSM3.0	3	-0.10	0.06	0.02	0.14	1.28	0.02	0.24	0.006	109
CCSM3.0	4	0.28	0.07	0.84	0.17	1.24	0.03	0.23	0.007	39
CCSM3.0	5	0.45	0.06	0.46	0.15	1.24	0.03	0.22	0.006	34





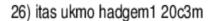
Institution: National Center for Atmospheric Research, USA (NCAR) The simulations present a multidecadal dynamics and some large volcano spikes not observed in the data

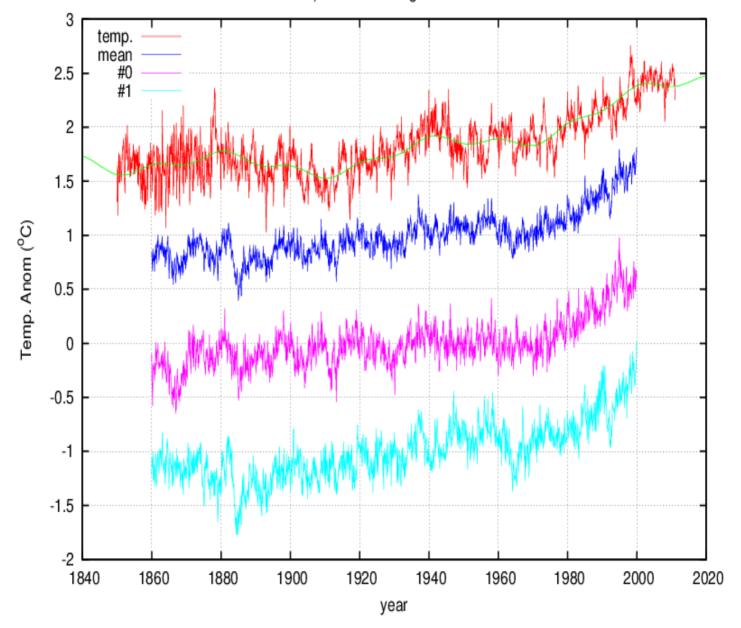
model	n.	а	err	b	err	С	err	d	err	X^2
PCM	mea n	0.77	0.05	0.49	0.12	1.00	0.02	0.16	0.00	7
PCM	0	0.71	0.08	0.45	0.19	1.02	0.03	0.16	0.00	6
PCM	1	0.86	0.08	0.57	0.18	0.86	0.03	0.14	0.00 7	8
PCM	2	0.57	0.07	0.85	0.17	1.02	0.03	0.16	0.00	10
PCM	3	0.94	0.08	0.10	0.19	1.10	0.03	0.17	0.00 7	7



Institution: Hadley Centre for Climate Prediction and Research / Met Office, UK Note that the simulations are almost flat until 1970.

model	n.	а	err	b	err	С	err	d	err	X^2
UKMO HADCM3	mean	0.28	0.05	0.56	0.11	0.94	0.02	0.18	0.005	42
UKMO HADCM3	0	0.13	0.06	0.75	0.15	0.90	0.03	0.18	0.006	47
UKMO HADCM3	1	0.42	0.06	0.36	0.13	0.97	0.02	0.19	0.006	25





Institution: Hadley Centre for Climate Prediction and Research / Met Office, UK. The simulations show some multidecadal dynamics not related to the observations and some too large volcano spikes.

model	n.	а	err	b	err	С	err	d	err	X^2
UKMO HADGE M1	mean	0.52	0.04	0.63	0.10	1.05	0.02	0.20	0.004	24
UKMO HADGE M1	0	0.61	0.05	0.80	0.13	0.91	0.02	0.17	0.005	16
UKMO HADGE M1	1	0.44	0.06	0.45	0.14	1.18	0.02	0.23	0.005	35

Section 3: page 29-31

Testing the Maximum Entropy Method

In Figure 3 in the paper and in the previous Section 1, we have used Maximum Entropy Method (MEM) power spectrum estimates to determine that the global surface temperature record presents major cycles at about 9.1, 10-10.5, 20, 60 year periodicities. These estimates were performed in Scafetta (2010b).

MEM is a peculiar methodology whose output strongly depends on a free parameter called *pole* order M (Priestley, 1981; Press et al., 2004). In Scafetta (2010b) the calculations are done with a pole number M equal to half of the length N of the monthly data points since 1850. Thus, I used a value of $M \approx 1000$ because $N \approx 2000$.

My choice of using $M \approx 1000$ may surprise some readers because in the textbooks for N = 1000 or 10000 it is usually advised to use from M = 20 to M = 50. The claim is that using a larger number of poles would produce spurious galore of peaks. Thus, a reader may seriously question my choice of using a so large value of poles, $M \approx 1000$, for my analysis. So, I believe that this issue needs to be clarified for those readers who do not have a practical expertise with MEM.

First, a reader needs to realize that, as I explained in the Introduction, the frequencies that I found have been approximately found also by numerous other authors by using numerous methodologies of data analysis and also different climate records. Moreover, in Scafetta (2010b), MEM has also been applied to study the frequencies of an astronomical planetary record whose frequencies can be directly deduced from the orbits of the planets. So, the accuracy of the results of MEM could be directly evaluated. So, my estimates cannot be lightly questioned because they are supported by numerous other studies and by celestial mechanics as I also explain in the Introduction.

About the MEM pole order *M*, it is important to well understand its mathematical meaning and the mathematical advantage of the MEM methodology against other power spectrum techniques of analysis such as the Lomb periodogram or Fourier transforms. To address the latter issue it is important to realize that it is no true that MEM produces a spurious peak galore, while the other methods do not. All techniques produce the same numerous peaks which include a strong galore at all frequencies because all techniques attempt to give an estimate of the power associated at each frequency. The major advantage of MEM is that it produces much sharper peaks that allow a more detailed analysis of the *low-frequency band* of the spectrum. The MEM peaks are also higher in the presence of a small true ciclicity, and MEM also reduces the frequency leakage that may corrupt the periodogram estimates.

The maximum number of theoretically possible poles is M = N/2. This parameter measures the order of the autoregressive model used to evaluate the MEM power spectrum. Larger values of M allow the technique to detect a larger number of peaks which are always true relative to the geometry of the time series, although the smallest galore peak might not have a physical meaning. The detection of a higher density of peaks also implies that the resolution of the methodology increases with M.

Indeed, the choice of M depends on the application. In a few words, if somebody suspects that a signal is made of two very close frequencies, closer the two frequencies are and larger the pole order M must be to properly separate them. This same property also implies that if somebody is interested in resolving frequencies in the very-low frequency band, for example 0 < f < 0.01, one also needs to use an appropriate large pole order.

The typical advised M=20 or 50 poles for N=1000 or 10000 data points may be appropriate only if somebody is interested in resolving the high frequency band of the spectrum 0.1 < f < 0.5, as done in Numerical Recipes (Press et al., 2004). But such a choice would be severely inappropriate for resolving the very-low frequency band of the spectrum 0 < f < 0.01, which in our case is the frequency band that contains the decadal and multidecadal periodicities.

Because I have about 160 years of data that contain about 2000 data points, if I want to properly detect the largest possible multidecadal cycles I need to use a very high pole order *M* up to half of the length of the sequence (that is 1000 poles), which would make the technique accurate up to frequencies corresponding to a 100-year period, which is approximately half of the about 160-year period covered by the data.

To prove the above claim, the simplest way is to generate 2000 artificial data made of four cycles at 9, 10.5, 20 and 60 years periodicity plus some random noise. The four frequencies and their relative amplitude approximately correspond to the four major frequencies detected in the temperature record, and the 2000 data correspond to the about 2000 monthly data points of the temperature record since 1850.

Figure A shows these data. Figure B shows the MEM analysis of the data using M=1000 poles (red curve) against the MEM evaluation with M=300, M=250 and M=50. It is evident from the figure that only with M larger than 300 the four peaks are sufficiently well detected. Using just the advised M=50 poles is totally inefficient, no peak at all is detected.

However, the temperature data are not stationary, and an additional upward trend is present. To simulate this situation I add an opportune upward linear trend to the data depicted in Figure A and plot the result in Figure C. Figure D shows the MEM analysis of the data using M=1000 poles (red curve) against the MEM evaluation with M=500 and M=300. It is evident from the figure that now only with M larger than 500 the four peaks are sufficiently well detected. In fact, to separate the trending from the cycles there is the need to use a larger pole number than in the previous case.

Figures B and D clearly show that when MEM is used with 1000 poles, as I did, it detects extremely well the four cycles at 9, 10.5, 20 and 60 years within a 3% error. However, when MEM is used with the advised M = 50 poles, as done in Numerical Recipes, it does not detect anything.

The minimum number of poles in my second example is M = 500, but as Figure D shows the largest frequency at 60-year is poorly detected because the width of the peak is very large. If I would not know already that a 60-year frequency is present and I wanted to look for periodicities up to 100 years, I would have to use a larger value of M that would have made the peak sharper. Thus, I needed to use a value of M significantly larger than 500.

In conclusion, the above simple experiment confirms that my choice of using $M = N/2 \approx 1000$ to study the monthly temperature data since 1850 cannot be considered erroneous, but it is very likely the best choice for addressing my specific case. In general, it is in solving these specific cases that MEM performs better than more traditional techniques such as Fast Fourier Transforms and the periodograms.

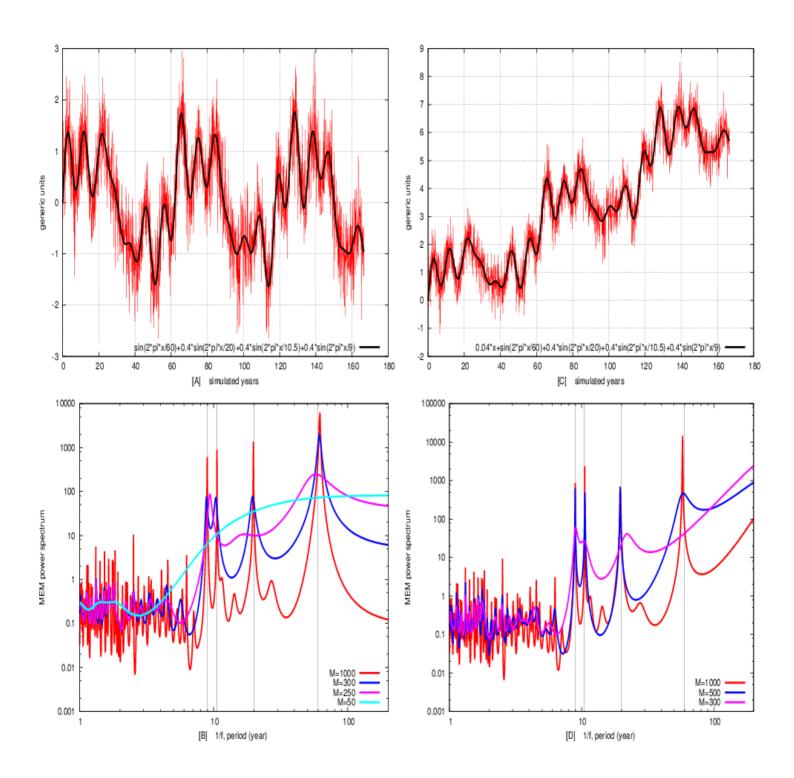


Figure S3: [A] 2000 monthly syntectic data made of four periodicities plus Gaussian noise as depicted in the figure. [B] MEM estimates of [A] using M = 1000, M = 300, M = 250 and M = 50: note that M must be larger than 300 to detect the four peaks. [C] 2000 monthly syntectic data made of four periodicities plus Gaussian noise plus a linear trend. [D] MEM estimates of [C] using M = 1000, M = 500, M = 300: note that M must be larger than 500 to detect well the four peaks.

References:

Press W. H., S. A. Teukolsky, W. T. Vetterling and B. P. Flannery. Numerical Recipes, Third Edition. (Cambridge University Press, 2007).

Priestley M. B., 1981. Spectral Analysis and time series. (Academic Press.)

Section 4: page 32-33

IPCC 2007 mean anthropogenic net warming trend from 1970 to 2050

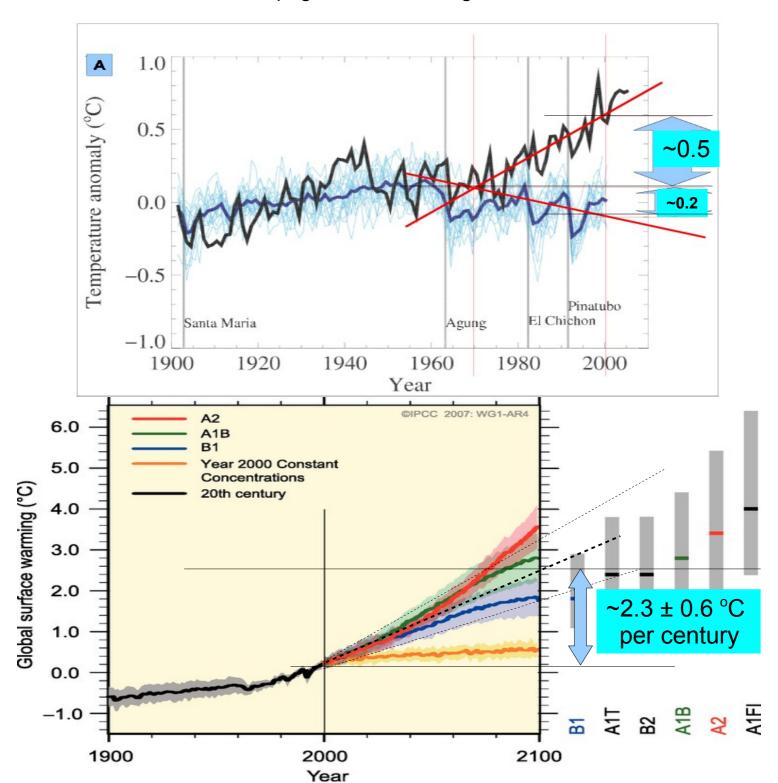
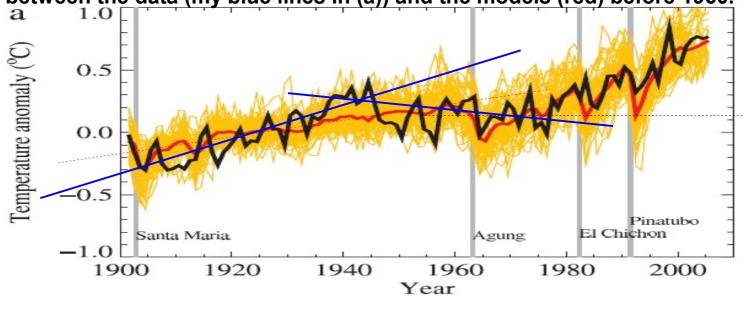


Figure S4. The figure reproduces figure 9.5b [A] and figure SPM.5 [B] of the IPCC 2007 report. [A] The black curve is the global surface temperature, the blue curves are the outputs of general circulation models forced with natural (solar plus volcano) forcing alone as claimed by the IPCC. The red lines are added by me to evaluate that according the IPCC the net anthropogenic forcings have induced a warming of about 0.7 °C from 1970 to 2000, which corresponds to a rate of 2.3 °C/century. [B] The figure shows the average outputs of computer climate models. Note that the black curve from 1900 to 2000 represents the average computer model reconstruction of the 20th century warming. Note that the 10, 20 and 60-year oscillations are not reproduced, only some volcano cooling spikes are visible. The average projections proposed by the IPCC present a warming rate of about 2.3 ± 0.6 °C/century from 2000 to 2050 as shown by the black dot lines added by me plus a vertical error of \pm 0.1 °C due to the thickness of the curves.

IPCC 2007 figure 9.5 with its original caption that proves that the IPCC models have interpreted the warming trending observed since 1970 as 100% due "only" to anthropogenic forcings. Note also the discrepancy between the data (my blue lines in (a)) and the models (red) before 1960.



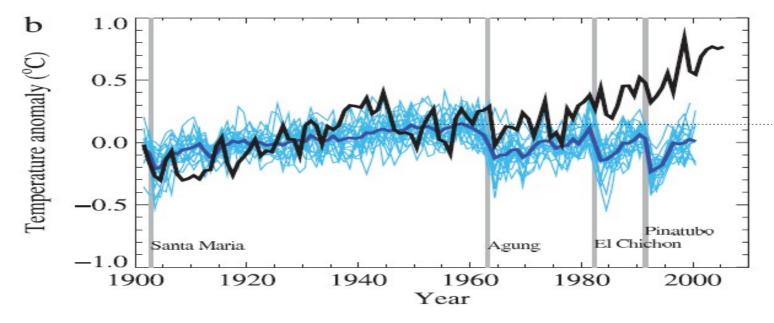


Figure 9.5. Comparison between global mean surface temperature anomalies (°C) from observations (black) and AOGCM simulations forced with (a) both anthropogenic and natural forcings and (b) natural forcings only. All data are shown as global mean temperature anomalies relative to the period 1901 to 1950, as observed (black, Hadley Centre/Climatic Research Unit gridded surface temperature data set (HadCRUT3); Brohan et al., 2006) and, in (a) as obtained from 58 simulations produced by 14 models with both anthropogenic and natural forcings. The multimodel ensemble mean is shown as a thick red curve and individual simulations are shown as thin yellow curves. Vertical grey lines indicate the timing of major volcanic events. Those simulations that ended before 2005 were extended to 2005 by using the fi rst few years of the IPCC Special Report on Emission Scenarios (SRES) A1B scenario simulations that continued from the respective 20th-century simulations, where available. The simulated global mean temperature anomalies in (b) are from 19 simulations produced by five models with natural forcings only. The multi-model ensemble mean is shown as a thick blue curve and individual simulations are shown as thin blue curves. Simulations are selected that do not exhibit excessive drift in their control simulations (no more than 0.2°C per century). Each simulation was sampled so that coverage corresponds to that of the observations. Further details of the models included and the methodology for producing this figure are given in the Supplementary Material, Appendix 9.C. After Stott et al. (2006b).

Section 5: page 34

Overestimation of the GISS ModelE reconstruction of the volcano signature

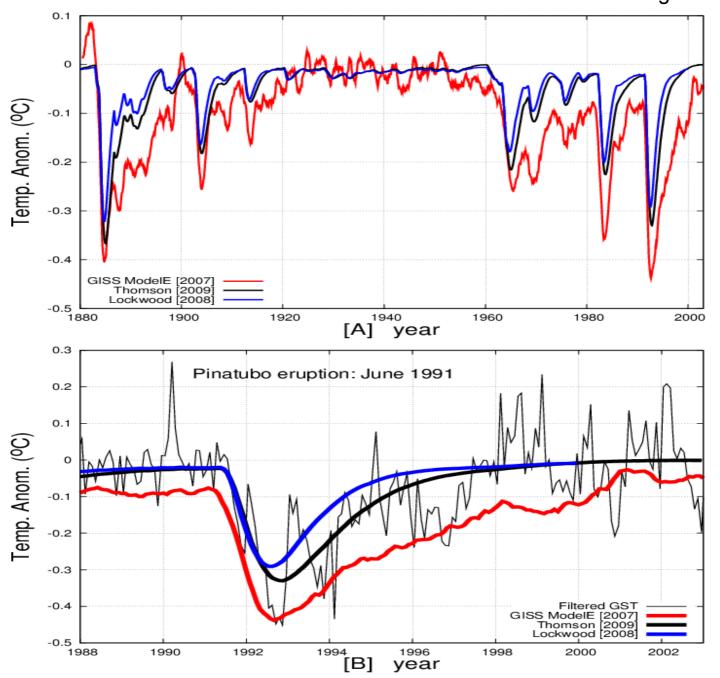


Figure S5. The figure shows that the volcano signature reconstructed by the GCM is 2-3 times larger than what can be empirically found. In particular the log-time range effect of the volcano aerosols appears grossly overestimated. [A] Lockwood (2008) (blue) and Thompson et al. (2009) (black) empirical analyses of the volcano signature on global surface temperature against the GISS ModelE estimates (red) by (Hansen et al., 2007). [B] Filtered temperature (thin gray) vs. the three model reconstructions of the Pinatubo eruption in 1991. The figure clearly suggests that GISS ModelE overestimates the volcano signal both in amplitude and long-range duration as determined by the empirical filtering. By 2000 the volcano signature in the empirical studies vanishes.

Section 6: page 35

The 9-year cycle of the Lunar (top) and Solar (bottom) eclipses at the equinoxes in 1997-2006

http://eclipse.gsfc.nasa.gov/eclipse.html

http://eclipse.gsfc.nasa.gov/eclipse.html

Partial Saros 132 04:40 TD

Par. = 203m U.Mag. = 0.9195 P.Mag. = 1.9994

Five Millennium Canon of Lunar Edipses (Espenak & Meeus)

NASA TP-2009-214172

Total Saros 137

Tot. = 62m
Par. = 196m
Gam. = -0.3768

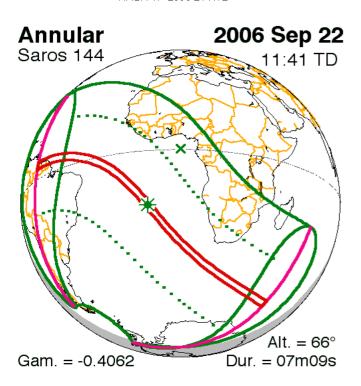
1997 Sep 16
18:48 TD

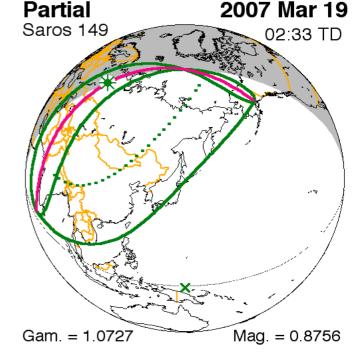
U.Mag. = 1.1909
P.Mag. = 2.1417

http://eclipse.gsfc.nasa.gov/eclipse.html

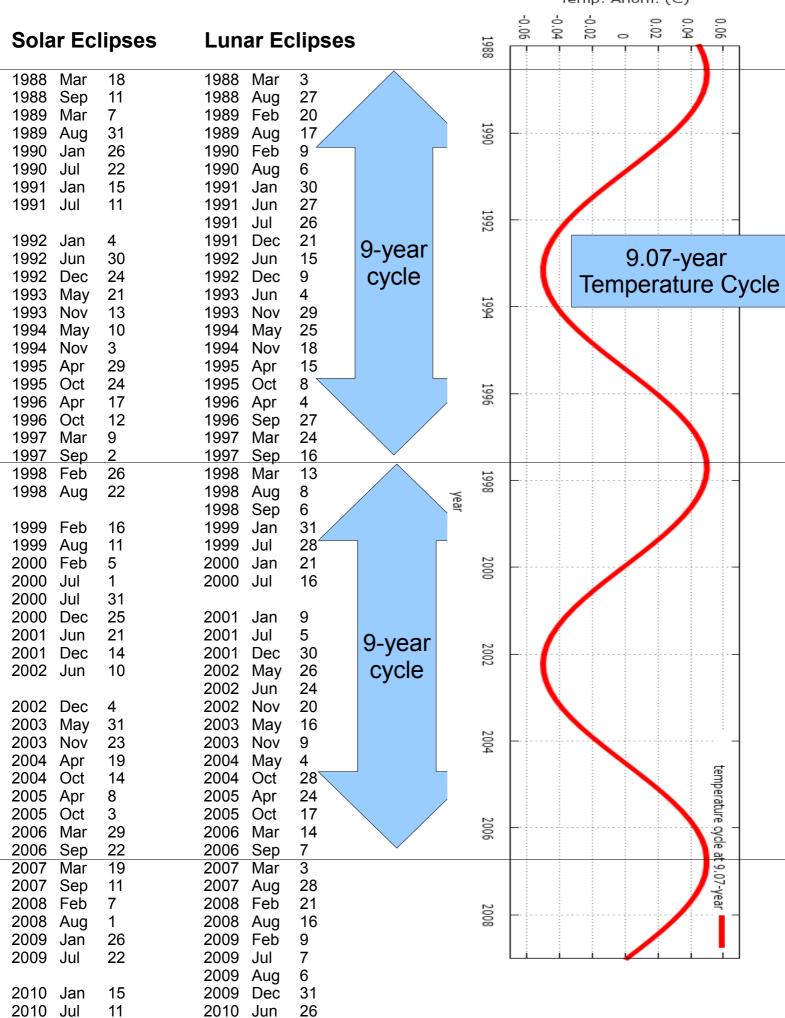
Five Millennium Canon of Lunar Eclipses (Espenak & Meeus)

NASA 7P-2009-214172





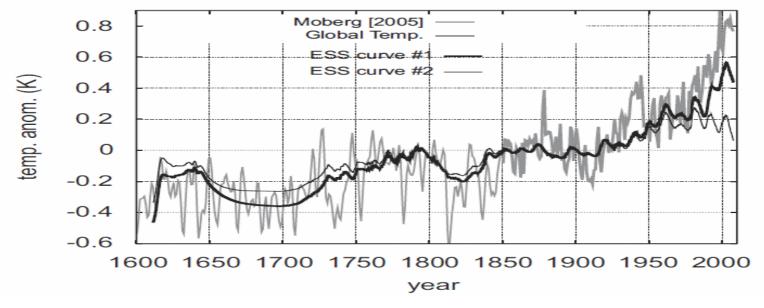
Five Millennium Canon of Solar Eclipses (Espenak & Meeus)



Section 7: page 37

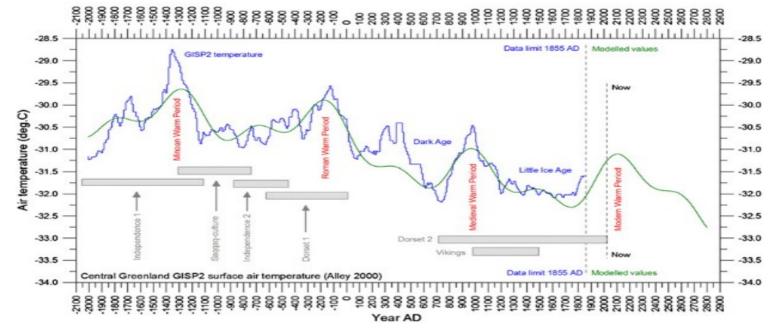
Preliminary attempts to interpret the warming since 1850 as partially due to multisecular and millennial cycles

1) Scafetta, N., 2009. Empirical analysis of the solar contribution to global mean air surface temperature change. J. Atm. and Solar-Terr. Phys. 71, 1916-1923.



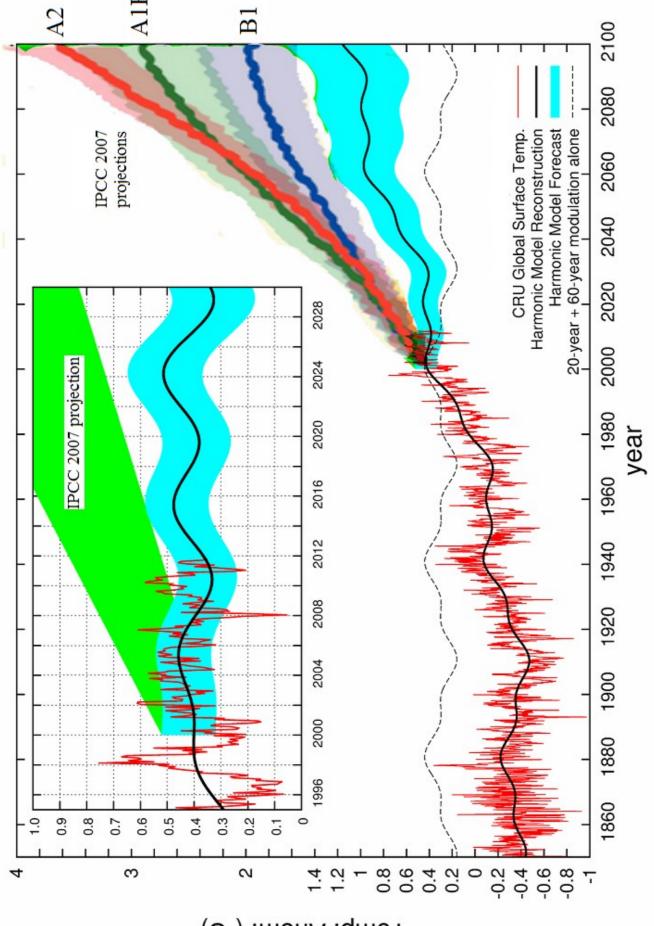
Empirical solar signature curves (black) curves against a paleoclimate temperature reconstruction (Moberg et al., 2005) from 1600 to 1850 (thin gray line) and global surface temperature record since 1950 (Brohan et al., 2006) (thick black line).

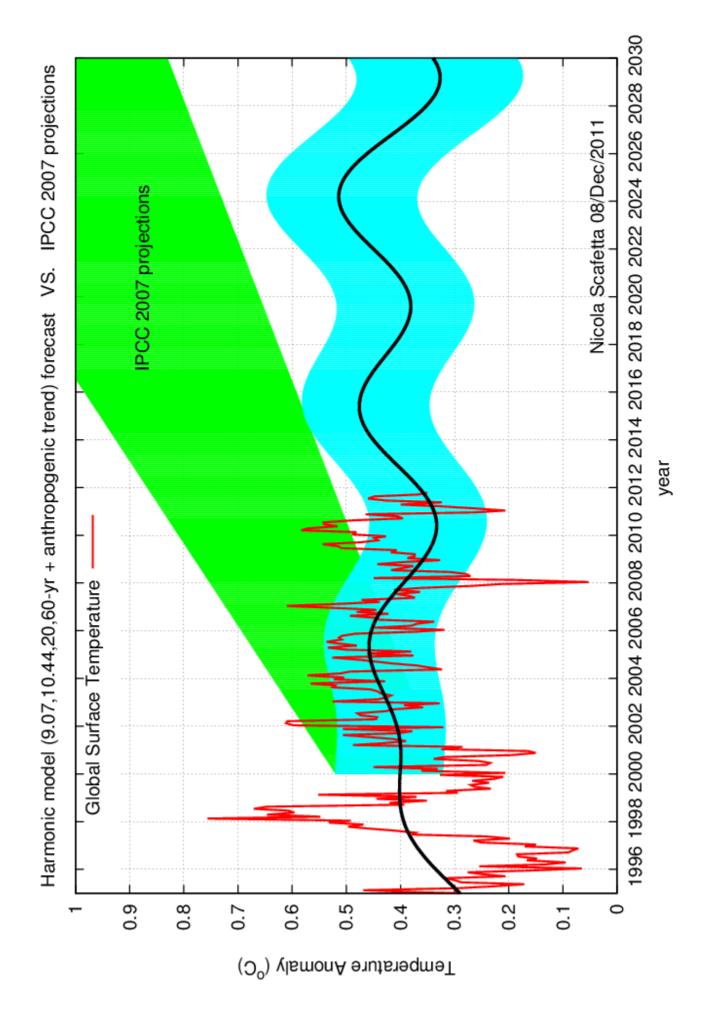
2) Humlum, O., Solheim, J.-K. and Stordahl, K. 2011. Identifying natural contributions to late Holocene climate change. *Global and Planetary Change* **79**: 145-156.

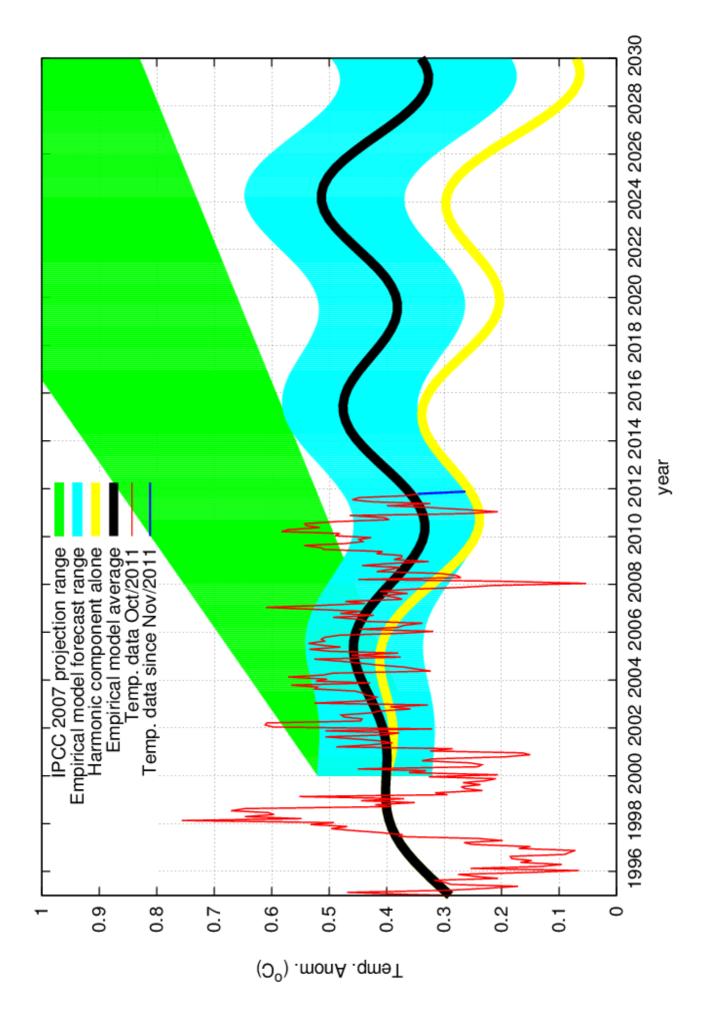


The Central Greenland surface temperature from GISP2 project for the past 4000 years (blue line) and the modeled temperature adopting only 3 periods at 2804-year, 1186-year and 556-year. The 3-period model was able to replicate most of the observed changes (with one major exception at around the warming peak of 3 to 400 AD) and forecasted a large cooling trend in contrast to the IPCC-predicted rising atmospheric CO2 scenario from computer climate models.

S Temp. Anom. (°C)







Cover photo of our solar system, as posted to inquisitor.com.



Science & Public Policy Institute

"Science-based policy for a better world."

Robert Ferguson SPPI President bferguson@sppinstitute.org 202-288-5699

P.O. Box 209 5501 Merchants View Square Haymarket, VA 20169

